## Chapter 11: Product Recovery and Purification

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### Presentation Outline:

- Overview of Bioseparations
- Separation of Insoluble Products
- Primary Isolation / Concentration of Product
- Purification / Removal of Contaminant Materials
- Product Preparation

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## Introduction to Bioseparations

### Characteristics of Bioseparations vs Chemical Separations

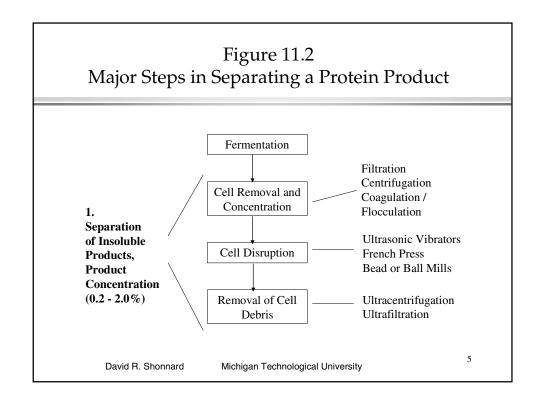
Characteristics	Biochemical	Chemical
Environment	Aqueous Media	Organic Media
Concentration Range	v. Dilute Product	Concentrated Product
Temperature Sensitivity	Product Vulnerable	Product Not Vulnerable

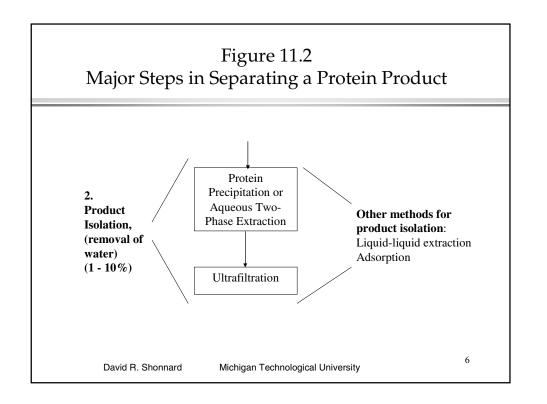
Traditional chemical separations are unsuitable or must be augmented

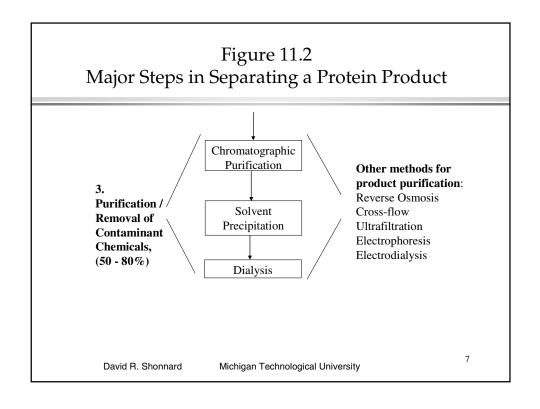
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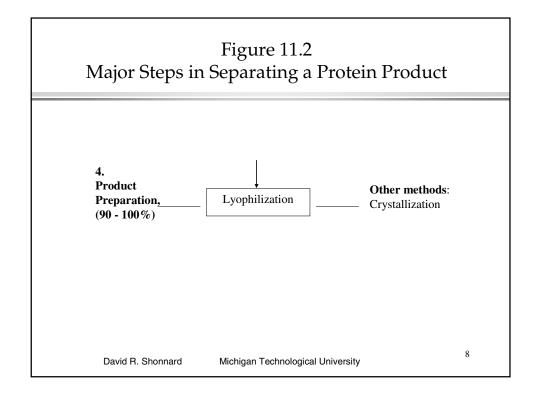
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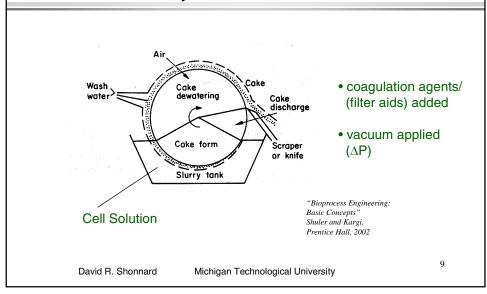




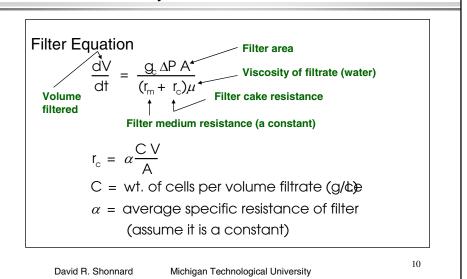




## 1. Removal of Insoluble Products Rotary Vacuum Filtration



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## 1. Removal of Insoluble Products Rotary Vacuum Filtration

Integrate Filter Equation: V=0 at t=0.

$$V^2 + 2VV_o = Kt$$
  
where

**Ruth Equation** 

$$V_{o} = \frac{r_{m}}{\alpha C} A$$

$$K = \left(\frac{2 A^{2}}{\alpha C \mu}\right) \Delta P Q_{e} \qquad Q_{e} = \left(\frac{1 \frac{kQ \cdot m}{s^{2}}}{N}\right)$$

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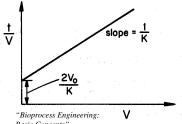
## 1. Removal of Insoluble Products Rotary Vacuum Filtration

### Rearrange Ruth Equation

$$\frac{\dagger}{V} = \frac{1}{K} (V + 2V_0)$$

$$\alpha = \text{slope}\left(\frac{2 \text{ A}^2}{\text{C}\mu}\right) \Delta P \text{ g}.$$

$$= \frac{1}{K} \left( \frac{2 A^2}{C \mu} \right) \Delta P Q$$

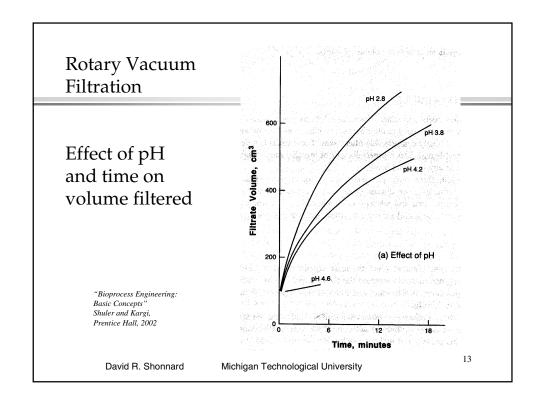


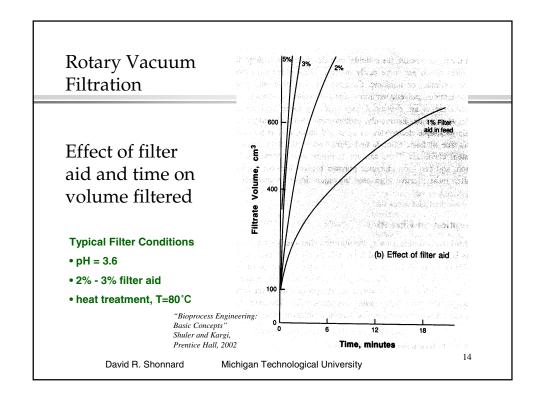
"Bioprocess Engineering: Basic Concepts" Shuler and Kargi, Prentice Hall, 2002

$$V_o = y$$
-intercep $\frac{K}{2}$   $\Rightarrow$   $r_m = y$ -intercep $\frac{K}{2}$   $\frac{\alpha C}{A}$ 

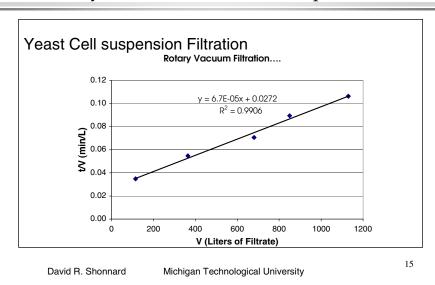
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## 1. Removal of Insoluble Products Rotary Vacuum Filtration; Example 11.1



### 1. Removal of Insoluble Products Rotary Vacuum Filtration; Example 11.1

slope = 
$$\frac{1}{K}$$
 = 6.7x10<sup>5</sup> (min/L<sup>2</sup>); solve fo $\alpha$  if  $\Delta P$  is 2.3x10<sup>4</sup> N/m<sup>2</sup>

$$K = \frac{1}{6.7x10^5} = 1.5x10^4 L^2 / \text{min} = \left(\frac{2 A^2}{\alpha C \mu}\right) \Delta P g$$

$$\alpha = \left(\frac{2 A^2}{K C \mu}\right) \Delta P g$$

$$= \frac{2(.28 \text{m}^2)^2 (2.3x10^4 \text{N/m}^2) (1 \frac{\text{kg m}}{\text{s}^2} / \text{N})}{(1.5x10^4 \frac{\text{L}^2}{\text{min}}) (\frac{10^3 \text{m}^3}{\text{L}}) (19.2 \frac{\text{kg}}{\text{m}^3}) (2.9x10^3 \frac{\text{kg}}{\text{m s}}) (\frac{1 \text{min}}{60 \text{ sec}})}{(1.5x10^4 \text{min}) (19.2 \frac{\text{kg}}{\text{min}}) (2.9x10^3 \frac{\text{kg}}{\text{m s}}) (2.9x10^3 \frac{\text{k$$

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## 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

• for particle size range ~ 10<sup>-9</sup> to 10<sup>-5</sup> m = d<sub>p</sub>

• purpose → to concentrate a cell suspension
→ to recover dissolved solutes / proteins

Cross-Flow Filtration

"Retentate"
(concentrated suspension)

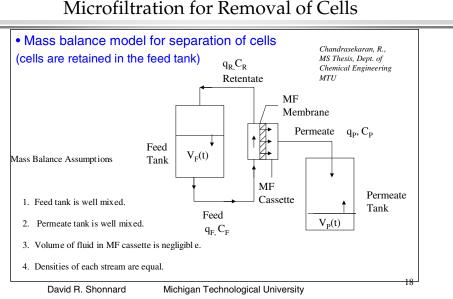
Filtrate or "Permeate"
(dissolved solutes / proteins)

Membrane module

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### 1. Removal of Insoluble Products Microfiltration for Removal of Cells



Feed Tank

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A total mass balance assuming constant stream densities leads to equation [1] for the change in feed tank volume,  $V_F(t)$ .

$$\frac{dV_F(t)}{dt} = q_R - q_F = -q_P$$

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### 1. Removal of Insoluble Products Microfiltration for Removal of Cells

And similarly for entering and exit streams for the membrane cassette, where  $q_F$ ,  $q_R$ , and  $q_P$  the volumetric flow rates of the feed, retentate, and permeate streams.

$$q_F = q_R + q_P \tag{2}$$

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A cell mass balance on the feed tank results in equation [3], where  $C_F$ ,  $C_R$ , and  $C_P$  are the concentrations of the cells 1 in the feed, retentate, and permeate streams.

$$\frac{d}{dt}(C_F V_F(t)) = q_R C_R - q_F C_F$$

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### 1. Removal of Insoluble Products Microfiltration for Removal of Cells

A cell mass balance on the cassette results in equation [4],

$$q_F C_F = q_R C_R + q_P C_P (4)$$

For a perfectly retained cell:  $C_P = 0$ , and equation [4] becomes [5]

$$q_R C_R = q_F C_F \tag{5}$$

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Substituting [5] into [3] (for a perfectly retained cell)

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$$\frac{d}{dt}(C_F V_F(t)) = \frac{d}{dt} m_F = q_R C_R - q_F C_F$$

$$=q_F C_F - q_F C_F = 0$$

$$\frac{d}{dt}m_F = 0$$
 where  $m_F$  is mass of cells in feed tank  $(m_F = C_F V_F(t))$  [7]

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### 1. Removal of Insoluble Products Microfiltration for Removal of Cells

 $m_{\rm F}$ 

Chandrasekaran, R., MS Thesis, Dept. of Chemical Engineering MTU m<sub>Fo</sub>

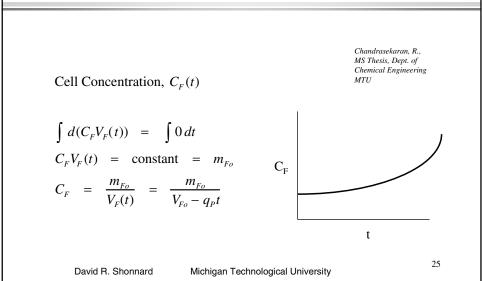
Integrating;  $\int dm_F = \int 0 dt$   $m_F = Constant$ 

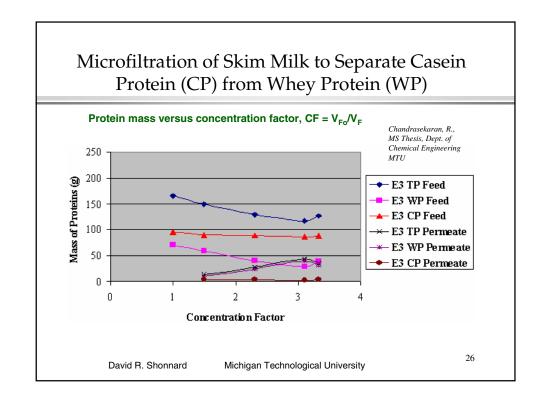
t

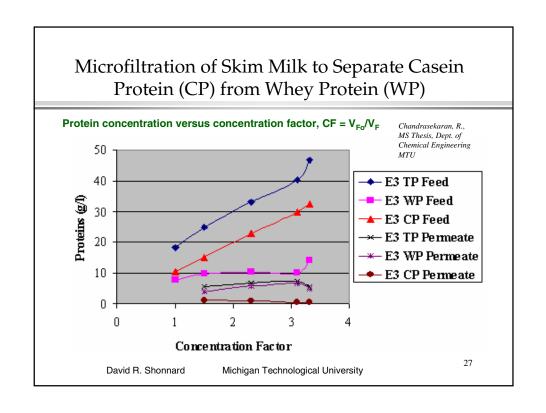
At t = 0,  $m_F = m_{F_0}$  ( $m_{F_0}$  is the initial mass of cells in the feed tank)

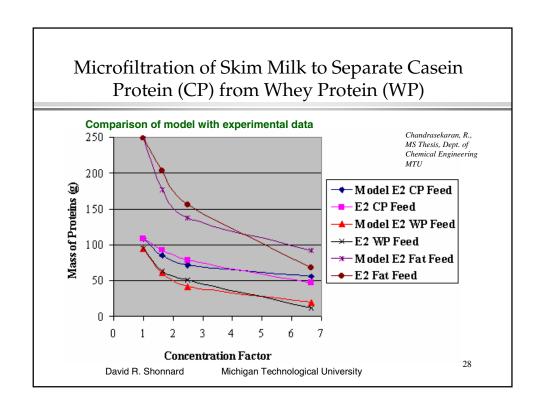
 $\therefore m_F = m_{F_0} \text{ for all time t perfectly retained cell}$  [8]

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### 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

#### Water (Permeate) Velocity Equation

 $J = K_{P} (\Delta P_{M} - \sigma \Delta \pi)$ 

where

J = water (permeate) velocity

 $K_p$  = membrane permeability

 $\Delta P_{\rm M}$  = pressure drop across membrane

 $\sigma$  = "reflection coefficient"

 $\Delta \pi$  = osmotic pressure (RTC<sub>w</sub>)

"Bioprocess Engineering: Basic Concepts' Shuler and Kargi, Prentice Hall, 2002

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Retentate Flow (b)

### 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

Concentration Polarization - relating  $C_{\text{W}}$  to  $C_{\text{B}}$ 

#### In the liquid film;

$$JC = D\frac{dC}{dx}$$

$$X = \delta$$
  $C = C_W$   
 $X = 0$   $C = C_B$ 

$$x = 0$$

integrating:

$$J = \frac{D}{\delta} \ln \frac{C_W}{C_B} = k \ln \frac{C_W}{C_B}$$

where D is the diffusivity of solute in the liquic

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**Gel Formation** 

When J and/or C<sub>B</sub> are high enough, a gel layer will form

at the membrane surface, causing an additional resistance (R<sub>G</sub>) to solute

## 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

Concentration Polarization - relating  $\mathbf{C}_{\mathrm{W}}$  to  $\mathbf{C}_{\mathrm{B}}$ 

#### Example of Protein Ultrafiltration;

 $J = 1.3x10^{-3} \text{ cm/sec}$ 

 $D = 9.5 \times 10^{-7} \text{ cm}^2 / \text{sec (protein diffusivity)}$ 

 $\delta = 180 \times 10^{-4} \text{ cm}$ 

$$J = \frac{D}{\delta} \ln \frac{C_{W}}{C_{B}} \implies 1.3x10^{-3} \text{ cm/sec} = \frac{9.5x10^{-7} \text{ cm}^{2}/\text{sec}}{180x10^{-4} \text{ cm}} \ln \frac{C_{W}}{C_{B}}$$

$$\frac{C_W}{C_B} = 1.3$$
 or  $C_W$  is 30% > than  $C_B$ 

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## 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

#### Microfiltration Design - time for filtration

$$\frac{dV}{dt} = -A J$$

V = volume of solution remaining to be filtered

A = membrane filter area

if we assume no concentration polarization,  $C_W \approx C_B$ 

$$\frac{dV}{dt} = -A K_{P} (\Delta P - \sigma RTC_{B})$$

for total reflection of solute,  $\sigma = 1$  and  $n = C_B V$ 

and is constant, where n is total solute mass (cells)

$$\frac{dV}{dt} = -A K_{p} \Delta P \left( 1 - \frac{[RTn/\Delta P]}{V} \right)$$

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### 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

#### Microfiltration Design - time for filtration (cont.)

$$\frac{dV}{dt} = -A K_{P} \Delta P \left( 1 - \frac{[RTn / \Delta P]}{V} \right)$$

at 
$$t = 0$$
  $V = V_o$  (initial volume of solution)

integrating

$$t = \left[ \frac{1}{A K_{p} \Delta P} \right] \left\{ (V_{o} - V) + \left( \frac{R T n}{\Delta P} \right) ln \left( \frac{V_{o} - RTn / \Delta P}{V - RTn / \Delta P} \right) \right\}$$

often 
$$\frac{RTn}{\Delta P} \ll (V_o - V)$$

$$t \approx \left[\frac{1}{A K_{P} \Delta P}\right] (V_{o} - V)$$

Time to filter from V<sub>o</sub> to V.

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### 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

#### Microfiltration Design - Example, Cell Microfiltration

$$V = 1000 \text{ liters.}$$
  $A = 10 \text{ m}^2$ 

$$V_o = 1000 \text{ liters}, \quad A = 10 \text{ m}^2$$
  
 $X_o = 1 \text{ g dcw / L} \quad \text{concentration to } X = 10 \text{ g dcw / L}$ 

$$K_p \Delta P$$
 = initial water flux =  $5.7x10^{-4}$  cm/sec

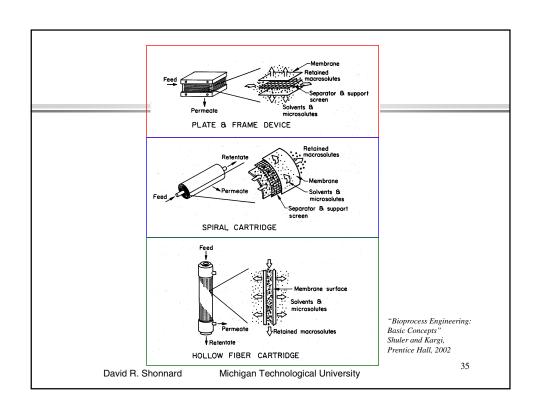
$$V = V_o \frac{X_o}{X} = 1000 L \left( \frac{1 g dcw / L}{10 g dcw / L} \right) = 100 L$$

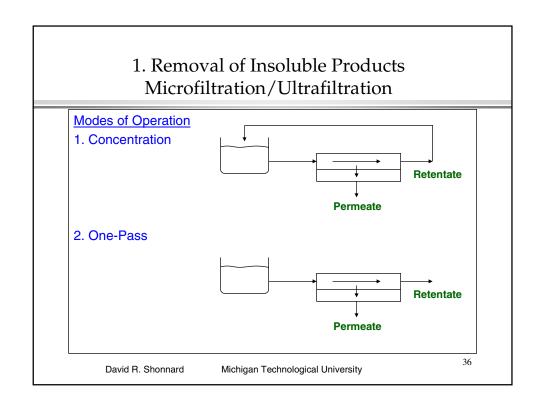
$$t = \left[\frac{1}{(10 \text{ m}^2)(100 \text{ cm}^2/\text{m}^2) (5.7 \text{x} 10^{-4} \text{ cm/sec})}\right] (1000 - 100) L \left(\frac{10^3 \text{ cm}^3}{L}\right)$$

$$= 1.58 \text{x} 10^4 \text{ sec} = 4.4 \text{ hours}$$

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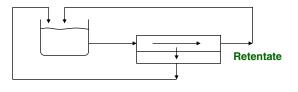




## 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

#### **Modes of Operation**

3. Total Recycle Mode - membrane system characterization



**Permeate** 

### Post-Processing:

Microfiltration of cells is often followed by conventional filtration of retentate or centrifugation. Then, cell disruption for recovery of intracellular proteins occurs.

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## 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

### Water (Permeate) Velocity Equation $J = K_{P} (\Delta P_{M} - \sigma \Delta \pi)$ where J = water (permeate) velocity $K_P$ = membrane permeability Retentate $\Delta P_{\rm M}$ = pressure drop across membrane Flow Water $\sigma$ = "reflection coefficient" $\Delta \pi$ = osmotic pressure (RTC<sub>w</sub>) "Bioprocess Engineering. Basic Concepts" Shuler and Kargi, (b) Prentice Hall, 2002 David R. Shonnard Michigan Technological University

### 1. Removal of Insoluble Products Microfiltration/Ultrafiltration

Concentration Polarization - relating  $C_{\text{W}}$  to  $C_{\text{B}}$ 

#### In the liquid film;

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integrating:

**Gel Formation** 

When J and/or C<sub>B</sub> are high

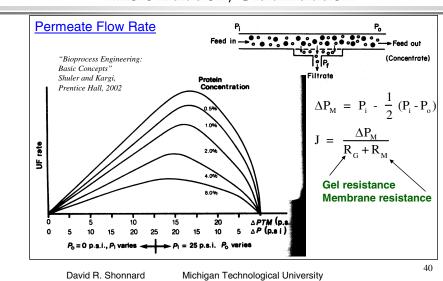
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where D is the diffusivity of solute in the liquic

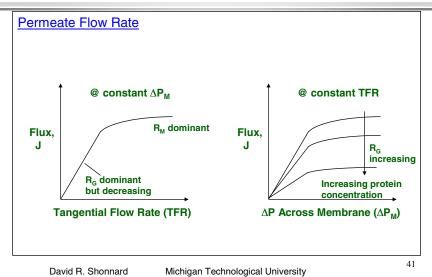
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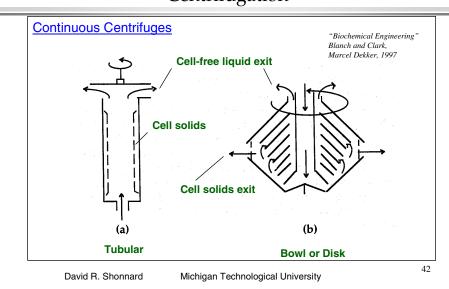
### 1. Removal of Insoluble Products Microfiltration/Ultrafiltration



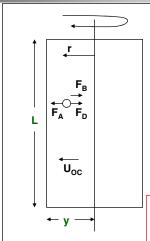
## 1. Removal of Insoluble Products Microfiltration/Ultrafiltration



## 1. Removal of Insoluble Products Centrifugation



## 1. Removal of Insoluble Products Tubular Centrifugation



$$F_D = \text{drag force } 3\pi\mu D_P U_{oc} \frac{1}{g_c}$$

$$F_A = \text{centrifugal force } \frac{\pi}{6} D_p^3 \rho_p r \omega^2 \frac{1}{g_c}$$

$$F_B = \text{bouyant force } \frac{\pi}{6} D_p^3 \rho_f r \omega^2 \frac{1}{q}$$

$$F_D = F_A - F_B$$

$$3\pi\mu D_{P}U_{oc} = \frac{\pi}{6}D_{P}^{3}(\rho_{P} - \rho_{f})r\omega^{2}$$

$$U_{oc} = \frac{r\omega^2 D_P^2 (\rho_P - \rho_f)}{18\mu}$$

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## 1. Removal of Insoluble Products Tubular Centrifugation

#### **Design Equations**

Radial Travel Time = Axial Travel Time

$$\frac{y}{U_{oc}} = \frac{V_c}{F_c}$$

 $V_c$  = centrifuge liquid volume;  $F_c$  = volumetric flow rate Solving for  $F_c$ ;  $F_c$  = 2  $U_o \Sigma$ 

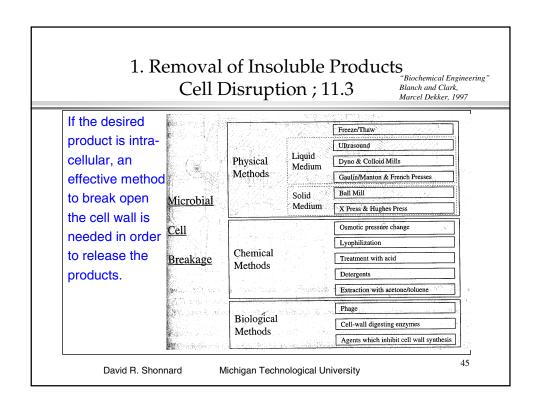
where 
$$U_o = \frac{gD_p^2(\rho_p - \rho_f)}{18\mu}$$
 settling velocity under gravity

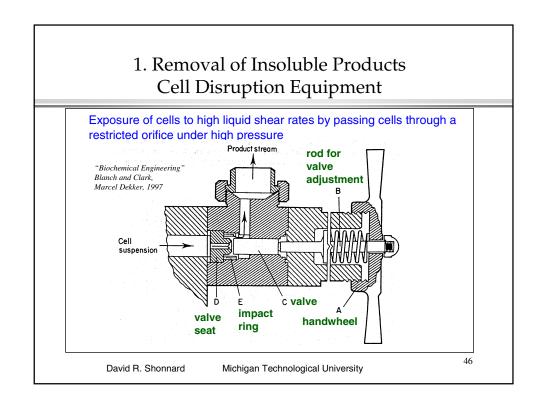
$$\Sigma = \frac{2\pi L \omega^2}{g} \left( \frac{3}{4} r_1^2 + \frac{1}{4} r_1^2 \right)$$

 $F_c$  is proportional to L and  $r_2^2$ 

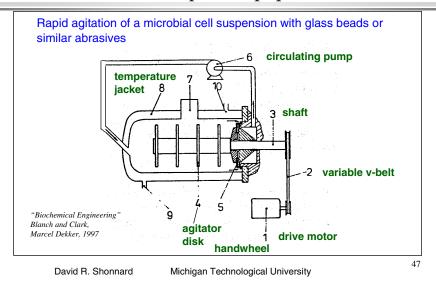
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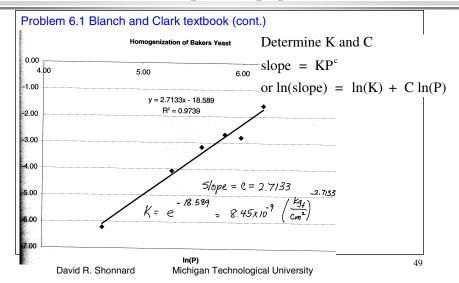
## 1. Removal of Insoluble Products Cell Disruption Equipment



## 1. Removal of Insoluble Products "Biochemical Engineering" Cell Disruption Equipment

Blanch and Clark, Marcel Dekker, 1997 Problem 6.1 Blanch and Clark textbook Protein release from yeast using disruption by an industrial homogenizer Protein release depends upon the pressure, P, and number of recycle passes, N Design Equation  $R_m = maximum protein conc. (mg/L)$ R = protein conc. (mg/L)K = constantC = constantDavid R. Shonnard Michigan Technological University

## 1. Removal of Insoluble Products Cell Disruption Equipment



## 2. Primary Isolation/Concentration of Product: 11.4

#### Separation Objectives

- · Remove water from fermentation broth
- Dilute solute (product) → more concentrated solute
- Often these steps concentrate chemically similar byproducts (other proteins / biomolecules)

#### **Separation Methods**

- A. Extraction (liquid-liquid)
- B. Adsorption
- C. Precipitation

not very selective for desired product

None the less, these methods are often applied prior to purification

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## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction

Liquid-liquid extraction is commonly used, especially in antibiotic fermentations to recover product from broth.

#### Features of liquid extractant

- 1. nontoxic
- 2. inexpensive
- 3. highly selective toward the product
- 4. immiscible with the fermentation broth

#### **Other Applications**

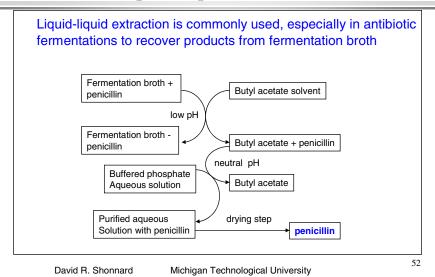
1. removal of inhibitory fermentation products (ethanol and acetone - butanol).

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## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction



## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction - Equilibrium

Liquid-liquid extraction takes advantage of solute equilibrium partitioning between the fermentation broth (heavy, H) phase and a light (L) extractant phase.

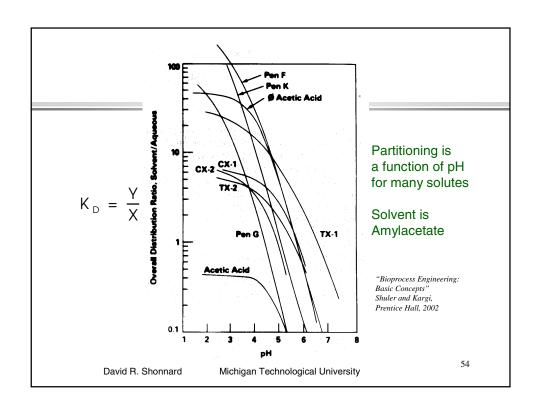
 $K_D = \frac{Y}{X}$ , where K is a distribution coefficient

Y is the concentrationass, or mole fraction solute in the light phase

X is the concentrationass, or mole fraction solute in the heavy phase

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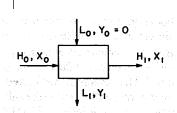
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## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction

#### Mass balance on a single equilibrium stage

Assumptions: dilute solute and immiscible phases (negligible change in H and L) and constant  $K_{\text{D}}$ .



o
$$H(X_{\circ}-X_{1}) = LY_{1} \text{ or } X_{1} = X_{\circ} - \frac{L}{H}Y_{1}$$

$$H_{1}, X_{1} \text{ Since } K_{0} = \frac{Y_{1}}{X_{1}}, X_{1} = X_{\circ} - \frac{LK_{D}}{H}X_{1}$$

$$X_{1} = X_{1} - \frac{LK_{D}}{H}X_{1}$$

or  $\frac{X_1}{X_0} = \frac{1}{1 + (LK_D/H)} = \frac{1}{1 + E}$ 

"Bioprocess Engineering: Basic Concepts" Shuler and Kargi, Prentice Hall, 2002 where E=  $LK_D/H$  is the extraction fac

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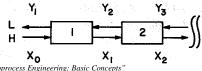
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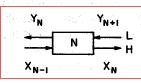
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## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction

#### Mass balance on a multiple equilibrium stages

Assumptions: dilute solute and immiscible phases (negligible change in H and L) and constant  ${\rm K}_{\rm D}.$ 





"Bioprocess Engineering: Basic Concepts Shuler and Kargi, Prentice Hall, 2002

Stage NH(
$$X_{N-1} - X_N$$
) = L( $Y_N - Y_{N+1}$ ) or  $X_{N-1} = X_N + \frac{L}{H}Y_N$ ,

Since 
$$K_D = \frac{Y_N}{X_N}$$
,  $X_{N-1} = X_N + \frac{LK_D}{H}X_N$ , or  $X_{N-1} = (1+E)X_N$ 

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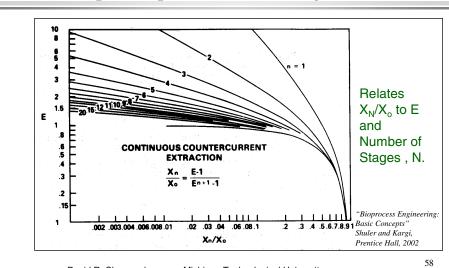
## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction

Stage N1, 
$$H(X_{N-2}-X_{N-1}) = L(Y_{N-1}-Y_N)$$
 or  $X_{N-2} = X_{N-1} + \frac{L}{H}(Y_{N-1}-Y_N)$ , Since  $K_D = \frac{Y_{N-1}}{X_{N-1}} = \frac{Y_N}{X_N}$ ,  $X_{N-2} = X_{N-1} + \frac{LK}{H}(X_{N-1}-X_N)$ , or  $X_{N-1} = (1+E)X_N$   $X_{N-2} = X_{N-1} + \frac{LK}{H}(X_{N-1}-X_N) = (1+E)X_{N-1} - EX_N = X_{N-2} = (1+E)(1+E)X_N - EX_N = (1+E)^2X_N - EX_N$   $X_{N-2} = (1+E+E^2)X_N$  All Stages  $X_o = \left(\frac{E^{N+1}-1}{E-1}\right)X_N$  See Figure 11.9

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## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction - Figure 11.9



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### 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction - Figure 11.9

#### **Example 11.2 Penicillin Extraction using Isoamylacetate**

L = isoamylacetate flow rate = 10 L/min

H = aqueous broth flow rate = 100 L/min

 $K_D = 50$ ,  $X_o = 20$  g/L,  $X_N = .1$  g/L

How many stages are required to achieve this separation?

**Solution**:  $X_N / X_0 = 0.1/20 = .005$ 

 $E = LK_D/H = (10)(50)/100 = 5$ 

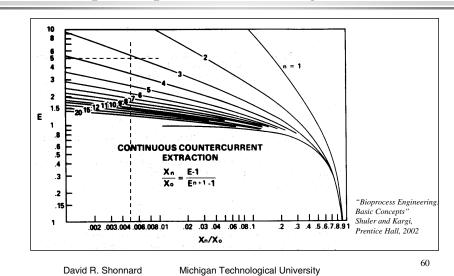
From Figure 11.9, we see that the required number is stages is between 3 and 4, call it 4 equilibrium stages.

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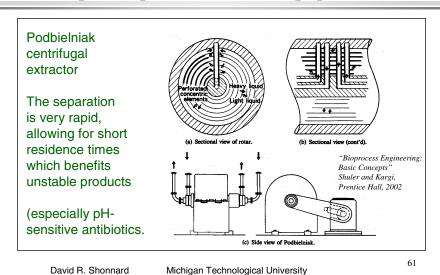
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## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction - Figure 11.9



## 2. Primary Isolation/Concentration of Product: Liquid-Liquid Extraction - Equipment



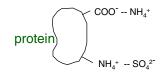
## 2. Primary Isolation/Concentration of Product: Precipitation

A very common first step after cell disruption for recovery of intracellular proteins.

Water-protein interactions are key to understanding protein precipitation / solubility in water.

#### Salting-Out

addition of  $(NH_4)_2SO_4$  or  $Na_2SO_4$  up to high concentrations  $\rightarrow 1$  to 3 Molar!



salts exclude water from the surface leading to protein-protein interactions and precipitation

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## 2. Primary Isolation/Concentration of Product: Precipitation

Protein solubility is a function of ionic strength (salt concentration).

$$log(\frac{S}{S_0}) = -K_S'I$$

S = protein solubility (4)

 $S_o$  = protein solubility at 0 ionic streng(th)/L)

 $K_s' = a$  salting out constant (Ma)le (function of pH and temperature)

I = ionic strength  $\frac{1}{2}\sum C_i Z_i^2$  (mole/L)

 $C_i$  = molar concentration of salt (1th)ole

Z<sub>i</sub> = charge on salt ions

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## 2. Primary Isolation/Concentration of Product: Precipitation

#### **Organic Solvent Addition**

can also reduce protein-water interactions and promote proteinprotein interactions leading to precipitation.

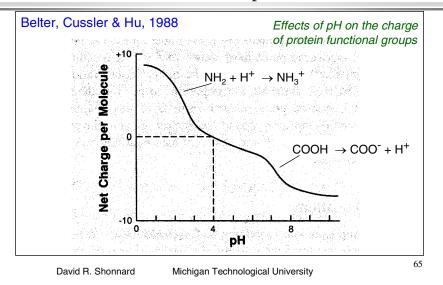
#### Isoelectric Precipitation

at the pH of the isoelectric point, a protein is uncharged, reducing protein-water interactions which leads to precipitation. Warning: extremes in pH may denature the protein product.

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## 2. Primary Isolation/Concentration of Product: Isoelectric Precipitation



### 3. Product Purification / Contaminant Removal:

Contaminants often remain with product after primary isolation.

Chromatography: is the most important separation method for biochemical products.

#### **Basic Concepts:**

1. Separation is based on differential affinities of solutes toward a solid adsorbent material.

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## 3. Product Purification / Contaminant Removal: (cont.)

#### 2. Different kinds of affinity

- \* → electric charge · · · ion exchange chromatography
  - → van der Waals force ··· adsorption chromatography
  - $\rightarrow$  solubility in liquid  $\ \cdots \ \$  liquid-liquid partitioning chromatog.
  - → solute size/diffusion · · · gel filtration chromatography
- \* → receptor ligand · · · affinity chromatography
  - → hydrophobic interactions · · · hydrophobic chromatography
- \* most common usage

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## 3. Product Purification / Contaminant Removal: Adsorption - 11.4.4

Definition: the removal of selected chemicals from a <u>mobile fluid</u> <u>phase</u> into an <u>immobile solid phase</u>.

Adsorbents: solid materials to which the chemicals (solutes, adsorbates) adhere. These are the immobile phase.

Examples: activated carbon

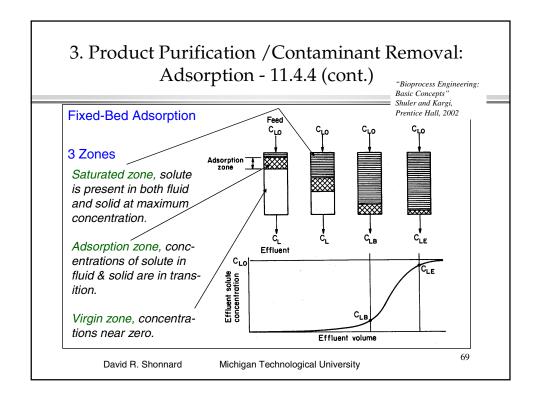
ion exchange resins

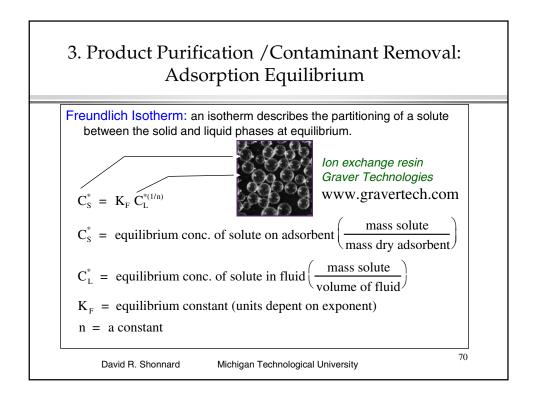
alumina silica gel

other gels: dextran or agarose

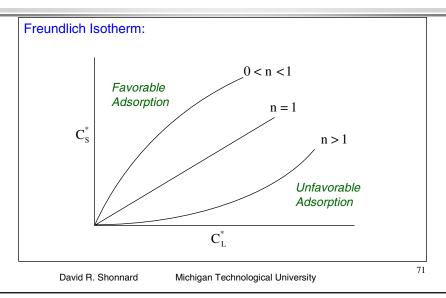
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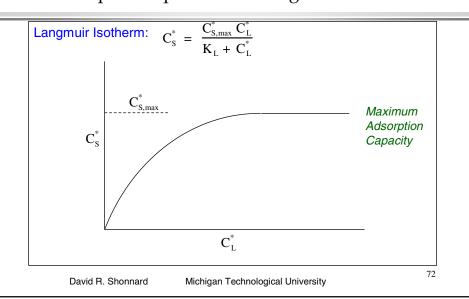




## 3. Product Purification / Contaminant Removal: Adsorption Equilibrium- Freundlich Isotherm



## 3. Product Purification / Contaminant Removal: Adsorption Equilibrium- Langmuir Isotherm



### 3. Product Purification / Contaminant Removal: Adsorption Equilibrium - Adsorbent Capacity

#### **Example Problem:**

Calculate the capacity of ion exchange resin to adsorb protein given that:

- m = mass of dry resin in a column = 1 kg
- $\varepsilon$  = porosity of the fixed-bed = 0.40 cm<sup>3</sup> fluid/cm<sup>3</sup> bed volume
- $\rho_r$  = resin density = 1.2 g dry resin/cm<sup>3</sup> resin
- n = 1 in the Freundlich Isotherm
- per unit bed volume, there is 100 times more protein adsorbed as there is in the fluid at equilibrium.

C\*<sub>1</sub> = 1 mg protein/cm<sup>3</sup> fluid at equilibrium

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### 3. Product Purification / Contaminant Removal: Adsorption Equilibrium - Adsorbent Capacity

#### **Problem Solution:**

1. First, calculate  $K_F$  in the Freundlich Isotherm.

 $C_s^* = K_F C_L^*$  is the Freundlich Isotherm ferlin

Basis of 1 cm3 bed volume perform a solute mass balance

"mass adsorbed to resin in<sup>3</sup>1bærd volume 100 mass of protein in flu

$$C_s^* \rho_r (1-\varepsilon)(1 \text{ cm}) = 100 \text{ } \zeta \varepsilon$$

or 
$$C_s = \frac{100 \, C_r \, \varepsilon}{\rho_r \, (1-\varepsilon)} = K_F \, C_L \implies K_F = \frac{100 \, \varepsilon}{\rho_r \, (1-\varepsilon)}$$

$$K_F = \frac{100(0.4)}{1.2 (1-0.4)} = 55.6 \frac{\text{cm}^3 \text{ fluid}}{\text{g dry resin}}$$

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## 3. Product Purification / Contaminant Removal: Adsorption Equilibrium - Adsorbent Capacity

#### **Problem Solution:**

2. Use the Freundlich Isotherm plus m=1 kg resin to calculate capacity.

Capacity = 
$$m C_s^* = m K_F C_L^*$$
  
=  $(1,000 \text{ g dry resin}) \left(55.6 \frac{\text{cm}^3 \text{ fluid}}{\text{g dry resin}}\right) \left(1 \frac{\text{mg protein}}{\text{cm}^3 \text{ fluid}}\right)$   
=  $55,555.6 \text{ mg Protein}$ 

For each kg dry resin

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### 3. Product Purification / Contaminant Removal: Adsorption Equilibrium - Batch Adsorption

#### Example Problem 2: Batch Adsorption

An aqueous solution of protein (10 mg/cm³) of volume 1000 cm³ is contacted with 10 g of the resin (from the prior example problem). What is the concentration remaining in the aqueous phase after equilibrium is achieved?

- m = mass of dry resin in a column = 10 g
- n = 1 in the Freundlich Isotherm
- V = volume of aqueous solution = 1,000 cm<sup>3</sup>.

 $K_F = 55.6 \text{ cm}^3 \text{ solution/g dry resin}$ 

Mass Balance on Protein

$$C_S^* m + C_L^* V = (10 \frac{\text{mg protein}}{\text{cm}^3}) V$$

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# 3. Product Purification / Contaminant Removal: Adsorption Equilibrium - Batch Adsorption

#### Example Problem 2: Batch Adsorption (cont.)

Equilibrium

$$C_S^* = K_F C_L^{*(1/n)} = K_F C_L^* \text{ for } n = 1$$

Mass Balance Equation becomes:

$$K_F C_L^* (100 \text{ g resin}) + C_L^* (1,000 \text{ cm}^3) = 10^4 \text{ mg Protein}$$

$$C_L^*$$
 ((100 g resin)  $K_F + 1,000 \text{ cm}^3$ ) =  $10^4 \text{ mg Protein}$ 

$$C_L^* = \frac{10^4 \text{ mg Protein}}{((100 \text{ g resin}) (55.6 \frac{\text{cm}^3 \text{ fluid}}{\text{g resin}}) + 1,000 \text{ cm}^3)}$$

$$C_L^* = 1.52 \text{ mg Protein/cm}^3$$

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## 3. Product Purification / Contaminant Removal: Adsorption Equilibrium - Batch Adsorption

#### Example Problem 2: Batch Adsorption (cont.)

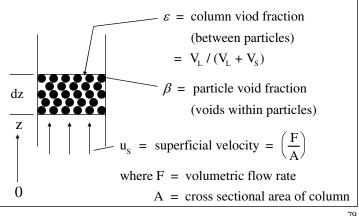
% Recovery of Protein = 
$$\left(1 - \frac{C_L^*}{C_{Lo}}\right)100$$
  
=  $\left(1 - \frac{1.52}{10}\right)100 = 84.76\%$ 

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### 3. Product Purification / Contaminant Removal: Fixed Bed Adsorption

Theory of Solute Movement in Fixed-Bed Adsorption Columns: (Blanch and Clark, "Biochemical Engineering", pg 514-517)



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### 3. Product Purification / Contaminant Removal: Fixed Bed Adsorption

Theory of Solute Movement in Fixed-Bed Adsorption Columns: (cont.)

$$\frac{\partial (V_L C_L)}{\partial t} + \frac{\partial (V_S \bar{s})}{\partial t} + u_S \frac{\partial (V C_L)}{\partial z} = D_L \frac{\partial^2 (V C_L)}{\partial z^2}$$

(accumulation (accumulation (convective (axial in liquid) in solid) flow) dispersion)

 $\overline{s}(t,z) = \overline{C}_{Li} \beta + \rho_P \overline{C}_S$  --- avg. concentration inside particle

$$\overline{C}_{Li} = \frac{\int_0^R C_{Li}(t, r, z) 4\pi r^2 dr}{\frac{4}{3}\pi R^2} = \frac{3}{R^3} \int_0^R r^2 C_{Li}(t, r, z) dr$$

 $\overline{C}_{S} = \frac{3}{R^3} \int_0^R r^2 C_{Si}(t, r, z) dr$   $D_L = \text{axial dispersion coefficient (cm}^2 / \text{s})$ 

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### 3. Product Purification / Contaminant Removal: Fixed Bed Adsorption

Theory of Solute Movement in Fixed-Bed Adsorption Columns:

(cont.) Assumptions:

$$C_{Si} >> C_{Li} \text{ so } \overline{s} \cong \rho_P \overline{C}_S$$

Neglect Dispersion,  $D_r \cong 0$ 

$$\frac{\partial C_{L}}{\partial t} + u_{i} \frac{\partial C_{L}}{\partial z} + \rho_{P} \left(\frac{1-\varepsilon}{\varepsilon}\right) \frac{\partial \overline{C}_{S}}{\partial t} = 0$$

Another Assumption: instantaneous equilibrium,

C<sub>si</sub> is uniform in the particles

$$\overline{C}_S = C_S = f(C_L)$$

so 
$$\frac{\partial \overline{C}_{s}}{\partial t} = \frac{\partial C_{s}}{\partial t} = \left(\frac{\partial C_{s}}{\partial C_{L}}\right) \left(\frac{\partial C_{L}}{\partial t}\right) = f'(C_{L}) \left(\frac{\partial C_{L}}{\partial t}\right)$$

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### 3. Product Purification / Contaminant Removal: Fixed Bed Adsorption

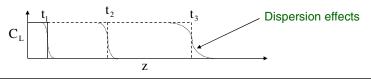
Theory of Solute Movement in Fixed-Bed Adsorption Columns:

(cont.)

Therefore

$$\frac{\partial C_L}{\partial t} + \frac{u_i}{\left[1 + \rho_p \left(\frac{1 - \varepsilon}{\varepsilon}\right) f'(C_L)\right]} \frac{\partial C_L}{\partial z} = 0$$

This is the form of a kinematic wave.



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## 3. Product Purification / Contaminant Removal: Fixed Bed Adsorption

Theory of Solute Movement in Fixed-Bed Adsorption Columns: (cont.)

The velocity of solute propagation is

$$-\frac{dz}{dt} = -\frac{\left(\frac{\partial C_{l}}{\partial t}\right)}{\left(\frac{\partial C_{l}}{\partial z}\right)} = \boxed{\frac{u_{l}}{\left[1 + \rho_{p}\left(\frac{1 - \varepsilon}{\varepsilon}\right) f'(C_{L})\right]}}$$

the mean retention time of solute in the c

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## 3. Product Purification / Contaminant Removal: Basics of Chromatography

- a solution containing a mixture of solutes (in a small volume) is added to the top of the column.
- a solvent (volume  $\Delta V$ ) is added to the top of the column.
- the solvent flow carries the solutes toward the bottom of the column.

"Bioprocess Engineering:
Basic Concepts"
Shuler and Kargi,
Prentice Hall, 2002

Solvent
Adsorbed
band

AX
Adsorbent

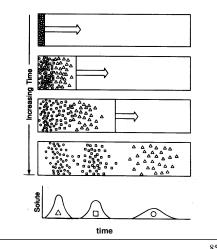
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## 3. Product Purification / Contaminant Removal: Basics of Chromatography

- each solute is carried along at a different apparent velocity, depending upon the strength of interaction with the column packing.
- ideally, each solute exits the column as a discrete band of material.

"Bioprocess Engineering: Basic Concepts" Shuler and Kargi, Prentice Hall, 2002



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3. Product Purification / Contaminant Removal: Basics of Chromatography

A technique to separate components in a mixture based upon differential affinity for solutes for the adsorbent.

The affinity is quantified by the adsorption isotherm,  $C_S^* = f(C_L^*)$ , and in particular the derivative,  $f'(C_L^*)$ .

The affinity could also include size selection as in gel permeation or molecular sieve chromatography.

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## 3. Product Purification / Contaminant Removal: Theory of Chromatography

#### A Theory of Solute Movement

How much solvent ( $\Delta V$ ) is needed to move a solute a distance  $\Delta x$ ?

Solute balance over a differential column height  $\Delta x$ 

$$-\left[\left(\frac{\partial C_{L}}{\partial x}\right)\Delta x\right]\Delta V = \varepsilon A \Delta x \left(\frac{\partial C_{L}}{\partial V}\right)\Delta V + A \Delta x \left(\frac{\partial C_{S}}{\partial V}\right)\Delta V$$

rate of solute rate of solute removal by removal from removal from solvent flow void space solid phase

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## 3. Product Purification / Contaminant Removal: Theory of Chromatography (cont.)

Simplifying Yields:

$$\frac{\partial C_L}{\partial x} + A \left( \varepsilon \frac{\partial C_L}{\partial V} + \frac{\partial C_S}{\partial V} \right) = 0$$

linear adsorption isotherm:

$$C_S' = M f(C_L)$$
amount of adsorbed a function of  $C_L$ 
solute per unit volume of column volume of column

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## 3. Product Purification / Contaminant Removal: Theory of Chromatography (cont.)

Simplifying Yields:
$$-\frac{\partial C_L}{\partial x} = A \left(\varepsilon + M f'(C_L)\right) \frac{\partial C_L}{\partial V}$$
rearranging
$$\left(\frac{\partial V}{\partial x}\right) = A \left(\varepsilon + M f'(C_L)\right)$$
Integrating from  $x_o$  to  $x$  and  $V_o$  to  $V$ 

$$\Delta x = \frac{\Delta V}{A \left(\varepsilon + M f'(C_L)\right)}$$
distance that elution volume of solute band solvent moves

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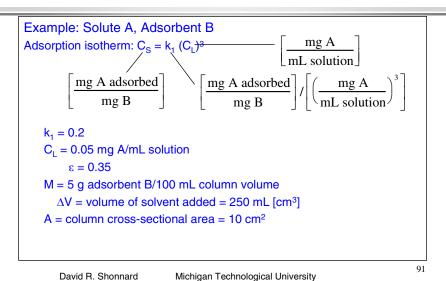
# 3. Product Purification / Contaminant Removal: Theory of Chromatography (cont.)

• The stronger the adsorption interaction, the shorter the travel distance,  $\Delta x$ , for a given elution volume,  $\Delta V$ .

• a stronger adsorption interactions means a greater value of M f'(C<sub>L</sub>).

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### 3. Product Purification / Contaminant Removal: Theory of Chromatography (cont.)



## 3. Product Purification / Contaminant Removal: Theory of Chromatography (cont.)

Find 
$$\Delta x$$

$$f(C_L) = k_1 C_L^3 \quad \text{therefore}$$

$$f'(C_L) = 3k_1 C_L^2$$

$$= (3)(2)(0.05) = .0015 \left[ \frac{\left(\frac{\text{mg A ads.}}{\text{mg B}}\right)}{\left(\frac{\text{mg A}}{\text{mL soln.}}\right)} \right]$$

$$M = \frac{5 \text{ g B}}{100 \text{ mL column volume}} = \frac{50 \text{ mg B}}{\text{mL column volume}}$$

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## 3. Product Purification / Contaminant Removal: Theory of Chromatography (cont.)

Find 
$$\Delta x$$

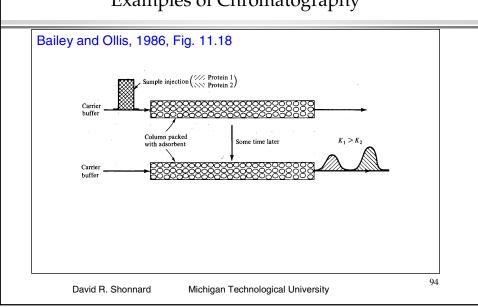
$$\Delta x = \frac{\Delta V}{A\left(\varepsilon + M \text{ f '}(C_L)\right)}$$

$$250 \text{ mL}\left(\frac{1 \text{ cm}^3 \text{ soln.}}{\text{mL soln.}}\right)$$

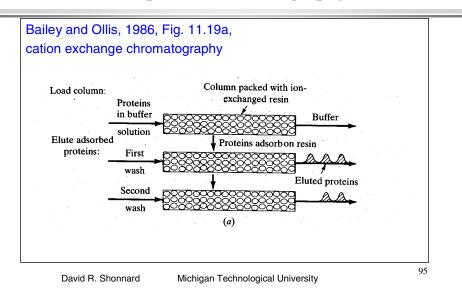
$$\left[(10 \text{ cm}^2)\left(0.35 \frac{\text{cm}^3 \text{ soln.}}{\text{cm}^3 \text{ coln vol}} + 50 \frac{\text{mg B}}{\text{cm}^3 \text{ coln vol}} \left(.0015 \frac{\text{mg A / mg B}}{\text{mg A / cm}^3 \text{ soln.}}\right)\right)\right]$$

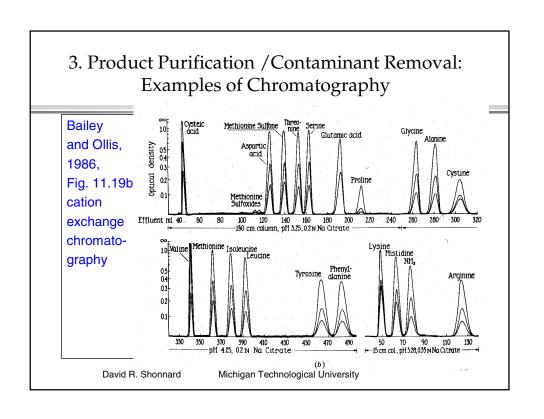
$$\Delta x = 58.5 \text{ cm}$$
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# 3. Product Purification / Contaminant Removal: Examples of Chromatography



## 3. Product Purification / Contaminant Removal: Examples of Chromatography





## 3. Product Purification / Contaminant Removal: Examples of Chromatography

### Gel Permeation Chromatography: separations based on molecular size

#### **Equivalent Equilibrium Constant**

$$K_{av,i} = exp(-\pi L(r_g + r_i)^2)$$

where

L = concentration of gel fiber (cm/cm<sup>3</sup>)

 $r_g$  = radius of a gel fiber (cm)

r<sub>i</sub> = radius of a spherical molecule of species, i (cm)

 $\boldsymbol{K}_{av,i}$  is equivalent to  $f^{`}(\boldsymbol{C}_{L})$  in calculating  $\overline{t}$  or  $\frac{dz}{dt}$ 

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## 3. Product Purification / Contaminant Removal: Examples of Chromatography

#### Molecule radii estimated based on protein diffusion coefficients

	Table 11.6 Some	 adii estimated		from diffusion		studies fo	for
	several molecules <sup>†</sup>						
lis,	-	 		Diffus	ity, <i>D</i> × 10 <sup>7</sup>	,	

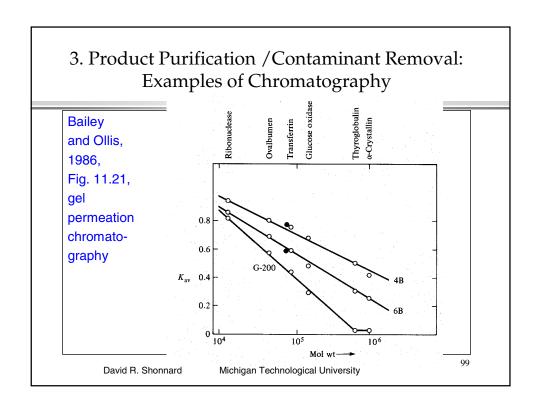
Mol wt	Diffusity, $D \times 10^7$ , cm <sup>2</sup> /s	$r_i$ , Å
13,683	11.9	18.0
14,100	10.4	20.6
23,200	9.5	22.5
65,000	5.94	36.1
250,000	4.1	52.2
480,000	3.46	61.9
	$r_g$ , Å	
	7 25	
	13,683 14,100 23,200 65,000 250,000	13,683 11.9 14,100 10.4 23,200 9.5 65,000 5.94 250,000 4.1 480,000 3.46   7

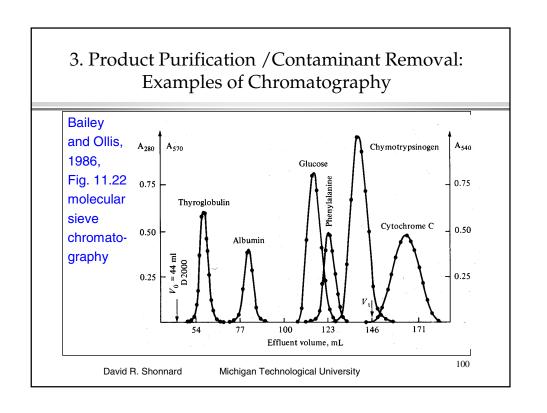
<sup>†</sup> Selected from summary in C. Tanford, Physical Chemistry of Macromolecules, table 21.1, John Wiley & Sons, Inc., New York, 1961.

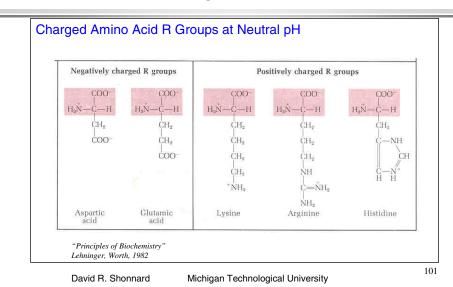
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Bailey and Oli 1986,

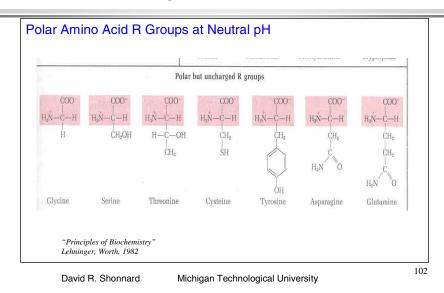
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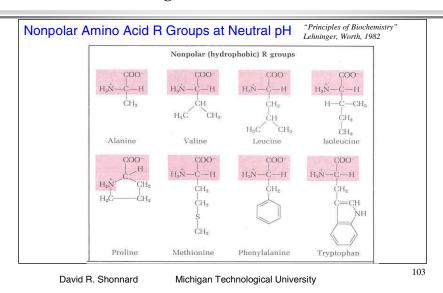




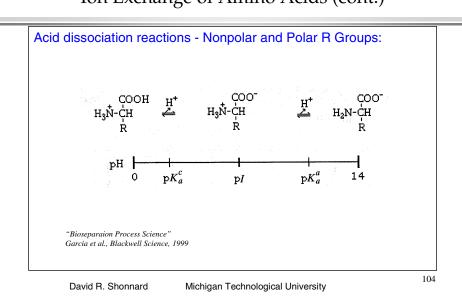


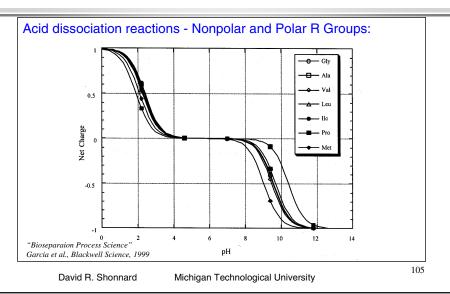
## 3. Product Purification / Contaminant Removal: Ion Exchange of Amino Acids (cont.)

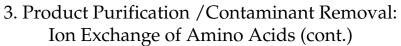


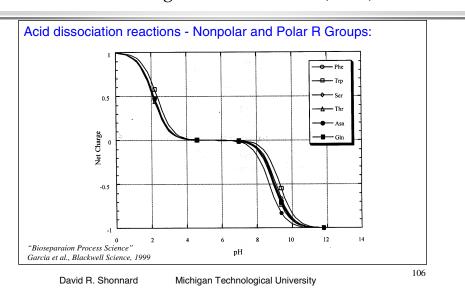


## 3. Product Purification / Contaminant Removal: Ion Exchange of Amino Acids (cont.)





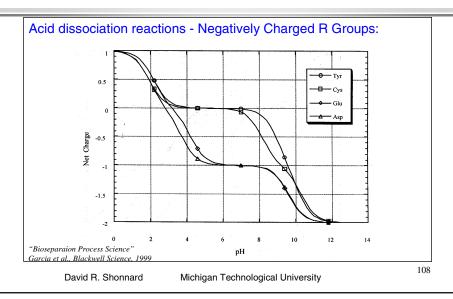


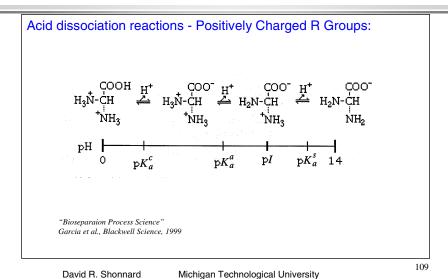


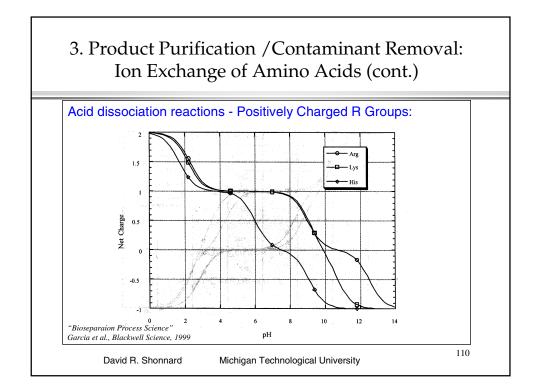
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# 3. Product Purification / Contaminant Removal: Ion Exchange of Amino Acids (cont.)

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$$\begin{aligned} &\text{HA} \longleftrightarrow_{K_{\alpha}} \text{A}^{-} + \text{H}^{+} \quad \Rightarrow \quad \text{K}_{\alpha} = \frac{(\text{H}^{+})(\text{A}^{-})}{(\text{HA})} \\ &\log K_{\alpha} = \log (\text{H}^{+}) + \log \frac{(\text{A}^{-})}{(\text{HA})} \\ &\text{pH} = -\log (\text{H}^{+}) \quad \text{and} \quad \text{pK}_{\alpha} = -\log K_{\alpha} \\ &\text{pH} = \text{pK}_{\alpha} + \log \frac{(\text{A}^{-})}{(\text{HA})} \quad \text{or} \quad \frac{(\text{A}^{-})}{(\text{HA})} = 10^{(\text{pH} + \text{pK}_{\alpha})} \\ &\text{but} (\text{HA}) = (\text{HA}) + (\text{A}^{-}) \quad \text{or} \quad (\text{HA}) = (\text{HA})_{\circ} - (\text{A}^{-}) \\ &\frac{(\text{A}^{-})}{(\text{HA})_{\circ} - (\text{A}^{-})} = 10^{(\text{pH} + \text{pK}_{\alpha})} \quad \text{and} \quad \frac{(\text{A}^{-})}{(\text{HA})_{\circ}} = \frac{10^{(\text{pH} + \text{pK}_{\alpha})}}{1 + 10^{(\text{pH} + \text{pK}_{\alpha})}} \end{aligned}$$

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## 3. Product Purification / Contaminant Removal: Ion Exchange of Amino Acids (cont.)

#### Acid dissociation reactions - Stoichiometry of $NH_3^+ = HA^+$ :

$$\begin{aligned} & \text{HA}^{+} \longleftrightarrow_{K_{\alpha}} \text{A} + \text{H}^{+} & \Rightarrow \quad \text{K}_{\alpha} = \frac{(\text{H}^{+})(\text{A})}{(\text{HA}^{+})} \\ & \text{log } \text{K}_{\alpha} = \text{log } (\text{H}^{+}) + \text{log} \frac{(\text{A})}{(\text{HA}^{+})} \\ & \text{pH} = -\text{log } (\text{H}) \quad \text{and } \quad \text{pK}_{\alpha} = -\text{log } \text{K}_{\alpha} \\ & \text{pH} = \text{pK}_{\alpha} + \text{log} \frac{(\text{A})}{(\text{HA}^{+})} \quad \text{or } \quad \frac{(\text{A})}{(\text{HA}^{+})} = 10^{(\text{pH}\text{pK}_{\alpha})} \\ & \text{but } (\text{HA}^{+})_{o} = (\text{HA}^{+}) + (\text{A}) \quad \text{or } \quad (\text{A}) = (\text{HA}^{+})_{o} - (\text{HA}^{+}) \\ & \frac{(\text{HA}^{+})_{o} - (\text{HA}^{+})}{(\text{HA}^{+})} = 10^{(\text{pH}\text{pK}_{\alpha})} \quad \text{and} \quad \frac{(\text{HA}^{+})}{(\text{HA}^{+})_{o}} = \frac{1}{1 + 10^{(\text{pH}\text{pK}_{\alpha})}} \end{aligned}$$

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#### Charge on amino acid groups:

$$\alpha$$
-amine grou $\alpha$  (NH<sub>2</sub>)

 $\alpha$ -amine group (NH<sub>2</sub>)  $\alpha$ -carboxylate group (COOH)

Charge 
$$\frac{+1}{1+10^{(pHpK_{\alpha}^{\alpha}-\alpha)}}$$

Charge 
$$\frac{+1}{1+10^{(pH\cdot pK_{\alpha}^{\alpha-\alpha})}}$$
 Charge  $\frac{(-1)10^{(pH\cdot pK_{\alpha}^{\alpha-c})}}{1+10^{(pH\cdot pK_{\alpha}^{\alpha-c})}}$ 

Charge on R Groups

Charge 
$$\frac{+1}{1+10^{(pHpK_{\alpha}^{R-\alpha})}}$$

Charge 
$$\frac{+1}{1+10^{(pH-pK_{\alpha}^{R-c})}}$$
 Charge  $\frac{(-1)10^{(pH-pK_{\alpha}^{R-c})}}{1+10^{(pH-pK_{\alpha}^{R-c})}}$ 

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### 3. Product Purification / Contaminant Removal: Ion Exchange of Amino Acids (cont.)

#### Net Charge is sum of all reactions:

-Charged R Group

net Charge 
$$\frac{+1}{1+10^{(pH-pK_{a}^{c}-c)}} + \frac{(-1)10^{(pH-pK_{a}^{c}-c)}}{1+10^{(pH-pK_{a}^{c}-c)}} + \frac{(-1)10^{(pH-pK_{a}^{c}-c)}}{1+10^{(pH-pK_{a}^{c}-c)}}$$

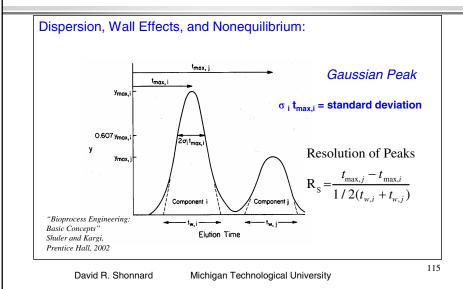
+Charged R Group

net Charge 
$$\frac{+1}{1+10^{(pH-pK_{\alpha}^{ec})}} + \frac{(-1)10^{(pH-pK_{\alpha}^{ec})}}{1+10^{(pH-pK_{\alpha}^{ec})}} + \frac{+1}{1+10^{(pH-pK_{\alpha}^{ec})}}$$

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## 3. Product Purification / Nonideal effects on Chromatographic Separations



## 3. Product Purification / Nonideal Effects on Chromatographic Separations

#### Prediction of Peak Width:

$$y_i = y_{\text{max},i} \exp \left[ -\frac{(t - t_{\text{max},i})^2}{2(\sigma t_{\text{max},i})^2} \right]$$

#### $\boldsymbol{\sigma}$ depends on dispersion and adsorption kinetics

$$\sigma^{2} = \frac{v}{kal}$$
 v=superficial velocity,  

$$ka = \text{surface adsorption reaction rate}$$
  

$$l = \text{column length}$$

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## 3. Product Purification / Nonideal Effects on Chromatographic Separations

#### Prediction of Peak Height:

 $y_{\text{max},i}$  is inversely proportional to  $\sqrt{\sigma t_{\text{max},i}}$ 

#### $\sigma$ may depend on other processes

$$\sigma^2 \propto \frac{vd^2}{l}$$
 internal diffusion control,

$$\sigma^2 \propto \frac{v^{1/2} / d^{3/2}}{l}$$
 external film control,

$$\sigma^2 \propto \frac{vd^2}{Dl}$$
 Taylor dispersion (laminar flow),

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### 3. Product Purification /Scale Up of Chromatographic Separations

#### To Handle Increased Amount of Product:

- 1. Increase solute concentration using same column (may saturate column, leading to reduced purity)
- 2. Increase column cross sectional area, *A*, and particle diameter, *d* (maintains flow patterns, but σ increases if *d* increases)
- 3. Fix d but increase v and l, but maintain ratio of v to l constant ( $\sigma$  will be unchanged, but pressure drop will increase)
- 4. Increase A and volumetric flow rate, such that v is constant ( $\sigma$  remains constant, the desired outcome!)

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## 3. Product Purification / Scale Up of Chromatographic Separations

Recent Advances in Chromatographic Packing:

- 1. Rigid beads with *macropores* inside particles
- 2. Allows higher flowrates without bead compression
- 3. Allows higher flowrates without excessive pressure drop
- 4. Good mass transfer is maintained between <u>macropores</u> and <u>micropore</u> within particles.

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#### 3. Chromatographic Separation of Proteins from Cheese Whey Separator Chandrasekaran, R., MS Thesis, Dept. of Chemical Engineering Heat Treatment Standardize Ultrafiltration Acid Rennet/Heat (Optional) Cheese Milk UF Milk Whey Curds Evaporator Rennet/Acid Evaporator/ Spray Dryer Spray Dryer Wash Evaporator/ NFDM MPC Spray Dryer Evaporator/ Spray Dryer Dry Whole Evaporator/ Whey Casein Spray Dryer Evaporator/ Spray Dryer 120 David R. Shonnard

### 3. Chromatographic Separation of Proteins from Cheese Whey (cont.)

Chandrasekaran, R., MS Thesis, Dept. of Chemical Engineering MTU

Table 1.2 Composition of Whey (Weight %) (Kosikowski et al., 1997)

	Fluid Sweet Whey			
Water	93.7			
Total Solid	6.35			
Fat	0.5			
Protein	0.8			
Lactose	4.85			
Ash	0.5			
Lactic Acid	0.05			

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## 3. Chromatographic Separation of Proteins from Cheese Whey (cont.)

Whey proteins are finding increasing application in the fields of nutrition (protein powder), as an antibiotic, and in other pharmaceutical applications. Individual whey proteins can be separated using cation exchange chromatography, using pH change during elution to recover individual proteins.

Table 1. Isoelectric Points of Major Whey Proteins [1]

Whey Protein	Isoelectric Point		
β-lactoglobulin	5.35-5.49 4.2-4.5		
α-lactalbumin			
Bovine Serum Albumin	5.13		
Immunog lobulins	5.5-8.3		
Lactoferrin	7.8-8.0		
Lactoperoxidase	9.2-9.9		

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# 3. Chromatographic Separation of Proteins from Cheese Whey (cont.)

Whey proteins have a range of molecular weights.

Table 2. Major Whey Protein Molecular Weights [1]

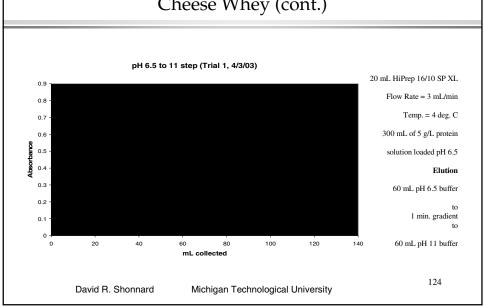
Whey Protein	Molecular Weight		
β-lactoglobulin	18,300		
α-lactalbumin	14,000		
Bovine Serum Albumin	69,000		
Immunoglobulins	150,000		
Lactoferrin	77,000		
Lactoperoxidase	77,500		

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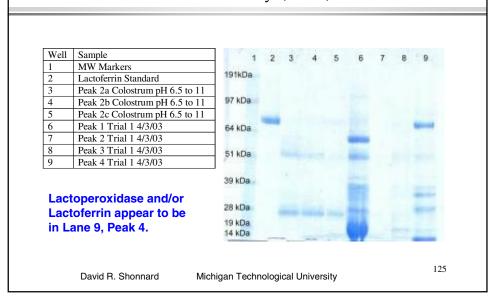
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## 3. Chromatographic Separation of Proteins from Cheese Whey (cont.)



### 3. Chromatographic Separation of Proteins from Cheese Whey (cont.)



### 3. Effects of pH Gradient on Peak Resolution

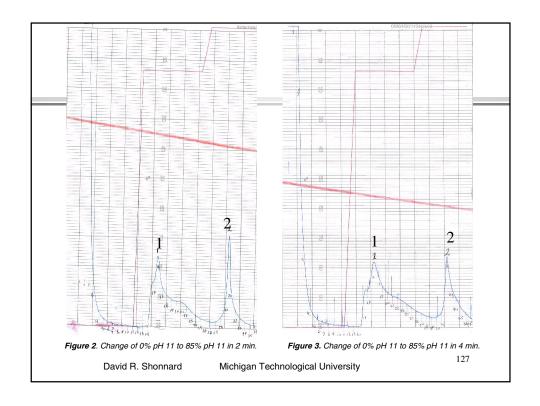
500 ml of a solution of 5 g/L whey protein powder were loaded in the column HiPrep 16/10 SP XL and eluted using gradients from 0 to 85% pH11 (+ 15% pH 6.5 yielding pH 8.5 solution) in 2, 4, 6, 8, 10, 12 and 14 min, using program 2.

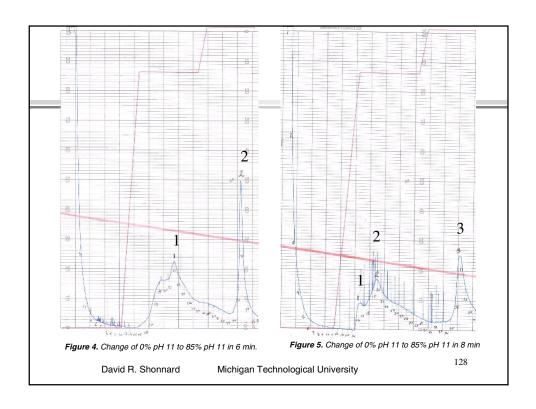
		Program 2					
Breakpoint (min)	Conc %B	Flow rate (ml/min)	Fraction volume (ml)	Tube A	Tube B	Valve position	
0	0	3	5	pH 6.5	pH 11	Load	
20	0	3	5	pH 6.5	pH 11	Load	
(20+x)	85	3	5	pH 6.5	pH 11	Load	
(40+x)	85	3	5	pH 6.5	pH 11	Load	
(43+x)	100	3	5	pH 6.5	pH 11	Load	
(58+x)	100	3	5	pH 6.5	pH 11	Load	

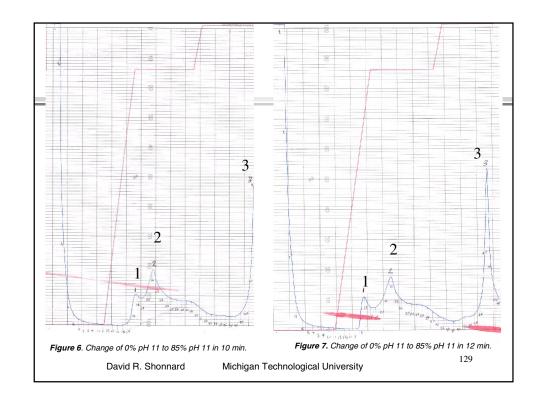
Where x is the time for the pH gradient from 0% pH 11 to 85% pH 11.

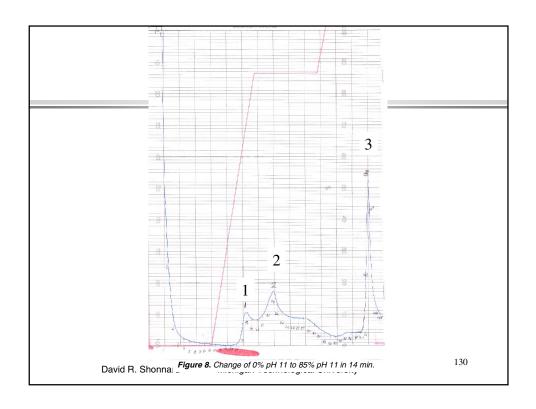
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Crystallization is a nucleation process started from a concentrated solution:

- 1. Occurs when concentration exceeds saturation
- 2. Crystals have a well-defined morphology, large particle size
- 3. Homogeneous nucleation occurs when a solid interface is absent
- 4. Heterogeneous nucleation occurs when a foreign interface is present.
- 5. Secondary nucleation occurs in the presence of a crystal interface of the same solute

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### 4. Product Preparation / Crystallization

Critical cluster or nucleus is the largest cluster of molecules just prior to spontaneous nucleation:

- 1. n\* is the number of molecules in the critical nucleus.
- 2. Subcritical clusters refers to when, n < n\*
- 3. Supercritical clusters refers to when  $n > n^*$
- 4. An <u>embryo</u> is a cluster having  $n = n^*$ .
- 5. An embryo or <u>critical nucleus</u> can range from 10 nm to several  $\mu m$  in size.

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Steps in nucleation and crystal growth

$$\mathsf{B} + \mathsf{B} \iff \mathsf{B_2} + \mathsf{B} \iff \mathsf{B_3} + \mathsf{B} \ldots \ldots$$

$$B_{n-1} + B \iff B_n$$
 a critical cluster is formed

$$B_n + B \Leftrightarrow B_{n+1} \downarrow \text{ which undergoes nucleation}$$

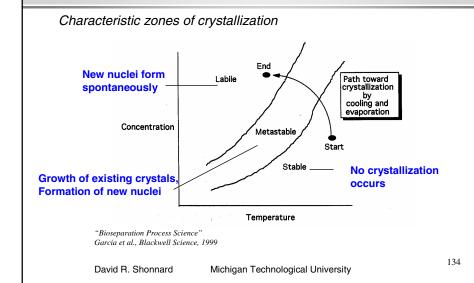
 $B_{n+1} + B \Rightarrow$  which undergoes crystal growth

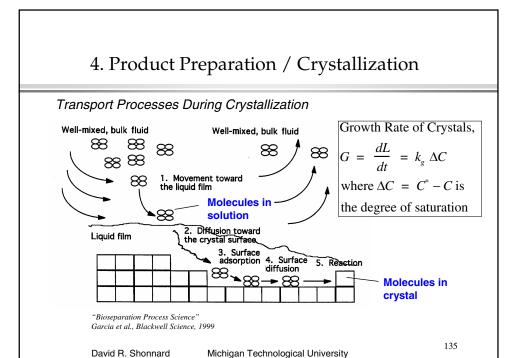
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### 4. Product Preparation / Crystallization





Thermodynamics of Homogeneous Nucleation

Free Energy Change for Homogeneous Nucleation

$$\Delta G_{\rm Homogeneous} = \Delta G_{\rm Surface\ formation} + \Delta G_{\rm Clustering}$$

"Bioseparation Process Science" Garcia et al., Blackwell Science, 1999,

$$\Delta G_{Surface\ formation} = 4\pi r^2 \gamma_{sl}$$

where  $\gamma_{sl}$  is the surface tension of the solid/liquid interface

$$\Delta G_{Clustering} = -RT \ln \left(\frac{C}{C^*}\right) \frac{4/3\pi r^3}{V_{molar,solid}}$$

The critical nucleus,  $r_c$ , is where there is a maximum in  $\Delta G_{Homogeneous}$ 

$$\frac{d\Delta G_{Homogeneous}}{dr} = 0 = 8\pi r_c \gamma_{sl} - RT \ln \left(\frac{C}{C^*}\right) \frac{4\pi r_c^2}{V_{molor solid}}$$

$$r_c = \frac{2\gamma_{sl} V_{molar, solid}}{RT \ln\left(\frac{C}{C^*}\right)}$$

Useful calculation when <u>seeding</u> a Crystallization process

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Rate of Formation of Nuclei, dN/dt

Nucleation is analogous to reaction kinetics,

$$B^{0} = \frac{dN}{dt} = A \exp\left(-\frac{\Delta G_{\text{max}}}{RT}\right)$$

$$= A \exp \left(-\frac{16\pi \gamma_{sl}^3 V_{\text{molar,solid}}^2}{3R^3 T^3 \left(\ln\left(\frac{C}{C^*}\right)\right)^2}\right)$$

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### 4. Product Preparation / Crystallization

Batch Crystallization, Solid Phase Balance

1. Cummulative Number of Crystals, N versus size, L

or

2. Population Density, *n* Slope of *N* vs *L* curve

A balance on *n* tracks the number of crystals entering and leaving a specific size range due to crystal growth.

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Batch Crystallization, Population Balance Equation

$$\begin{bmatrix} \text{Number of} \\ \text{crystals initially} \\ \text{within range, } \Delta L \end{bmatrix} + \begin{bmatrix} \text{Number of} \\ \text{crystals growing} \\ \text{into range, } \Delta L \end{bmatrix} = \begin{bmatrix} \text{Number of} \\ \text{crystals at end} \\ \text{within range, } \Delta L \end{bmatrix} + \begin{bmatrix} \text{Number of} \\ \text{crystals growing} \\ \text{out of range, } \Delta L \end{bmatrix}$$

 $V n_{\text{initial}} \Delta L + V G_1 n_1 \Delta t = V n_{\text{final}} \Delta L + V G_2 n_2 \Delta t$ V is volume,  $\Delta L$  is size range, G is growth rate of crystal size (dL/dt),  $\Delta t$  is a small time step. subscript 1 is a smaller size range, subscript 2 is size range for  $\Delta L$ .

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### 4. Product Preparation / Crystallization

Batch Crystallization, Population Balance Equation (cont.)

divide by V,  $\Delta L$ , and  $\Delta t$  and allow  $\Delta L$  and  $\Delta t$  to go to 0.

$$\frac{dn}{dt} + \frac{d(Gn)}{dL} = 0$$

Assuming G is a constant over all L

$$\frac{dn}{dt} + G\frac{dn}{dL} = 0$$

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Batch Crystallization, Population Balance Equation (cont.)

boundary conditions (BCs) for nucleation

at 
$$t = 0, n = 0$$

at 
$$L = 0, n = \frac{B^0}{G}$$

as  $L \rightarrow \infty$ , *n* is finite

solve population balance equation and BCs using Laplace Transforms

$$\overline{n} = \frac{B^0}{Gs} \exp\left(-\frac{Ls}{G}\right)$$
 in the Laplace Domain

$$n = B^0 u \left( t - \frac{L}{G} \right)$$

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### 4. Product Preparation / Crystallization

Batch Crystallization, Cumulative Crystal Mass

M is cumulative crystal mass per unit volume

$$M = \rho_{\rm c} k_{\rm v} \int_{0}^{L} nL^3 dL$$

where  $\rho_{\rm c}$  is density of crystal solid and  $k_{\rm v}$  is a shape factor

and as  $L \to \infty$ ,

$$M = W = \frac{1}{4} \rho_{\rm c} k_{\rm v} B^0 G^3 t^4$$

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Batch Crystallization, Cooling Curve

Determine the time - temperature relationship to achieve a constant degree of supersaturation during batch crystallization

rate of change of solute concentration = - rate of change of W

$$\frac{dC}{dt} = -\frac{dW}{dt}$$

$$\frac{dC}{dt} = -\rho_{\rm c} k_{\rm v} B^0 G^3 t^3$$

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### 4. Product Preparation / Crystallization

Batch Crystallization, Cooling Curve (cont.)

to achieve a constant degree of supersaturation, the rate of temperature change must be proportional to  $\frac{dC}{dt}$ 

$$\frac{dC}{dt} = k_T \frac{dT}{dt} = -\rho_c k_v B^0 G^3 t^3$$

integrating from the temperature that crystals start to form,  $T_0$ , at t = 0, we find that

$$T_0 - T = \frac{\rho_c k_v B^0 G^3 t^4}{4 k_T}$$

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Continuous Crystallization, Solid Phase Balances

$$\begin{bmatrix} \text{Number of} \\ \text{crystals growing} \\ \text{into range, } \Delta L, \\ \text{over a time, } \Delta t \end{bmatrix} + \begin{bmatrix} \text{Number of} \\ \text{crystals entering} \\ \text{range, } \Delta L, \text{ by flow} \end{bmatrix} = \begin{bmatrix} \text{Number of} \\ \text{crystals growing} \\ \text{out of range, } \Delta L, \\ \text{over a time, } \Delta t \end{bmatrix} + \begin{bmatrix} \text{Number of} \\ \text{crystals leaving} \\ \text{range, } \Delta L, \text{ by flow} \end{bmatrix}$$

 $VG_1n_1\Delta t + Qn_{\rm in}\Delta L\Delta t = VG_2n_2\Delta t + Vn\Delta L\Delta t$ V is volume,  $\Delta L$  is size range, G is growth rate of crystal size (dL/dt),  $\Delta t$  is a small time step, Q is volumetric flow rate through crystallizer. subscript 1 is a smaller size range, subscript 2 is size range for  $\Delta L$ , subscript in is for inlet conditions.

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### 4. Product Preparation / Crystallization

Continuous Crystallization, Solid Phase Balances

divide by  $\Delta L$ , and  $\Delta t$  and allow  $\Delta L$  and  $\Delta t$  to go to 0, and assuming that no crystals are entering,  $n_{\rm in}=0$ , and that G is constant.

$$VG\frac{dn}{dL} + Qn = 0$$

Restating in terms of residence time,  $\tau = \frac{V}{Q}$ 

$$\frac{dn}{dL} + \frac{n}{G\tau} = 0$$

Boundary Condition, L = 0,  $n = n^o = \frac{B^o}{G}$ 

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Continuous Crystallization, Solid Phase Balances

Population density solution,

$$n = n^o \exp\left(-\frac{L}{G\tau}\right)$$

$$M = \rho_{\rm c} k_{\rm v} \int_{0}^{L} nL^{3} dL$$

where  $\rho_{\rm c}$  is density of crystal solid and  $k_{\scriptscriptstyle V}$  is a shape factor

$$M = 6 \rho_{c} k_{v} n^{o} G \tau \left(G^{3} \tau^{3} - \left(G^{3} \tau^{3} + G^{2} \tau^{2} L + \frac{1}{2} G \tau L^{2} + \frac{1}{6} L^{3}\right) \exp\left(-\frac{L}{G \tau}\right)\right)$$

and as  $L \to \infty$ ,

$$M = W = 6\rho_{c} k_{v} n^{o} G^{4} \tau^{4}$$

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### 4. Product Preparation / Crystallization

Continuous Crystallization, Advantages

#### Advantages:

- 1. Input of solute helps to maintain a constant degree of saturation,  $\Delta C$
- 2. Desirable for determining growth rates and other kinetic parameters, but are not popular in industrial applications.

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