### Finding all EQUAL PAIRS in Two Sorted Arrays

It takes a really bad school to ruin a good student and a really fantastic school to rescue a bad student.

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### Definition: Equal Pair

Given two sets of data  $\mathbf{X} = \{x_1, x_2, ..., x_m\}$ and  $\mathbf{Y} = \{y_1, y_2, ..., y_n\}$ , an **equal pair** is an element  $x_i$  in  $\mathbf{X}$  and an element  $y_j$  in  $\mathbf{Y}$ such that  $x_i = y_j$ .

### **Problem Statement**

Given two arrays x [ ] and y [ ], each of which contains sorted and distinct integers in ascending order, write a C program to report all equal pairs.

```
int x[m], y[n];
for (i = 0; i < m; i++) {
   for (j = 0; j < n; j++) {
      if (x[i] == y[j]) {
         // an equal pair found
         // report it
      else {
         // not an equal pair
         // perhaps do nothing
```

### First Thought: 1/5

- 1. Suppose arrays x [] and y [] have m and n elements.
- 2. The first thought may be this:
  - a) For each i, compare x[i] with every y[j].
  - b) Report any found equal pairs.

```
int x[m], y[n];
for (i = 0; i < m; i++) {
   for (j = 0; j < n; j++) {
      if (x[i] == y[j]) {
         // an equal pair found
         // report it
      else {
        !// not an equal pair
        // perhaps do nothing
```

### First Thought: 2/5

- 1. If x[i] and y[j] are not an equal pair, obviously nothing should happen.
- 2. The else part is empty and we should move on to the next iteration, comparing x[i] and y[j+1].

```
int x[m], y[n];
for (i = 0; i < m; i++) {
   for (j = 0; j < n; j++) {
      if (x[i] == y[j]) {
        // an equal pair found
         // report it
      else {
         // not an equal pair
         // perhaps do nothing
```

### First Thought: 3/5

- 1. If x[i] and y[j] are equal, we should record this.
- 2. The then part may have to store i and j and increase the "count" by 1 before moving on to comparing x [i] and y [j+1].

```
int x[m], y[n];
int xx[], yy[], k = 0;
for (i = 0; i < m; i++)
   for (j = 0; j < n; j++) {
       if (x[i] == y[j]) {
         xx[k] = i;
         yy[k] = j;
          k++;
         break;
              ! Because the array elements are
                distinct, once a pair is found,
               y[j+1] is a different number.
```

### First Thought: 4/5

- 1. We need arrays xx [ ] and yy [ ] for saving the positions of the found equal pairs.
- 2. The int k is a counter to keep track of the number of equal pairs found so far.
- 3. The break is used to get out of the j-loop as once an equal pair is found, we could skip the remaining of the j-loop.
- 4. Why? The arrays have distinct numbers.

```
int EQUAL PAIRS(int x[], int y[],
          int m, int n,
          int xx[], int yy[])
     int i, j, k;
     k = 0;
     for (i = 0; i < m; i++)
          for (j = 0; j < n; j++)
               if (x[i] == y[j]) {
                   |xx[k] = i;
                    yy[k] = j;
                    k++;
                   ! break;
     return k;
```

### First Thought: 5/5

- 1. Let us write a function **EQUAL\_PAIRS()** for this problem:
  - a) int x[] and y[] are the input arrays with m and n elements.
  - b) xx[] and yy[] are the returned arrays that store the locations.
  - c) k, the function value, is the number of equal pairs.

```
int EQUAL PAIRS(int x[], int y[],
          int m, int n,
          int xx[], int yy[])
     int i, j, k;
     k = 0;
     for (i = 0; i < m; i++)
          for (j = 0; j < n; j++)
               if (x[i] == y[j]) {
                    xx[k] = i;
                    yy[k] = j;
                    k++;
                    break;
     return k;
```

### Is This Good?: 1/2

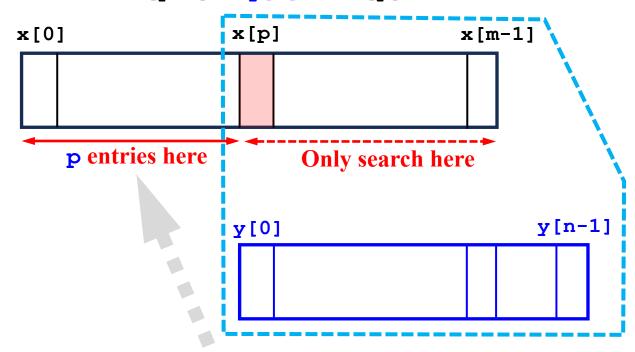
- 1. Let us determine the number of comparisons used.
- 2. In the worst case, if x[i] is not equal to any element of array y[], n comparisons are needed for the j-loop.
- 3. The i-loop iterates m times, and, in the worst case, the total number of comparisons is  $O(m \times n)$ , not very good.
- 4. If m=n, it is an  $O(n^2)$  solution.

```
int EQUAL PAIRS(int x[], int y[],
          int m, int n,
          int xx[], int yy[])
     int i, j, k;
    k = 0;
     for (i = 0; i < m; i++)
          for (j = 0; j < n; j++)
               if (x[i] == y[j]) {
                    xx[k] = i;
                    yy[k] = j;
                    k++;
                    break;
     return k;
```

### Is This Good?: 2/2

- 1. This could be an acceptable solution for beginners.
- 2. However, it does not use all of the given conditions such as **sorted** to its maximum.
- 3. It is easy to improve this version to some degree; but these could just be minor.
- 4. Well, let us see how we could improve this version for beginners.

### Find a x[p] such that x[p-1] < y[0] <= x[p]



```
for (i = 0; i < m; i++)
  if (x[i] >= y[0]) {
    p = i;
    break;
}
```

### **Improvements? 1/5**

- 1. Let us assume x[0] < y[0].
- 2. Find a x[p] such that x[p-1] < y[0] <= x[p]
- 4. In this way, we do not compare x[0] to x[p-1] because they cannot be found in x[].
- 5. Hence, we have to handle x[p..m-1] and y[0..n-1] using p comparisons.
- 6. Can we do more? YES!

### Find a x[p] such that x[p-1] < y[0] <= x[p]x[0] **x**[p] x[m-1]p entries here Only search here **y**[0] y[n-q] y[n-1]Find a y [n-q] such that y[n-(q-1)] < x[m-1] < = y[n-q]for (j = n-1; j >= 0; j--)if (y[j] < x[m-1]) { q = n-j-1;break:

### **Improvements? 2/5**

- 1. If y[n-1] > x[m-1], we could cut the comparison range further.
- 2. Find a y[n-q] such that

$$y[n-(q-1)] < x[m-1] <= y[n-q]$$

- 3. In this way, from y[n-q] to y[n-1] cannot be in x[] because they are all larger than the entries in x[].
- 4. The ranges are reduced to x[p..m-1] and y[0..n-q].

### Find a x[p] such that x[p-1] < y[0] <= x[p]**x**[0] x[p] x[m-1]p entries here Only search here y[n-q] y[n-1] Find a y [n-q] such that y[n-(q-1)] < x[m-1] < = y[n-q]for $(j = n-1; j \ge 0; j--)$ if (y[j] < x[m-1]) { q = n-j-1;break;

### **Improvements? 3/5**

- 1. Thus, p comparisons are used to cut the first p elements from x [] and q comparisons to cut q elements from y [].
- 2. The remaining elements in x[] is m-p and the remaining elements in y[] is n-q.
- 3. Therefore, each x[i] in the x[] is compared with every entries in y[0..(n-q)] which requires n-q comparisons.

### Find a x[p] such that x[p-1] < y[0] <= x[p]**x**[0] x[p] x[m-1]p entries here Only search here y[n-q] y[n-1] Find a y [n-q] such that y[n-(q-1)] < x[m-1] <= y[n-q]for $(j = n-1; j \ge 0; j--)$ if (y[j] < x[m-1]) { q = n-j-1;break;

### **Improvements? 4/5**

- 1. Because there are m-p entries in x[], the total number of comparisons is  $(m-p)\times(n-q)$ .
- 2. We also need *p* comparisons to cut **x**[] and *q* comparisons for the **y**[].
- 3. The total number of comparisons is  $(m-p)\times(n-q)+(p+q)$ .
- 4. The worst case is still  $O(m \times n)$ .
- 5. There is no improvement in the worst case!

### Find a x[p] such that x[p-1] < y[0] <= x[p]x[0] x[p] x[m-1]p entries here Only search here y[n-1] y[n-q]y[0] Find a y [n-q] such that y[n-(q-1)] < x[m-1] < = y[n-q]for $(j = n-1; j \ge 0; j--)$ if (y[j] < x[m-1]) { q = n-j-1;break;

### **Improvements? 5/5**

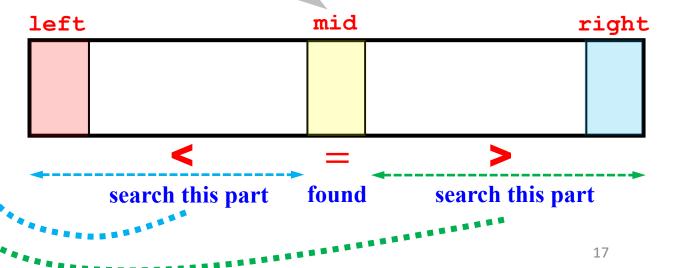
- 1. These modifications indeed can speed up the original version.
- 2. However, in doing so does not improve the worst-case in a big way.
- 3. Therefore, we have to think differently to break the  $O(m \times n)$  barrier.

### Is there a better way? Of course, the immediate answer could be BINARY SEARCH if you have reached the data structures course.

### **Basic Idea: 1/2**

```
left = 0;
right = n-1;
while (left <= right) {</pre>
   mid = (left + right)/2;
  if (DATA == y[mid]) {
      // found at mid
      // return mid
  else if (DATA < y[mid])
      right = mid - 1;
  else
      left = mid + 1;
   return not-found
```

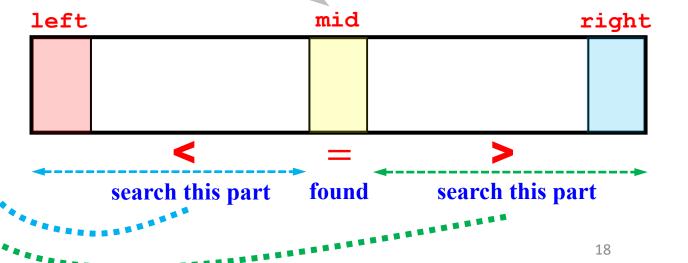
- 1. We have an array y [n] whose elements are distinct and sorted in ascending order.
- 2. Is a given data item DATA in the array? If it is, where is it?



### **Basic Idea: 2/2**

```
left
      = 0;
right = n-1;
while (left <= right) {</pre>
   mid = (left + right)/2;
  if (DATA == y[mid]) {
      // found at mid
      // return mid
   else if (DATA < y[mid])</pre>
      right = mid - 1;
  else
      left = mid + 1;
   return not-found
```

- 1. Because half of the elements is cut after each comparison, in no more than  $log_2(n)$  *iterations* we find the item or nothing.
- 2. The worst complexity is  $O(\log_2(n))$



```
int EQUAL PAIRS(int x[], int y[],
     int m, int n, int xx[], int yy[])
  int i, j, k = 0;
                          binary search
   int left, right, mid;
   for (i = 0; i < m; i++) {
     left = 0; right = n-1;
     while (left <= right) {</pre>
         mid = (left + right)/2;
         if (x[i] == y[mid]) {
            xx[k] = i; yy[k] = mid;
            k++;
            break;
         else if (x[i] < y[mid])</pre>
            right = mid - 1;
         else
            left = mid + 1;
   return k;
```

### Solution: 1/6

- 1. This a possible solution.
- 2. For each x[i], search array y[] to find it.
- 3. If x[i] is found, save positions to xx[] and yy[] and increase the count of equal pairs (int k).
- 4. Each iteration requires at most **2** comparisons.
- 4. Because each search requires  $O(\log_2(n))$  comparisons, the total number of comparisons is  $O(m \times \log_2(n))$ .

```
int EQUAL PAIRS(int x[], int y[],
     int m, int n, int xx[], int yy[])
   int i, j, k = 0;
                          binary search
   int left, right, mid;
   for (i = 0; i < m; i++) {
     left = 0; right = n-1;
     while (left <= right) {</pre>
         mid = (left + right)/2;
         if (x[i] == y[mid]) {
            xx[k] = i; yy[k] = mid;
            k++;
            break;
         else if (x[i] < y[mid])</pre>
            right = mid - 1;
         else
            left = mid + 1;
   return k;
```

### Solution: 2/6

- 1. Use x[i] to search y[], complexity:  $O(m \times \log_2(n))$ .
- 2. Use y[j] to search x[], complexity:  $O(n \times \log_2(m))$ .
- 3. Which way is better?
- 4. Of course, one should choose the longer array (i.e., the larger of m and n) to be searched by the shorter array.
- 6. Any justification?

### Rounded Up $log_2(x)$ 0 10 3.322 100 6.644 1,000 10 9.966 10,000 13.288 14 100,000 16.610 17 1,000,000 19.932 20 10,000,000 23.253 24

### Solution: 3/6

- 1. The left table shows the values of x and  $log_2(x)$ .
- 2. It is clear that the increase of  $log_2(x)$  is much slower than that of the x.
- 3. Therefore, using the shorter array to search the longer array appears to be more efficient.
- 4. We need a proof rather than an observation!

### Solution: 4/6

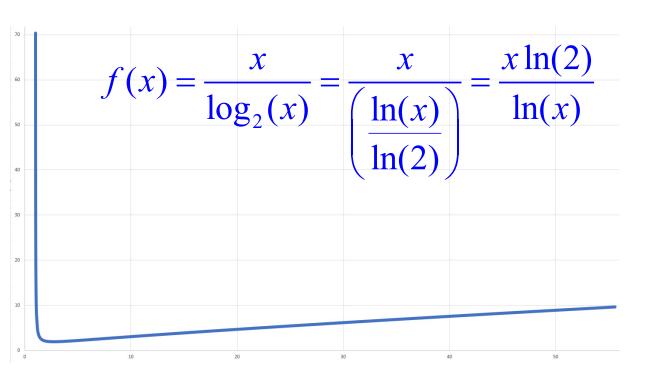
- 1. We want to know whether using the shorter array to search the longer one  $(m\log_2(n))$  uses less comparisons than using the longer array to search the shorter one  $(n\log_2(m))$ .
- 2. Divide both sides by  $\log_2(n) \times \log_2(m)$ .
- \*3. We have a function  $f(x)=x/\log_2(x)$ .

$$\frac{m \log_2(n)}{\log_2(m)} \stackrel{=>}{=>} \frac{n \log_2(m)}{\log_2(n)}$$

Let 
$$f(x) = \frac{x}{\log_2(x)}$$

If function f(x) is an increasing one, then m < n means  $m/\log_2(m) < n/\log_2(n)$ and in turn it gives  $m\log_2(n) < n\log_2(m)$ .

### Solution: 5/6



- 1. If x=1,  $\log_2(1)=0$  and f(x) is  $\infty$ .
- 2. Compute the derivative of f(x):

$$\frac{df}{dx} = \ln(2) \frac{d}{dx} \left( \frac{x}{\ln(x)} \right)$$

$$= \ln(2) \frac{\ln(x) \frac{dx}{dx} - x \frac{d(\ln(x))}{dx}}{\left(\ln(x)\right)^2}$$

$$= \ln(2) \frac{\ln(x) - 1}{\left(\ln(x)\right)^2}$$

If function f(x) is an increasing one, then m < n means  $m/\log_2(m) < n/\log_2(n)$ and in turn it gives  $m\log_2(n) < n\log_2(m)$ .  $d(\ln(x))$ 

$$f(x) = \frac{x}{\log_2(x)} = \frac{x \ln(2)}{\ln(x)}$$

$$\frac{df}{dx} = \ln(2) \frac{\ln(x) - 1}{\left(\ln(x)\right)^2}$$

$$f(x) \text{ is increasing slowly}$$

$$(e, 1.884169) \text{ is the minimum}$$

### Solution: 6/6

- 1. The minimum of f(x) is at x=e because f'(e)=0!
- 2.  $f(e) = e/\log_2(e) = 1.884169$ .
- 3. (e, 1.884169) is the minimum.
- 4. If x > e, f(x) is increasing!
- 5. Hence, as long as *m* and *n* are larger than or equal to 2, using the shorter array to

search the longer one is the way to go!

If function f(x) is an increasing one, then m < n means  $m/\log_2(m) < n/\log_2(n)$ and in turn it gives  $m\log_2(n) < n\log_2(m)$ .

### int EQUAL PAIRS(int x[], int y[], int m, int n, int xx[], int yy[]) int i, j, k = 0; int left, right, mid; binary search for (i = 0; i < m; i++) { if $(y[0] \le x[i] \&\&$ $x[i] \le y[n-1]$ ) { // do a binary search

### Improvements: 1/3

- An obvious improvement is that if x[i] is not in the range of y[0] and y[n-1], then DO NOT SEARCH.
- 2. This is because if x[i] is not in the range of y[], you won't find it in y[0..n-1].
- 3. In doing so, the worst case is still the same. For example:

```
x[] = \{1, 3, 5, 7, 9\}

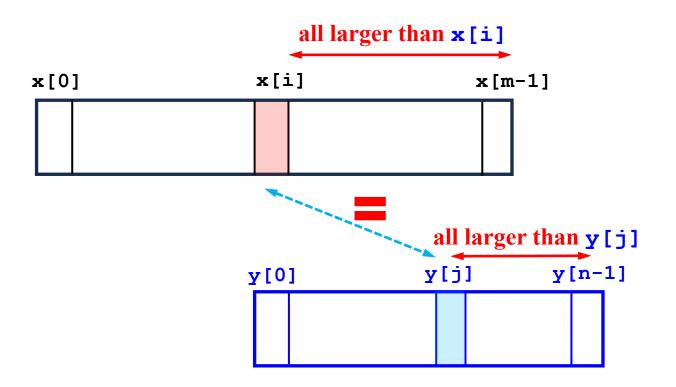
y[] = \{0, 2, 4, 6, 8, 10, 12\}.
```

### Find a x[p] such that x[p-1] < y[0] <= x[p]**x**[0] x[p] x[m-1]p entries here Only search here y[n-q]y[n-1] y[0] Find a y [n-q] such that y[n-(q-1)] < x[m-1] < = y[n-q]for $(j = n-1; j \ge 0; j--)$ if (y[j] < x[m-1]) { q = n-j-1;

break;

### Improvements: 2/3

- Just like in the previous solution, we could ignore portions of arrays x [] and/or y [] to cut down the number of comparisons.
- 2. However, this does not improve the worst case because p and q could be 0!

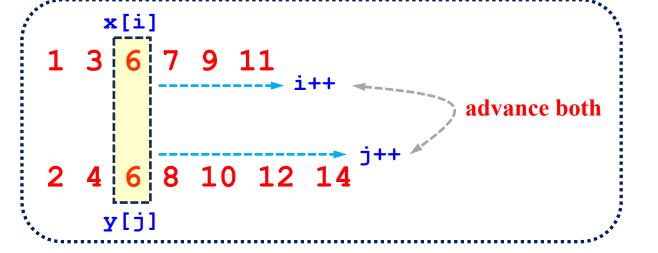


### Improvements: 3/3

- 1. If x[i] is equal to y[j], then elements in x[i+1..m-1] can not be found in y[0..j].
- 2. This is because x[] and y[] are sorted with distinct data.
- 3. Hence, if x[i] = y[j], the search range of x[i+1] is y[j+1] to y[n-1].
- 4. It does not improve the worst case complexity.
- 5. It is an important observation for developing an optimal solution.

## An Optimal Solution We have all the needed tools to do this

# all larger than x[i] x[0] x[i] x[m-1] all larger than y[j] y[0] y[j] y[n-1]



### **Idea: 1/5**

- 1. If x[i] = y[j], then elements in x[i+1..m-1] cannot be found in y[0..j].
- 2. Similarly, y[j+1..n-1] cannot be found in x[0..i].
- 3. This is because x[] and y[] are sorted with distinct data.
- 4. Hence, if x[i] = y[j],
  the search range would be
  restricted to x[i+1..m-1] and
  y[j+1..n-1].

### all larger than x[i] x[i]x[m-1]x[0]all larger than y[j] y[j] y[n-1]y[0] x[i]

advance i only

### **Idea: 2/5**

- 1. If x[i] < y[j], what is the
   next step?</pre>
- 2. x[i] cannot be found in y[j..n-1].
- 3. Therefore, try the next element x[i+1].
- 4. Consequently, if x[i] < y[j], what we need to do is i++.

### all larger than x[i] x[i] x[m-1]x[0]all larger than y [j] y[n-1]y[j] y[0] x[i]1 3 6 7/9/11 2 4 6 /8 / 10 12 14 advance j only **[**[] y

### **Idea: 3/5**

- 1. If x[i] > y[j], what is the
   next step?
- 2. y[j] cannot be found in x[i..m-1].
- 3. Therefore, try the next element y[j+1].
- 4. Consequently, if x[i] > y[j], what we need to do is j++.

### 

### **Idea: 4/5**

- 1. We have three cases:
  - a) If x[i] < y[j], set i++ to advance x[i] to the next.
  - b) If x[i] > y[j], set j++ to advance y[j] to the next.
  - a) If x[i] = y[j], set i++
     and j++ to advance both
     x[i] and y[j] to the next.
- 2. In this way, two comparisons per iteration are needed!

### **Idea: 5/5**

```
i = j = 0;
while (i < m && j < n) {
    //
    // do the comparisons
    //
}</pre>
```

- 1. i and j must start with 0!
- 2. As long as there are x[i] and y[j] in the arrays, the process continues.
- 3. Therefore, the loop structure looks like this:

```
int EQUAL PAIRS(int x[], int y[],
         int m, int n, int xx[], int yy[])
     int i, j, k;
     i = j = k = 0;
     while (i < m \&\& j < n) {
          if (x[i] < y[j])
               i++;
          else if (x[i] > y[j])
               j++;
          else {
               xx[k] = i;
               yy[k] = j;
               i++;
               j++;
               k++;
     return k;
```

### Solution

- 1. We have three cases:
  - a) If x[i] < y[j], set i++ to advance x[i] to the next.
  - b) If x[i] > y[j], set j++ to advance y[j] to the next.
  - c) If x[i] = y[j], set i++
    and j++ to advance both
    x[i] and y[j] to the next.

```
int EQUAL PAIRS(int x[], int y[],
         int m, int n, int xx[], int yy[])
     int i, j, k;
     i = j = k = 0;
     while (i < m && j < n) {
          [if (x[i] < y[j])]
               i++;
          else if (x[i] > y[j])
               j++;
          else {
               i++;
               j++;
     return k;
```

### **Analysis: 1/5**

- 1. How many comparisons?
- 2. If x[i] < y[j], then i++ and uses 1 comparison.
- 3. If x[i] > y[j], then j++ and uses 2 comparisons.
- 4. If x[i] = y[j], then i++ and j++ and uses 2 comparisons.

```
int EQUAL PAIRS(int x[], int y[],
         int m, int n, int xx[], int yy[])
     int i, j, k;
     i = j = k = 0;
     while (i < m && j < n) {
          [if (x[i] < y[j])]
               i++;
          else if (x[i] > y[j])
               j++;
          else {
               i++;
               j++;
     return k;
```

### **Analysis: 2/5**

- 1. How many comparisons?
- 2. If i takes m moves and j takes n moves, the total number of comparisons is m+2n!
- 3. Is this possible?
- 4. Yes, it is possible.
- 5. If x [m-1] is equal to y [n-1], then "i takes m moves and j takes n moves."
- 6. This requires m+2n comparisons.
- 7. This is a O(m+2n) solution.

```
int EQUAL PAIRS(int x[], int y[],
         int m, int n, int xx[], int yy[])
     int i, j, k;
     i = j = k = 0;
     while (i < m \&\& j < n) {
         if (x[i] < y[j])
               i++;
          else if (x[i] > y[j])
               j++;
          else {
               i++;
               j++;
     return k;
```

#### **Analysis: 3/5**

- 1. Assume m < n.
- 2. If x[i] = y[i] for all i, then each j++ costs 2 comparisons and the total number of comparisons is exactly 2m.

```
int EQUAL PAIRS(int x[], int y[],
         int m, int n, int xx[], int yy[])
     int i, j, k;
     i = j = k = 0;
     while (i < m \&\& j < n) {
          [if (x[i] < y[j])]
               i++;
          else if (x[i] > y[j])
               j++;
          else {
                i++;
                j++;
     return k;
```

#### **Analysis: 4/5**

- 1. Assume m < n.
- 2. If x [m-1] < y [0], then only m comparisons are needed.
- 3. On the other hand, if x[0] > y[n-1], then every comparison is a ">", the total number of comparisons is 2n.

```
int EQUAL PAIRS(int x[], int y[],
         int m, int n, int xx[], int yy[])
     int i, j, k;
     i = j = k = 0;
     while (i < m \&\& j < n) {
         if (x[i] < y[j])
               i++;
          else if (x[i] > y[j])
               j++;
          else {
               i++;
               j++;
     return k;
```

#### **Analysis: 5/5**

- 1. Assume m < n.
- 2. Therefore, call EQUAL\_PAIRS () with the longer array as the x [] and the shorter array as y [] so that m+2n is smaller.

#### < Comparison steps : 0

- > Comparison steps : 0
- ♦ Previous total : 0
- ◆ Total comparisons : 0

#### Example: 1/5

Initially, i and j are both 0.

```
i 0 1 2 3 4 5 x[] 1 2 3 8 14 ...
```

#### < Comparison steps : 3

- > Comparison steps : 1
- ♦ Previous total : 0
- ◆ Total comparisons : 3+1×2 = 5

## 



#### Example: 2/5

- Because x[0] < y[0], do i++
  until x[3] > y[0].
- We have 3 <s and 1 >.
- Then, i=3 and j moves to 1.

6

#### Comparison steps Previous total $: 5 + (1+3\times2) = 12$ Total comparisons

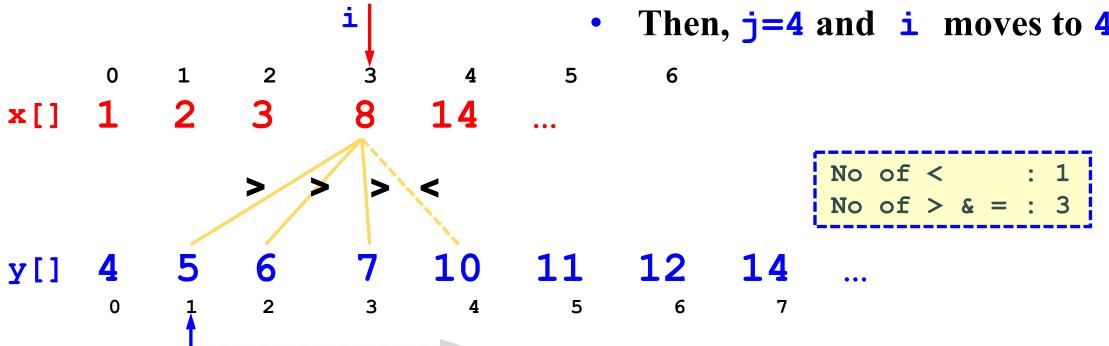
## < Comparison steps

#### Example: 3/5

Because x[3]>y[1], do j++ until x[3] < y[4].

We have 3 > s and 1 < ...

Then, j=4 and i moves to 4.



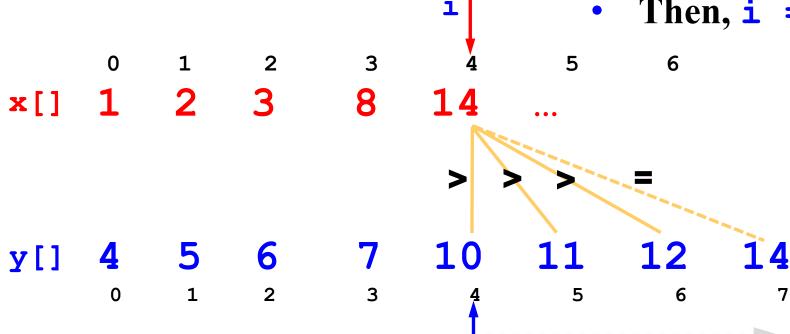
## < Comparison steps : 1 > Comparison steps : 1 Previous total : 12 Total comparisons : 12+(0+4×2) = 20

#### Example: 4/5

Because x[4]>y[4], do j++ until x[4] = y[7].

We have 3 > s and 1 = ...

Then, i = 4 and j = 7.





•••

Total comparisons: 20

#### Example: 5/5

The total number of comparisons is  $20 = 4(<)+2\times8(>)$ .

This is equal to  $m+2n=4+2\times 8$ .

The next step is i++ and j++.

5 6

#### Improvements?

- It is easy to avoid unnecessary comparisons when x[0] > y[n-1] or x[m-1] < y[0].
- ☐ The technique to remove some unnecessary comparisons discussed on Slides 11--15 may also be used.
- ☐ These could be minimal with respect to complexity.
- If p and q comparisons are used to trim the first and second parts of x[] and y[], respectively, the number of comparisons is (p+q)+[(m-p)+2(n-q)]=(m+2n)-q.

### A Summary

#### What did we learn?

- ☐ This **EQUAL PAIR** problem is a good programming problem for beginners.
- ☐ It could be a little bit challenging if **not all given conditions are used** properly and fully.
- We started with a naïve  $O(m \times n)$  solution, moved on to a better one  $O(\min(m,n)\log_2(\max(m,n)))$  and finally reached an optimal one O(m+2n).

#### **Food for Thought**

- What if the **distinct** condition is dropped? For example, if x[3..5] contains 4, 4 and 4 and y[7..8] has 4 and 4, then one should report x[3] and y[7] and x[3] and y[8], x[4] and y[7] and x[4] and y[8], and x[5] and y[7] and x[5] and y[8]. Modify the solution to do the same?
- What if the **sorted** condition is dropped? Well, one could sort both arrays and the solution cannot be linear! Why?

#### References

1. M. Rem, Small Programming Exercise 2, Science of Computer Programming, Vol. 3 (1983), pp. 313—319.

# The End Happy Programming!