

The Rogers-Ramanujan dissection of a theta function

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(Joint work with Gaurav Kumar)

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- For $a \in \mathbb{C} \setminus \{0\}$, and $b \in \mathbb{C}$,

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{a^{-2m} q^{m^2}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{n^2/4}}{(q)_n} + \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{(n+1)^2/4}}{(q)_n} \\ &= \frac{1}{(bq)_{\infty}} \sum_{n=-\infty}^{\infty} a^n q^{n^2/4} - (1-b) \sum_{n=1}^{\infty} a^n q^{n^2/4} \sum_{\ell=0}^{n-1} \frac{b^{\ell}}{(q)_{\ell}}. \end{aligned} \quad (1)$$

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where $G(q) := \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q)_n}$ and $H(q) := \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q)_n}$.

Why is the identity interesting?

- This leads to the modular relation

$$G(q)G(q^4) + qH(q)H(q^4) = \frac{\varphi(q)}{(q^2; q^2)_\infty} = (-q; q^2)_\infty^2, \quad (2)$$

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- Regarding (2), Andrews says (in his prefatorial introduction to the Lost Notebook):

This sort of identity has always appeared to me to lie totally within the realm of modular functions and to be completely resistant to q -series generalization. One of the greatest shocks I got from the Lost Notebook was the following assertion...

Another special case of the generalized modular relation

- Letting $a = b = -1$ in (1), replacing q by q^4 , multiplying the resulting two sides by $(-q^2; q^2)_\infty$, and then using Rogers' identities yields

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where $f_0(q) := \sum_{n=0}^{\infty} \frac{q^{n^2}}{(-q; q)_n}$ and $f_1(q) := \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(-q; q)_n}$ are two fifth order mock theta functions of Ramanujan.

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- Andrews¹ says, '*Nothing like (3) appears in any of the literature on mock theta functions.*

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Ramanujan's generalized modular relation

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- Andrews proved this identity by showing that the coefficients of a^N , $-\infty < N < \infty$, on both sides are identical.
- However, this requires knowing the identity in advance.
- One of the goals of our work² was to see if a natural proof of (1) could be obtained.

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A question on page 26 of the Lost Notebook

- Ramanujan wrote

$$\sum_{n=0}^{\infty} \frac{a^n q^{n^2/(2s)}}{(q)_n} \sum_{n=0}^{\infty} \frac{a^{-ns} q^{n^2 s/2}}{(q)_n} \quad \text{as } q \rightarrow 1?? \quad (4)$$

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- When $s = 2$, the above product is the special case $b = 1$ of

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- Andrews and Berndt remark, *'Ramanujan provides no indication either why this is of interest for arbitrary s or what the asymptotics should be.'*

Second goal

- Among other things, we answer Ramanujan's question:

Theorem (D. - Kumar (2024))

Let $a > 0$ and $s \in \mathbb{N}$. Let z_1 denote the real positive root of $az^{1/s} + z - 1 = 0$. As $q \rightarrow 1^-$,

$$\sum_{n=0}^{\infty} \frac{a^n q^{n^2/(2s)}}{(q)_n} \sum_{n=0}^{\infty} \frac{a^{-ns} q^{n^2 s/2}}{(q)_n} \sim \frac{\sqrt{s}}{1 + (s-1)z_1} \exp\left(\frac{-1}{\log(q)} \left(\frac{\pi^2}{6} + \frac{s}{2} \log^2(a)\right)\right).$$

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- But the very fact that Ramanujan considers

$$\sum_{n=0}^{\infty} \frac{a^n q^{n^2/(2s)}}{(q)_n} \sum_{n=0}^{\infty} \frac{a^{-ns} q^{n^2 s/2}}{(q)_n}$$

also raises an important question - *is there a generalization of (1) which reduces to it when $s = 2$?*

Main theorem

Theorem (D. - Kumar (2024))

Let $s \in \mathbb{N}$. For $a \in \mathbb{C}$, $a \neq 0$, and $b \in \mathbb{C}$,

$$\begin{aligned} & \sum_{k=0}^{s-1} \left\{ \sum_{m=0}^{\infty} \frac{a^{-sm-k} q^{(sm+k)^2/(2s)}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{n(n+2js-2k)/(2s)}}{(q)_n} \right\} \\ &= \frac{1}{(bq)_{\infty}} \sum_{n=-\infty}^{\infty} a^n q^{n^2/(2s)} - (1-b) \sum_{n=1}^{\infty} a^n q^{n^2/(2s)} \sum_{\ell=0}^{n-1} \frac{b^{\ell}}{(q)_{\ell}}, \end{aligned} \quad (5)$$

where

$$j = \begin{cases} 0, & \text{if } k = 0, \\ 1, & \text{otherwise.} \end{cases}$$

Comparison with other formulas for theta functions

- There are several formulas in the literature which express a product of theta function with a q -series or another theta function as a finite sum of theta functions or their products or powers.

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- There are several formulas in the literature which express a product of theta function with a q -series or another theta function as a finite sum of theta functions or their products or powers.
- For example, Schröter's formula, Ramanujan's circular summation of theta functions.
- The series in our result are generalized Rogers-Ramanujan functions of the form

$$\sum_{n=0}^{\infty} \frac{a^n q^{(cn^2+dn)/s}}{(bq)_n},$$

where $a, b \in \mathbb{C}$, $c, d \in \mathbb{R}$, and $s \in \mathbb{N}$.

Representations for partial theta functions

Theorem (D. - Kumar (2024))

For $s \in \mathbb{N}$,

$$\sum_{k=0}^{s-1} \left\{ \sum_{m=0}^{\infty} \frac{a^{-sm-k} q^{(sm+k)^2/(2s)}}{(bq)_m} \sum_{n=sm+k+1}^{\infty} \frac{a^n b^n q^{n(n+2js-2k)/(2s)}}{(q)_n} \right\}$$
$$= \frac{1}{(bq)_{\infty}} \sum_{n=1}^{\infty} a^n q^{n^2/(2s)} - (1-b) \sum_{n=1}^{\infty} a^n q^{n^2/(2s)} \sum_{\ell=0}^{n-1} \frac{b^{\ell}}{(q)_{\ell}}.$$

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- Clearly, Ramanujan's result is the special case $s = 2$ of the above.

A generalization of the Jacobi triple product identity

- Letting $s = 1$ in the main result leads to

Corollary

For $a \in \mathbb{C}$, $a \neq 0$, and $b \in \mathbb{C}$,

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- Letting $b = 1$ and replacing q by q^2 leads to the Jacobi triple product identity.

The special case $s = 3$

Corollary

For $a \in \mathbb{C} \setminus \{0\}$ and $b \in \mathbb{C}$,

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{a^{-3m} q^{3m^2/2}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{n^2/6}}{(q)_n} \\ & + q^{1/6} \sum_{m=0}^{\infty} \frac{a^{-3m-1} q^{3m^2/2+m}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{n(n+4)/6}}{(q)_n} \\ & + q^{2/3} \sum_{m=0}^{\infty} \frac{a^{-3m-2} q^{3m^2/2+2m}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{n(n+2)/6}}{(q)_n} \\ & = \frac{1}{(bq)_{\infty}} \sum_{n=-\infty}^{\infty} a^n q^{n^2/6} - (1-b) \sum_{n=1}^{\infty} a^n q^{n^2/6} \sum_{\ell=0}^{n-1} \frac{b^{\ell}}{(q)_{\ell}}. \end{aligned} \tag{6}$$

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If we let $a = b = 1$, do we get an analogue of

$$G(q)G(q^4) + qH(q)H(q^4) = \frac{\varphi(q)}{(q^2; q^2)_{\infty}} = (-q; q^2)_{\infty}^2? \quad (7)$$

Unlikelihood of such relations for $s > 2$

- The key ingredients to get (7) from (1) were Rogers' identities

$$G(q) = (-q^2; q^2)_\infty \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q^4; q^4)_n}, \quad H(q) = (-q^2; q^2)_\infty \sum_{n=0}^{\infty} \frac{q^{n^2+2n}}{(q^4; q^4)_n}.$$

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- Bressoud's has not only generalized Rogers' first identity to have

$$\sum_{n=0}^{\infty} \frac{q^{n^2} a^n}{(q)_n} = (-aq^2; q^2)_\infty \sum_{n=0}^{\infty} \frac{q^{n^2} a^n}{(q^2; q^2)_n (-aq^2; q^2)_n}$$

but has, in turn, also generalized this identity for any $s \in \mathbb{N}$ so that

$$\sum_{m=0}^{\infty} \frac{q^{m + \frac{sm(m-1)}{2}} a^m}{(q)_m} = (-aq^s; q^s)_\infty \sum_{n_1, \dots, n_{s-1} \geq 0} \frac{a^N q^{\frac{sN(N-1)}{2} + n_1 + 2n_2 + \dots + (s-1)n_{s-1}}}{(q^s; q^s)_{n_1} \cdots (q^s; q^s)_{n_{s-1}} (-aq^s; q^s)_N} \quad (8)$$

where $N = n_1 + n_2 + \dots + n_{s-1}$.

Some more special cases of the main result

Corollary

Let $\varphi(q) := \sum_{n=-\infty}^{\infty} q^{n^2}$. Then,

$$\sum_{m=0}^{\infty} \frac{(-1)^m q^{m^2}}{(-bq^2; q^2)_m} = \frac{\varphi(-q)}{(-bq)_{\infty}} + (1+b) \sum_{m=1}^{\infty} \frac{(-1)^{m-1} q^{m^2}}{(-bq; q^2)_m}.$$

In particular,

$$\phi(q) + 2\psi(q) = (-q; q^2)_{\infty}^3 (q^2; q^2)_{\infty},$$

where, $\phi(q)$ and $\psi(q)$ are two of Ramanujan's third order mock theta functions defined by

$$\phi(q) := \sum_{m=0}^{\infty} \frac{q^{m^2}}{(-q^2; q^2)_m} \quad \text{and} \quad \psi(q) := \sum_{m=1}^{\infty} \frac{q^{m^2}}{(q; q^2)_m}.$$

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- Now let $s = 2, b = -1$ and replace q by q^2 in (9) so as to obtain

A q -series of Ramanujan

Corollary

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{q^{m(2m+1)}}{(-q^2; q^2)_m} \sum_{n=0}^{\infty} \frac{q^{n(n-1)/2}}{(q^2; q^2)_n} - \sum_{m=0}^{\infty} \frac{q^{2m^2+3m+1}}{(-q^2; q^2)_m} \sum_{n=0}^{\infty} \frac{q^{n(n+1)/2}}{(q^2; q^2)_n} \\ &= -2 \sum_{n=1}^{\infty} (-1)^n q^{n(n-1)/2} \sum_{\ell=0}^{n-1} \frac{(-1)^\ell}{(q^2; q^2)_\ell}. \end{aligned} \tag{10}$$

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- Andrews³ studied the function $\sum_{n=0}^{\infty} q^{\frac{n(n+1)}{2}} / (q^2; q^2)_n$ in conjunction with four identities from the Lost Notebook out of which two are quite difficult to prove.
- Two of the series are special cases of the series $\sum_{n=0}^{\infty} z^n q^{n^2/2} / (q^2; q^2)_n$ which is a q -analogue of Airy function and which arises as a hypergeometric solution of a q -Painlevé equation.

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A congruence implied by the above result

Corollary

$$\sum_{m=0}^{\infty} \frac{q^{m(2m+1)}}{(-q^2; q^2)_m} \left\{ 1 + \sum_{n=1}^{\infty} \frac{q^{n(n-1)/2}}{(q^2; q^2)_n} - \frac{1}{(-q; -q)_{\infty}} \sum_{m=0}^{\infty} \frac{q^{2m^2+3m+1}}{(-q^2; q^2)_m} \right\} \equiv 0 \pmod{2}. \quad (11)$$

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- While the coefficients of the sum over n form the sequence A179080 in OEIS, those of the other two (over m) are not even included there.
- All three sums seem to not have been studied before, but they, indeed, deserve a serious study.

Further annihilation

We have

Corollary

$$\sum_{k=0}^{s-1} \left\{ \sum_{m=0}^{\infty} \frac{(-1)^{sm-k} q^{(sm+k)(sm+k+1)/(2s)}}{(q)_m} \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+2js-2k-1)/(2s)}}{(q)_n} \right\} = 0. \quad (12)$$

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$$\sum_{m=0}^{\infty} \frac{q^{m(2m+1)}}{(q^2; q^2)_m} \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n-1)/2}}{(q^2; q^2)_n} = \sum_{m=0}^{\infty} \frac{q^{(m+1)(2m+1)}}{(q^2; q^2)_m} \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)/2}}{(q^2; q^2)_n}.$$

Further new results

It also follows easily by applying McIntosh's identity twice (here $\mu \in \mathbb{N}$):

$$\sum_{n=0}^{\infty} \frac{q^{(2n+\mu)(2n+\mu+1)/2}}{(q^2; q^2)_n} = (-q)_{\infty} \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)/2 - \mu n}}{(q^2; q^2)_n}.$$

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The special cases for $s > 2$ are, to the best of our knowledge, new. For example, when $s = 3$,

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{(-1)^m q^{m(3m+1)/2}}{(q)_m} \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n-1)/6}}{(q)_n} \\ & - \sum_{m=0}^{\infty} \frac{(-1)^m q^{(3m+1)(3m+2)/6}}{(q)_m} \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+3)/6}}{(q)_n} \\ & + \sum_{m=0}^{\infty} \frac{(-1)^m q^{(m+1)(3m+2)/2}}{(q)_m} \sum_{n=0}^{\infty} \frac{(-1)^n q^{n(n+1)/6}}{(q)_n} = 0. \end{aligned}$$

Ingredients in the proof of the main identity

Theorem (D. - Kumar (2024))

Let $s \in \mathbb{N}$. For any complex numbers a, b and q such that $|q| < 1$,

$$\sum_{n=0}^{\infty} \frac{a^n b^n q^{n^2}}{(aq)_n (bq)_n} = \sum_{k=0}^{s-1} \sum_{n=0}^{\infty} \frac{a^{sn+k} b^{n+j} q^{(sn+k)(n+j)}}{(aq)_n (bq)_{sn+k}} = 1 + b \sum_{n=1}^{\infty} \frac{a^n q^n}{(bq)_n}.$$

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This gives, in particular,

$$\sum_{k=0}^{s-1} \sum_{m=0}^{\infty} \frac{b^{sm+k} q^{(sm+k+n)(m+j)}}{(bq)_m (q^{n+1})_{sm+k}} = 1 + \sum_{m=1}^{\infty} \frac{b^m q^{m+n}}{(q^{n+1})_m},$$
$$\sum_{k=0}^{s-1} \sum_{n=0}^{\infty} \frac{b^{sn+k} q^{(sn+k)(m+n+j)}}{(bq^{m+1})_n (q)_{sn+k}} = \frac{1}{(bq^{m+1})_{\infty}},$$

both of which are required in our proofs.

Another identity of Ramanujan

- On page 26 of the Lost Notebook, we find

$$\begin{aligned} & \sum_{n=-\infty}^{\infty} a^n q^{n^2/4} \sum_{n=0}^{\infty} \frac{(-a)^n q^{n^2/4}}{(q)_n} - \sum_{n=-\infty}^{\infty} (-a)^n q^{n^2/4} \sum_{n=0}^{\infty} \frac{a^n q^{n^2/4}}{(q)_n} \\ &= 2q^{1/4} (q)_{\infty} \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(bq)_m}. \end{aligned} \tag{13}$$

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- It was proved by Andrews in *Partitions: Yesterday and Today*.
- He also showed that Watson's identities⁴


$$\begin{aligned} G(-q)\varphi(q) - G(q)\varphi(-q) &= 2qH(q^4)\psi(q^2), \\ H(-q)\varphi(q) + H(q)\varphi(-q) &= 2G(q^4)\psi(q^2), \end{aligned}$$

where $\psi(q) = (q^2; q^2)_{\infty} / (q; q^2)_{\infty}$, follow as special cases of (13).

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An identity from the list of forty


- Ramanujan's list of forty identities⁵ involving $G(q)$ and $H(q)$ is famous.

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$$G(q)H(-q) + G(-q)H(q) = 2(-q^2; q^2)_\infty^2. \quad (14)$$


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- It follows easily from (13) and (15).

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Asymptotic analysis

Recall the generalized modular relation:

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{a^{-2m} q^{m^2}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{n^2/4}}{(q)_n} + \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{(n+1)^2/4}}{(q)_n} \\ &= \frac{1}{(bq)_{\infty}} \sum_{n=-\infty}^{\infty} a^n q^{n^2/4} - (1-b) \sum_{n=1}^{\infty} a^n q^{n^2/4} \sum_{\ell=0}^{n-1} \frac{b^{\ell}}{(q)_{\ell}}. \end{aligned} \quad (15)$$

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- How in the world could someone conceive such a relation?

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- How in the world could someone conceive such a relation?
- Ramanujan was a master of asymptotic analysis, and we believe he may have first got an idea about the existence of (15) through such an analysis.

Asymptotic analysis

- Before Ramanujan obtained his own proofs of the Rogers-Ramanujan identities

$$G(q) = \frac{1}{(q; q^5)_\infty (q^4; q^5)_\infty}, H(q) = \frac{1}{(q^2; q^5)_\infty (q^3; q^5)_\infty},$$

his belief in them stemmed from several pieces of evidence he found for their existence.

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his belief in them stemmed from several pieces of evidence he found for their existence.

- For example, one of them was that both sides of the first identity are asymptotically equal to $\exp\left(\frac{\pi^2}{15(1-q)}\right)$ as $q \rightarrow 1^-$.

A general result on asymptotics

In his notebooks as well as on page 359 of the Lost Notebook, Ramanujan obtained an asymptotic formula for the series

$$\sum_{n=0}^{\infty} a^n q^{bn^2+cn} / (q)_n.$$

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Theorem (Ramanujan)

Let a, b, c , and q be real numbers such that $a > 0, b > 0$ and $|q| < 1$. Let z denote the positive root of $az^{2b} + z - 1 = 0$. Then as $q \rightarrow 1^-$,

$$\sum_{n=0}^{\infty} \frac{a^n q^{bn^2+cn}}{(q)_n} \sim \frac{z^c}{\sqrt{z + 2b(1-z)}} \exp\left(-\frac{1}{\log(q)} \left(\text{Li}_2(az^{2b}) + b \log^2(z)\right)\right),$$

where the $\text{Li}_2(z)$ is the dilogarithm function defined for $|z| < 1$, by

$$\text{Li}_2(z) := \sum_{n=1}^{\infty} z^n / n^2, \text{ and for any } z \in \mathbb{C} \text{ by}$$

$$\text{Li}_2(z) := -\int_0^z \log(1-u)/u \, du.$$

Asymptotic formula for product of two such series

Theorem

Let $a > 0$ and $s \in \mathbb{N}$. Let z_1 denote the real positive root of $az^{1/s} + z - 1 = 0$. As $q \rightarrow 1^-$,

$$\sum_{n=0}^{\infty} \frac{a^n q^{\frac{n^2}{2s}}}{(q)_n} \sum_{n=0}^{\infty} \frac{a^{-ns} q^{\frac{n^2 s}{2}}}{(q)_n} \sim \frac{\sqrt{s}}{1 + (s-1)z_1} \exp\left(-\frac{1}{\log(q)} \left(\frac{\pi^2}{6} + \frac{s}{2} \log^2(a)\right)\right), \quad (16)$$

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Observe that the asymptotic formula does not involve a dilogarithm, and, instead, only elementary functions.

Proof of the asymptotic formula

Letting $b = 1/(2s)$ and $c = 0$ in the above theorem, we see that

$$\sum_{n=0}^{\infty} \frac{a^n q^{n^2/(2s)}}{(q)_n} \sim \frac{1}{\sqrt{z_1 + (1 - z_1)/s}} \exp\left(-\frac{1}{\log(q)} \left(\operatorname{Li}_2(az_1^{1/s}) + \frac{1}{2s} \log^2(z_1)\right)\right), \quad (17)$$

where z_1 is the positive root of $az^{1/s} + z - 1 = 0$, and, $z_1 + (1 - z_1)/s > 0$.

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Similarly, letting $b = s/2$ and $c = 0$ in the theorem, and replacing a by a^{-s} , we have

$$\sum_{n=0}^{\infty} \frac{a^{-ns} q^{n^2 s/2}}{(q)_n} \sim \frac{1}{\sqrt{z_2 + s(1 - z_2)}} \exp\left(-\frac{1}{\log(q)} \left(\operatorname{Li}_2(a^{-s} z_2^s) + \frac{s}{2} \log^2(z_2)\right)\right), \quad (18)$$

where z_2 is the positive root of

$$a^{-s} z^s + z - 1 = 0, \quad (19)$$

and $z_2 + s(1 - z_2) > 0$.

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Letting $b = 1/(2s)$ and $c = 0$ in the above theorem, we see that

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where z_2 is the positive root of

$$a^{-s} z^s + z - 1 = 0, \quad (19)$$

and $z_2 + s(1 - z_2) > 0$. Thus, $z_1 = a^{-s} z_2^s$ and $z_1 + z_2 = 1$.

Proof of the asymptotic formula

$$\sum_{n=0}^{\infty} \frac{a^n q^{n^2/(2s)}}{(q)_n} \sum_{n=0}^{\infty} \frac{a^{-ns} q^{n^2 s/2}}{(q)_n} \sim \frac{1}{\sqrt{(z_1 + (1 - z_1)/s)(z_2 + s(1 - z_2))}} \\ \times \exp\left(\frac{-1}{\log(q)} \left(\operatorname{Li}_2(az_1^{1/s}) + \operatorname{Li}_2(a^{-s}z_2^s) + \frac{1}{2s} \log^2(z_1) + \frac{s}{2} \log^2(z_2)\right)\right).$$

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Using the functional equation

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Finally routine simplification leads us to the required asymptotic:

$$(z_1 + (1 - z_1)/s)(z_2 + s(1 - z_2)) = (1 + (s - 1)z_1)^2/s,$$

Guessing the theta function in Ramanujan's formula from the above asymptotic

Letting $s = 2$ in the above Theorem we see that as $q \rightarrow 1^-$,

$$\sum_{n=0}^{\infty} \frac{a^n q^{n^2/4}}{(q)_n} \sum_{m=0}^{\infty} \frac{a^{-2m} q^{m^2}}{(q)_m} \sim \frac{2\sqrt{2}}{4 + a^2 - a\sqrt{4 + a^2}} \exp \left\{ -\frac{1}{\log(q)} \left(\frac{\pi^2}{6} + \log^2(a) \right) \right\}.$$

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Similarly, it can be seen that

$$\begin{aligned} \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(q)_m} \sum_{n=0}^{\infty} \frac{a^n q^{(n+1)^2/4}}{(q)_n} &\sim \sqrt{2} q^{1/4} \frac{(2 + a^2 - a\sqrt{4 + a^2})}{(4 + a^2 - a\sqrt{4 + a^2})} \\ &\times \exp \left\{ -\frac{1}{\log(q)} \left(\frac{\pi^2}{6} + \log^2(a) \right) \right\}. \end{aligned}$$

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Thus, as $q \rightarrow 1^-$,

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{a^{-2m} q^{m^2}}{(q)_m} \sum_{n=0}^{\infty} \frac{a^n q^{n^2/4}}{(q)_n} + \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(q)_m} \sum_{n=0}^{\infty} \frac{a^n q^{(n+1)^2/4}}{(q)_n} \\ & \sim \sqrt{2} \frac{(2 + q^{1/4}(2 + a^2 - a\sqrt{4 + a^2}))}{(4 + a^2 - a\sqrt{4 + a^2})} \exp \left\{ -\frac{1}{\log(q)} \left(\frac{\pi^2}{6} + \log^2(a) \right) \right\} \\ & \sim \sqrt{2} \exp \left\{ -\frac{1}{\log(q)} \left(\frac{\pi^2}{6} + \log^2(a) \right) \right\}. \end{aligned} \tag{20}$$

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Now, as $q \rightarrow 1^-$,

$$\frac{1}{(q; q)_{\infty}} \sim \sqrt{\frac{-\log(q)}{2\pi}} \exp \left(-\frac{\pi^2}{6 \log(q)} \right),$$

whereas

$$\sum_{n=-\infty}^{\infty} a^n q^{n^2/4} \sim 2 \sqrt{\frac{\pi}{-\log(q)}} \exp \left(-\frac{\log^2(a)}{\log(q)} \right)$$

The identity

- This may have led Ramanujan to

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{a^{-2m} q^{m^2}}{(q)_m} \sum_{n=0}^{\infty} \frac{a^n q^{n^2/4}}{(q)_n} + \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(q)_m} \sum_{n=0}^{\infty} \frac{a^n q^{(n+1)^2/4}}{(q)_n} \\ &= \frac{1}{(q; q)_{\infty}} \sum_{n=-\infty}^{\infty} a^n q^{n^2/4}. \end{aligned}$$

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- But he goes further and obtains

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{a^{-2m} q^{m^2}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{n^2/4}}{(q)_n} + \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(bq)_m} \sum_{n=0}^{\infty} \frac{a^n b^n q^{(n+1)^2/4}}{(q)_n} \\ &= \frac{1}{(bq)_{\infty}} \sum_{n=-\infty}^{\infty} a^n q^{n^2/4} - (1-b) \sum_{n=1}^{\infty} a^n q^{n^2/4} \sum_{\ell=0}^{n-1} \frac{b^{\ell}}{(q)_{\ell}}. \end{aligned}$$

Concluding remarks

- After finding two algebraic relations between $G(q)$ and $H(q)$, Ramanujan⁶ wrote '*Each of these formulae is the simplest of a large class.*'

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- After finding two algebraic relations between $G(q)$ and $H(q)$, Ramanujan⁶ wrote ‘*Each of these formulae is the simplest of a large class.*’
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- In light of the fact that (1) and (13) lead to some of these identities as corollaries, could it be that Ramanujan is referring to such generalized modular relations when he says ‘*large class*’?

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- Our main result shows that a theta function can be dissected as a sum of s number of products of generalized Rogers-Ramanujan functions, where s is *any* natural number.

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- The case $s = 1$ gives the Jacobi triple product identity (when $a = b = 1$), and a relation between the third order mock theta functions $\phi(q)$ and $\psi(q)$ (when $a = b = -1$).
- Thus, in a sense, both the cases $s = 1, 2$ correspond to results which dwell in the theory of modular forms or mock modular forms.

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where $u = (\sqrt{5} - 1)/2$. But

$$\begin{aligned} \text{Li}_2(1-u) - \frac{1}{4} \text{Li}_2(u) + \log^2(u) - \frac{1}{16} \log^2(1-u) &= \frac{\pi^2}{24}, \\ (-q^2; q^2)_\infty &= \frac{1}{(q^2; q^4)_\infty} \sim \frac{1}{\sqrt{2}} \exp \left\{ -\frac{\pi^2}{24 \log(q)} \right\}, \end{aligned}$$

so that the identity can be guessed.

Unlikelihood of “higher analogues” of Rogers’ identity

Recall the $s = 3$ case of our main result with $a = b = 1$:

$$\begin{aligned} & \sum_{m=0}^{\infty} \frac{q^{\frac{3m^2}{2}}}{(q)_m} \sum_{n=0}^{\infty} \frac{q^{\frac{n^2}{6}}}{(q)_n} + q^{\frac{1}{6}} \sum_{m=0}^{\infty} \frac{q^{\frac{3m^2}{2}+m}}{(q)_m} \sum_{n=0}^{\infty} \frac{q^{\frac{n(n+4)}{6}}}{(q)_n} + q^{\frac{2}{3}} \sum_{m=0}^{\infty} \frac{q^{\frac{3m^2}{2}+2m}}{(q)_m} \sum_{n=0}^{\infty} \frac{q^{\frac{n(n+2)}{6}}}{(q)_n} \\ &= \frac{1}{(q)_{\infty}} \sum_{n=-\infty}^{\infty} q^{n^2/6}. \end{aligned}$$

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It is true that $6\text{Li}_2(v) - 30\text{Li}_2(1-v) - 36\text{Li}_2(-v^2) = \pi^2$, but using this, we see that the expression in the parantheses still depends on v !

Concluding remarks

Recall the identity on page 26 of the Lost Notebook:

$$\begin{aligned} & \sum_{n=-\infty}^{\infty} a^n q^{n^2/4} \sum_{n=0}^{\infty} \frac{(-a)^n q^{n^2/4}}{(q)_n} - \sum_{n=-\infty}^{\infty} (-a)^n q^{n^2/4} \sum_{n=0}^{\infty} \frac{a^n q^{n^2/4}}{(q)_n} \\ &= 2q^{1/4} (q)_{\infty} \sum_{m=0}^{\infty} \frac{a^{-2m-1} q^{m^2+m}}{(bq)_m}. \end{aligned}$$

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- This is because identities for sums or differences of products of quadruples of Rogers-Ramanujan functions $G(q)$, $H(q)$ *do* exist.


Concluding remarks: The work of Bressoud, O. Santos and Mondek

- Let $a = b = 1$ in the series $\sum_{m=0}^{\infty} a^{-sm} q^{sm(m-1)/2+m(k+s/2)} / (bq)_m$ occurring in the main result, where $s \in \mathbb{N}$ and $0 \leq k \leq s - 1$.

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- This suggests the remaining cases may also be of interest.

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Thank You!!