

Congruences for Ramanujan's Third Order Mock Theta Functions

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Seminar in Partition Theory, q-Series and Related Topics

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ABSTRACT: We study the action of weight $1/2$ Hecke operators acting on harmonic Maaß forms associated with Ramanujan's third order mock theta functions $f(q)$ and $\omega(q)$. We obtain new congruences which are extensions of previous works of Ono, Bruinier and others.

RESEARCH

Interests: q-series, partitions, congruences for modular functions, symbolic computation.

- [CURRICULUM VITAE](#) (Updated January 5, 2021)
- [A q-series MAPLE package](#) (Version 1.3, Released August 13, 2016)
- Related MAPLE packages:
 - [RANK](#)
 - [CRANK](#)
 - [SPT-CRANK](#)
 - [T-CORE](#) (Version 0.2, Released November 8, 2023; ONLY UF-APPS version)
 - [BAILEY](#)
 - [ETA](#) (Dedekind eta-function; Version 0.3, Released June 29, 2019)
 - [THETAIDS](#) (Theta-function identities; Version 1.1, Updated October 15, 2022)
 - [RAMAROBINSIDS](#) (Ramanujan-Robins theta-function identities; Version 0.2, Released July 21, 2018)
 - [MISC](#) (Version 0.5a, Released May 1, 2020) (MANUAL, January 25, 2022)
 - [ramamocktheta](#) (Version 0.1, Released September 29, 2020; ONLY UF-APPS version)
 - [qsOEIS](#) (Version 0.2, Updated January 26, 2022; ONLY UF-APPS version)
 - [etatheta](#) (Version 0.2, Released October 5, 2020; ONLY UF-APPS version)
 - [modforms](#) (Version 0.2, Released January 30, 2021; ONLY UF-APPS version)
 - [orank](#) (Version 1.0, Released October 15, 2022; ONLY UF-APPS version)
 - [ocrank](#) (Version 1.0, Released October 15, 2022; ONLY UF-APPS version)
 - [partitions](#) (Version 0.2, Updated June 16, 2023; ONLY UF-APPS version)
 - [RANK AND CRANK PACKAGES](#)
(New additions: [m2rank](#), [m2crank](#) and [ocrank2](#))
- [PUBLICATIONS](#) (Updated Friday, January 10, 2025)
- [CITATIONS](#)
- [DATA FILES](#) (Created June 24, 2009; Updated May 13, 2014)
- [THE RAMANUJAN JOURNAL](#)
- [REFERENCE](#)
(original math papers you should read)
- [MY READING COURSE on Partitions and q-Series](#)
- [MY READING COURSE on Modular Functions](#)
- [TALKS](#)

The url of this page is <https://qseries.org/fgarvan/research.html>.
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```

> currentdir ( ) ;
      "C:\cygwin64\home\fgarv\math\talks\MICHIGAN-PTNS-QS-SEMINAR-2025"
(1)
> with (misc) :
> SaveAll ("mod11-23-vars-01-23-25.m") :
> SaveAll ("mod11-23-vars-01-22-25.m") :
> read "mod11-23-vars-01-23-25.m" :
> read "mod11-23-vars-01-22-25.m" :
> read prog2:
["PACKAGES:", "qseries", "ETA", "ramamocktheta", "qsOEIS"]
"DIM f3AMAT =", 100001, 2
"DIM omega3AMAT =", 500000, 2
"Checking coeffs of f3 up to ", 2001
Checking coeffs of omega3 up to qdegree(om3q)
{0}
["FUNCTIONS:", "f3gen", "om3gen2", "EH2", "H4", "EK2", "K4", "fWp",

```

```
"om3Wp"]
```

```
Warning, (in fWp) `n` is implicitly declared local |prog2:31|
Warning, (in altfWp) `n` is implicitly declared local |prog2:34|
Warning, (in om3Wp) `n` is implicitly declared local |prog2:37|
Warning, (in altom3Wp) `n` is implicitly declared local |prog2:38|
```

```
> aomega(50000-1);
35693035866222894815065551718819797954992336989856059808960783224090930154841654\ (2)
97941222053952793632053643825516468001245165831948978992865065955485666018461\
41106761718870249580925432955697575190370946755762126238802371508889666437910\
46361222376437299385071444080151924923106504122132939127690539450986991978258\
18820121891683870146089957909175111270064645786502419320930943863905322334293\
29361284374112971448424286185330990825719429091537610060561842543443309130595\
13472540058211707100050995191290725115069951830249684446884905484498146361575\
872966603920
```

```
> ifactor(% ,easy);
(2)4 (5) (7) (11) (29) _c544_1 (28927) (3)
```

```
> seq(omega3AMAT[k,2],k=1..20);
1, 2, 3, 4, 6, 8, 10, 14, 18, 22, 29, 36, 44, 56, 68, 82, 101, 122, 146, 176 (4)
```

```
>
```

```
Search: seq:1,2,3,4,6,8,10,14,18,22,29,36,44,56,68,82,101,122,146,176
Displaying 1-2 of 2 results found. page 1
```

```
Sort: relevance | references | number | modified | created Format: long | short | data
```

```
A053253 Coefficients of the '3rd-order' mock theta function omega(q). +30  
18
```

```
1, 2, 3, 4, 6, 8, 10, 14, 18, 22, 29, 36, 44, 56, 68, 82, 101, 122, 146, 176, 210, 248, 296, 350, 410,
484, 566, 660, 772, 896, 1038, 1204, 1391, 1602, 1846, 2120, 2428, 2784, 3182, 3628, 4138, 4708, 5347, 6072, 6880, 7784,
8804, 9940, 11208, 12630
```

```
(list; graph; refs; listen; history; text; internal format)
```

```
OFFSET 0,2
```

```
COMMENTS Empirical: a(n) is the number of integer partitions mu of 2n+1 such that the diagram of mu has an odd number
of cells in each row and in each column. - John M. Campbell, Apr 24 2020
```

```
From Gus Wiseman, Jun 26 2022: (Start)
```

```
By Campbell's conjecture above that a(n) is the number of partitions of 2n+1 with all odd parts and all odd
conjugate parts, the a(0) = 1 through a(5) = 8 partitions are (B = 11):
```

```
(1) (3) (5) (7) (9) (B)
(111) (311) (511) (333) (533)
(11111) (31111) (711) (911)
(1111111) (51111) (33311)
(3111111) (71111)
(11111111) (511111)
(31111111)
(111111111)
```

```
These partitions are ranked by A352143.
```

```
(End)
```

```
> seq(f3AMAT[k,2],k=1..20);
1, 1, -2, 3, -3, 3, -5, 7, -6, 6, -10, 12, -11, 13, -17, 20, -21, 21, -27, 34 (5)
```

A000025	Coefficients of the 3rd-order mock theta function $f(q)$. (Formerly M0433 N0164)	-30 21
	1, 1, -2, 3, -3, 3, -5, 7, -6, 6, -10, 12, -11, 13, -17, 20, -21, 21, -27, 34, -33, 36, -46, 51, -53, 58, -68, 78, -82, 89, -104, 118, -123, 131, -154, 171, -179, 197, -221, 245, -262, 279, -314, 349, -369, 398, -446, 486, -515, 557, -614, 671, -715, 767, -845, 920, -977, 1046, -1148, 1244	
	(list ; graph ; refs ; listen ; history ; text ; internal format)	
OFFSET	0,3	
COMMENTS	$a(n)$ = number of partitions of n with even rank minus number with odd rank. The rank of a partition is its largest part minus the number of parts.	
REFERENCES	G. E. Andrews, The Theory of Partitions, Addison-Wesley, 1976, p. 82, Examples 4 and 5. Srinivasa Ramanujan, Collected Papers, Chelsea, New York, 1962, pp. 354-355 Srinivasa Ramanujan, The Lost Notebook and Other Unpublished Papers, Narosa Publishing House, New Delhi, 1988, pp. 17, 31. N. J. A. Sloane, A Handbook of Integer Sequences, Academic Press, 1973 (includes this sequence). N. J. A. Sloane and Simon Plouffe, The Encyclopedia of Integer Sequences, Academic Press, 1995 (includes this sequence).	

CONGRUENCES

- ```

> with(qseries) :
> f3GEN:=add(f3AMAT[k,2]*q^(k-1),k=1..50010) :

> omega3GEN:=add(omega3AMAT[k,2]*q^(k-1),k=1..100010) :
> findcong(f3GEN,100000,1000) ;
 { [299, 875, 7], [649, 875, 7] }
 (6)

> a(p*n+d) = 0 ;
 a(p n + d) = 0
 (7)

> ifactor(875) ;
 (5)3 (7)
 (8)

> sqrt(500000.) ;
 707.1067812
 (9)

> findcong(omega3GEN,500000,1000,{2,4,8,16}) ;
{ [6, 80, 5], [7, 56, 7], [14, 544, 17], [19, 88, 11], [23, 56, 7], [27, 40, 5], [31, 56, 7], [35, 40,
5], [51, 88, 11], [59, 88, 11], [66, 80, 5], [67, 88, 11], [78, 352, 11], [83, 88, 11], [110,
160, 5], [142, 160, 5], [142, 544, 17], [174, 544, 17], [186, 896, 7], [206, 352, 11], [238,
352, 11], [270, 352, 11], [302, 544, 17], [334, 352, 11], [366, 544, 17], [398, 544, 17],
[442, 640, 5], [462, 544, 17], [494, 544, 17], [570, 640, 5], [641, 875, 7], [816, 875, 7] }
(10)

```

### MR4298484 - Congruences modulo powers of 5 for the rank parity function

Chen, Dandan; Chen, Rong; Garvan, Frank

Hardy-Ramanujan J. **43** (2020), 24–45.

(Reviewer: Dai, Haobo)

**Theorem 1.3.** *For all  $\alpha \geq 3$  and all  $n \geq 0$  we have*

$$(1.6) \quad a_f(5^\alpha n + \delta_\alpha) + a_f(5^{\alpha-2}n + \delta_{\alpha-2}) \equiv 0 \pmod{5^{\lfloor \frac{1}{2}\alpha \rfloor}},$$

where  $\delta_\alpha$  satisfies  $0 < \delta_\alpha < 5^\alpha$  and  $24\delta_\alpha \equiv 1 \pmod{5^\alpha}$ .

In [14], we also stated the following theorem without proof.

**Theorem 1.4.** *For all  $\alpha \geq 3$  and all  $n \geq 0$  we have*

$$(1.7) \quad a_f(7^\alpha n + \delta_\alpha) - a_f(7^{\alpha-2}n + \delta_{\alpha-2}) \equiv 0 \pmod{7^{\lfloor \frac{1}{2}(\alpha-1) \rfloor}},$$

where  $\delta_\alpha$  satisfies  $0 < \delta_\alpha < 7^\alpha$  and  $24\delta_\alpha \equiv 1 \pmod{7^\alpha}$ .

**Theorem 1.5.** (i) *For all  $\alpha \geq 3$  and all  $n \geq 0$  we have*

$$(1.8) \quad a_\omega(5^\alpha n + \delta_\alpha) + a_\omega(5^{\alpha-2}n + \delta_{\alpha-2}) \equiv 0 \pmod{5^{\lfloor \frac{1}{2}\alpha \rfloor}},$$

where  $\delta_\alpha$  satisfies  $0 < \delta_\alpha < 5^\alpha$  and  $3\delta_\alpha + 2 \equiv 0 \pmod{5^\alpha}$ .

(ii) *For all  $\alpha \geq 3$  and all  $n \geq 0$  we have*

$$(1.9) \quad a_\omega(7^\alpha n + \delta_\alpha) + a_\omega(7^{\alpha-2}n + \delta_{\alpha-2}) \equiv 0 \pmod{7^{\lfloor \frac{1}{2}(\alpha-1) \rfloor}},$$

where  $\delta_\alpha$  satisfies  $0 < \delta_\alpha < 7^\alpha$  and  $3\delta_\alpha + 2 \equiv 0 \pmod{7^\alpha}$ .

*Remark.* We note that Karl-Heine Fricke [19] independently observed (1.6)–(1.9) but without proof.

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**RAMANUJAN'S LAST LETTER AND WATSON'S PAPER**

$$2\phi(-q) - f(q) = f(q) + 4\psi(-q) = \vartheta_4(0, q) \prod_{r=1}^{\infty} (1+q^r)^{-1},$$

$$4\chi(q) - f(q) = 3\vartheta_4^2(0, q^3) \prod_{r=1}^{\infty} (1-q^r)^{-1},$$

$$2\rho(q) + \omega(q) = 3[\frac{1}{2}q^{-\frac{3}{2}}\vartheta_2(0, q^{\frac{3}{2}})]^2 \prod_{r=1}^{\infty} (1-q^{2r})^{-1},$$

$$v(\pm q) \pm q\omega(q^2) = \frac{1}{2}q^{-\frac{1}{2}}\vartheta_2(0, q) \prod_{r=1}^{\infty} (1+q^{2r}),$$

$$f(q^8) \pm 2q\omega(\pm q) \pm 2q^3\omega(-q^4) = \vartheta_3(0, \pm q)\vartheta_3^2(0, q^2) \prod_{r=1}^{\infty} (1-q^{4r})^{-2}.$$

> `qetamake(theta4(q, 100)/aqprod(-q, q, 100), q, 20);`

$$\frac{E(q)^3}{E(q^2)^2} \tag{11}$$

$$E(q) = \prod_{n=1}^{\infty} (1 - q^n) \tag{12}$$

Let

$$(1.3) \quad \mathcal{B}(\tau) = \frac{\eta(\tau)^3}{\eta(2\tau)^2} = q^{-1/24}B(q),$$

where

$$B(q) = \frac{(q)_{\infty}^3}{(q^2; q^2)_{\infty}^2} = \sum_{n=0}^{\infty} \beta(n)q^n$$

and

$$\beta(n) = M_e(n) - M_o(n),$$

> `qetamake(1/2*theta2(q, 100)/q^(1/4)*aqprod(-q^2, q^2, 100), q, 10);`

$$\frac{E(q^4)^3}{E(q^2)^2} \tag{13}$$

# ZWEGERS AND WATSONS TRANSFORMATIONS



|                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Date:</b> January 16 2025     | <b>Title:</b> Mock Theta Transformations without Watson                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Speaker:</b> Avi Mukhopadhyay | <b>Abstract:</b> Ramanujan in his last letter to G.H. Hardy introduced mock theta functions, provided examples and various relations between them. G.N. Watson found transformations for the third order mock theta functions $f(q)$ and $\omega(q)$ . Zwegers in 2000 built on Watson's techniques to complete these mock theta functions and connected them to real analytic modular forms. We show how to derive these transformations using Lerch sums. To show the equivalence of the results involves some new $q$ -series identities thus resulting in a new proof of Zwegers' theorem. This is joint work with Dr. Frank Garvan. |
| <b>Affiliation:</b> UFL          | <i>This talk was rescheduled from the cancelled October 2024 AMS Southeastern Sectional in Savannah.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>Video:</b>                    | <a href="#">Video</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>Slides:</b>                   | <a href="#">Avi Mukhopadhyay slides</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

Define  $F = (f_0, f_1, f_2)^T$  by

$$f_0(q) := q^{-1/24} f(q),$$

$$f_1(q) := 2q^{1/3} \omega(q^{1/2}),$$

$$f_2(q) := 2q^{1/3} \omega(-q^{1/2}).$$

## Recall

$$\blacktriangleleft f(q) = 1 + \frac{q}{(1+q)^2} + \frac{q^4}{(1+q)^2(1+q^2)^2} + \dots = \sum_{n=0}^{\infty} \frac{q^{n^2}}{(-q; q)_n^2}.$$

$$\blacktriangleleft \omega(q) = \frac{1}{(1-q)^2} + \frac{q^4}{(1-q)^2(1-q^3)^2} + \dots = \sum_{n=0}^{\infty} \frac{q^{2n^2+2n}}{(q; q^2)_{n+1}^2}.$$

## The $G$ function

Define

$$g_0(z) := \sum_{n \in \mathbb{Z}} (-1)^n (n + 1/3) e^{3\pi(n+1/3)^2 z},$$

$$g_1(z) := - \sum_{n \in \mathbb{Z}} (n + 1/6) e^{3\pi(n+1/6)^2 z},$$

$$g_2(z) := \sum_{n \in \mathbb{Z}} (n + 1/3) e^{3\pi(n+1/3)^2 z}.$$

We then define

$$G(\tau) := 2i\sqrt{3} \int_{-\tau}^{i\infty} \frac{(g_1(z), g_0(z), g_2(z))^T}{\sqrt{-i(z+\tau)}} dz.$$



### Theorem (Zwegers (2000))

The function  $H$  defined by  $H(\tau) := F(\tau) - G(\tau)$  is a vector valued real analytic modular form of weight  $1/2$  satisfying

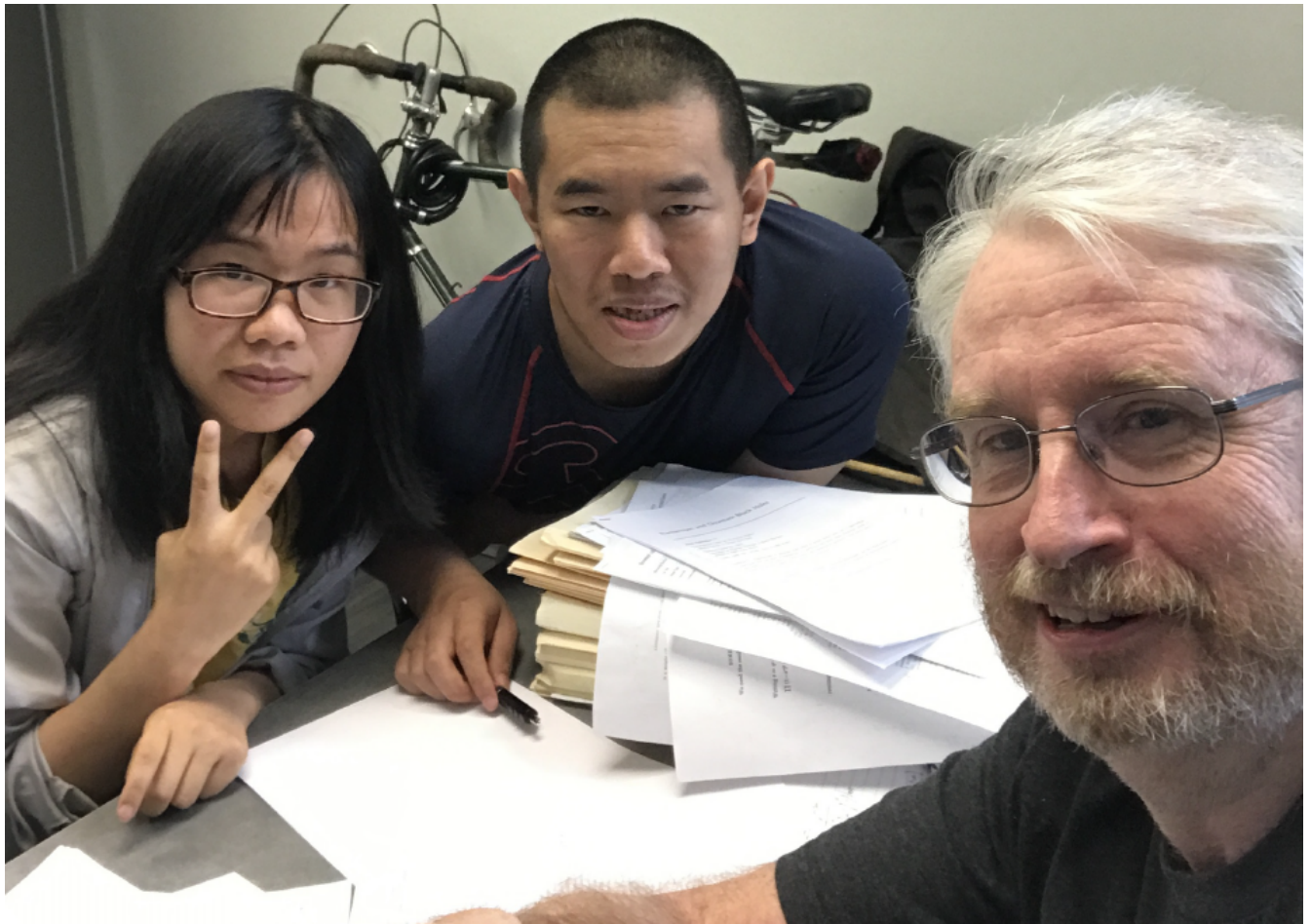
$$\blacktriangleleft H(\tau + 1) = \begin{pmatrix} \zeta_{24}^{-1} & 0 & 0 \\ 0 & 0 & \zeta_3 \\ 0 & \zeta_3 & 0 \end{pmatrix} H(\tau),$$

$$\blacktriangleleft \frac{1}{\sqrt{-i\tau}} H\left(\frac{-1}{\tau}\right) = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} H(\tau).$$

$$H(\tau) = (h_0(\tau), h_1(\tau), h_2(\tau))$$

$$= (f_0(q), f_1(q), f_2(q))^T - 2i\sqrt{3} \int_{i\infty}^z \frac{(g_1(z), g_0(z), g_2(z))^T}{\sqrt{-i(z+\tau)}} dz.$$

### WEIGHT ZERO VERSION (Rong Chen and Dandan Chen)



Let

$$(1.5) \quad \tilde{H} = (H_0, H_1, H_2) := \left( \frac{\eta(2\tau)^2}{\eta(\tau)^3} h_0(\tau), \frac{\eta(\tau/2)^2}{2\eta(\tau)^3} h_1(\tau), \frac{\eta(\tau)^3}{2\eta(\tau/2)^2 \eta(\tau)^2} h_2(\tau) \right).$$

THEN

$$\tilde{H}(\tau + 1) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & i \\ 0 & i & 0 \end{pmatrix} \tilde{H}(\tau).$$

$$\tilde{H}(-1/\tau) = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \tilde{H}(\tau).$$

**Lemma 1.4.** For  $\gamma \in \Gamma_0(2)$ ,

$$H_0(\gamma\tau) = v(\gamma) H_0(\tau).$$

WHERE

$$v : \Gamma_0(2) \longrightarrow \mathbb{C}$$

by

$$v \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) = \begin{cases} +1 & \text{if } c \equiv 0 \pmod{4} \\ -1 & \text{if } c \equiv 2 \pmod{4} \end{cases}$$

is a character.

Let

**Corollary 1.5.** For  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(2)$  we have

$$h_0(\gamma\tau) = v(\gamma) \nu_{\mathcal{B}}(\gamma) \sqrt{c\tau + d} h_0(\tau).$$

**Corollary 1.7.** If  $p > 3$  is prime the function

$$U_p^*(\tau) = \frac{h_0(p^2\tau)}{h_0(\tau)}$$

satisfies

$$U_p^*(\gamma\tau) = U_p^*(\tau),$$

for  $\gamma \in \Gamma_0(2p^2)$ .

# NEWMAN'S APPROACH TO HALF-INTEGER WEIGHT HECKE OPERATORS



**MR0146150 - Modular forms whose coefficients possess multiplicative properties. II**

Newman, Morris

Ann. of Math. (2) **75** (1962), 242–250.

(Reviewer: Rankin, R. A.)

We follow the method of Newman. Let  $\Gamma_0 < \Gamma_1$  be subgroups of finite index in  $SL_2(\mathbb{Z})$ . Suppose the function  $f(\tau)$  is on  $\Gamma_0$  then the function

$$\text{Tr}(\Gamma_0, \Gamma_1)(f) := \sum_{j=1}^n f(R_j \tau)$$

is a function on the group  $\Gamma_1$ , where  $R_1, R_2, \dots, R_n$  are right coset representatives of  $\Gamma_0$  in  $\Gamma_1$ . We will calculate the following traces:

$$V_p^*(\tau) = \text{Tr}(\Gamma_0(2p^2), \Gamma_0(2p))(U_p^*(\tau)), \quad \text{and} \quad W_p^*(\tau) = \text{Tr}(\Gamma_0(2p), \Gamma_0(2))(V_p^*(\tau)).$$

---

---

**Theorem 1.8.** *Let  $p > 3$  be prime. Then*

$$\begin{aligned} V_p^*(\tau) &= \text{Tr}(\Gamma_0(2p^2), \Gamma_0(2p))(U_p^*(\tau)) \\ &= U_p^*(\tau) + \frac{1}{\sqrt{p}} \exp\left(\frac{-\pi i(p-1)}{4}\right) \sum_{n=1}^{p-1} \left(\frac{n}{p}\right) \exp\left(\frac{-2\pi i p n}{24}\right) \frac{h_0(\tau - n/p)}{h_0(\tau)}. \end{aligned}$$

**Theorem 1.9.** *Let  $p > 3$  be prime and  $s_p = \frac{1}{24}(p^2 - 1)$ . Then*

$$V_p(\tau) = \frac{1}{B(q)} \sum_{n=-s_p}^{\infty} \left( a_f\left(\frac{n+s_p}{p^2}\right) + \left(\frac{3}{p}\right) \left(\frac{24n-1}{p}\right) a_f(n) - \left(\frac{-3}{n}\right) a_f(n) \right) q^n$$

*is a weakly holomorphic modular function on  $\Gamma_0(4p)$  that satisfies*

$$V_p(\gamma\tau) = v(\gamma) V_p(\tau),$$

*for  $\gamma \in \Gamma_0(2p)$ .*

**Examples.** We illustrate Theorem [1.9](#)  $V_p$  with some examples.  $V_5(\tau)$  is a modular function on  $\Gamma_0(20)$ . We find

$$\begin{aligned} V_5(\tau) &= \frac{1}{B(q)} \sum_{n=-1}^{\infty} \left( a_f\left(\frac{n+1}{25}\right) - \left(\frac{24n-1}{5}\right) a_f(n) + a_f(n) \right) q^n \\ &= 6 + \frac{\eta(5\tau)\eta(\tau)^3}{\eta(10\tau)^3\eta(2\tau)} + 8 \frac{\eta(20\tau)^3\eta(5\tau)^2\eta(4\tau)\eta(2\tau)}{\eta(10\tau)^5\eta(\tau)^2}. \end{aligned}$$

Theorem [1.9](#)  $V_p$  also implies  $V_5(\tau)^2$  is a modular function on  $\Gamma_0(10)$ . We find

$$V_5(\tau)^2 = 52 + \frac{1}{H_{10}(\tau)^2} + 12 \frac{1}{H_{10}(\tau)^2} + 80H_{10}(\tau),$$

where

$$H_{10}(\tau) = \frac{\eta(10\tau)^3\eta(2\tau)}{\eta(5\tau)\eta(\tau)^3},$$

is a Hauptmodul for  $\Gamma_0(10)$ .

**Theorem 1.10.** *Let  $p > 3$  be prime and  $s_p = \frac{1}{24}(p^2 - 1)$ . Then*

$$W_p(\tau) = \frac{1}{B(q)} \sum_{n=-s_p}^{\infty} \left( p a_f(p^2 n - s_p) + \left(\frac{3}{p}\right) \left(\frac{24n-1}{p}\right) a_f(n) + a_f\left(\frac{n+s_p}{p^2}\right) - (p+1) \left(\frac{-3}{p}\right) a_f(n) \right) q^n$$

*is a weakly holomorphic modular function on  $\Gamma_0(4)$  that satisfies*

$$W_p(\gamma\tau) = v(\gamma) W_p(\tau),$$

*for  $\gamma \in \Gamma_0(2)$ .*

**Examples.** We illustrate Theorem 1.10 [Wp] with some examples.  $W_5(\tau)$  is a modular function on  $\Gamma_0(4)$ . We find

$$\begin{aligned} W_5(\tau) &= \frac{1}{B(q)} \sum_{n=-1}^{\infty} \left( 5 a_f(25n-1) - \left( \frac{24n-1}{5} \right) a_f(n) + a_f \left( \frac{n+1}{25} \right) + 6 a_f(n) \right) q^n \\ &= H_4(\tau) - \frac{256}{H_4(\tau)}, \end{aligned}$$

where

$$H_4(\tau) = \frac{\eta(2\tau)^{24}}{\eta(4\tau)^{16}\eta(\tau)^8}$$

is a Hauptmodul for  $\Gamma_0(4)$ . Theorem 1.10 [Wp] also implies that  $W_5(\tau)^2$  is a modular function on  $\Gamma_0(2)$ . We find

$$W_5(\tau)^2 = H_2(\tau)^2 + 64H_2(\tau),$$

where

$$H_2(\tau) = \frac{\eta(\tau)^{24}}{\eta(2\tau)^{24}}$$

is a Hauptmodul for  $\Gamma_0(2)$ .

**Theorem 2.7.** Let  $p > 3$  be prime and  $N_p = \frac{1}{6}(p^2 - 1)$ . Then

$$\begin{aligned} Z_p(\tau) &= \frac{q^{1/2}}{A(q)} \sum_{n=0}^{\infty} \left( p a_\omega(p^2 n + 2(p^2 - 1)/3) + \left( \frac{-1}{p} \right) \left( \frac{N_p + \frac{1}{2}(p-1) - n}{p} \right) a_\omega(n) + a_\omega \left( \frac{n - 4N_p}{p^2} \right) \right. \\ &\quad \left. - \left( \frac{-3}{p} \right) (p+1) a_\omega(n) \right) q^n \end{aligned}$$

is a weakly holomorphic weight zero modular form that satisfies

$$Z_p(\gamma\tau) = w(\gamma) Z_p(\tau),$$

for  $\gamma \in \Gamma_0(2)$ . Further,  $(Z_p(\tau))^2$  is a modular function on  $\Gamma_0(2)$  and  $Z_p(2\tau)$  is a modular function on  $\Gamma_0(4)$ .

**Examples.** We illustrate Theorem 2.7 [Zp] with some examples.  $Z_5(2\tau)$  is a modular function on  $\Gamma_0(4)$ . We find

$$\begin{aligned} Z_5(2\tau) &= \frac{1}{A(q^2)} \sum_{n=0}^{\infty} \left( 5 a_{\omega}(25n + 16) + \left(\frac{n-1}{5}\right) a_{\omega}(n) + a_{\omega}\left(\frac{n-16}{25}\right) + 6 a_{\omega}(n) \right) q^{2n+1} \\ &= 16 K_4(\tau) - \frac{16}{K_4(\tau)}, \end{aligned}$$

where

$$K_4(\tau) = \frac{\eta(2\tau)^{24}}{\eta(4\tau)^8 \eta(\tau)^{16}}$$

is a Hauptmodul for  $\Gamma_0(4)$ . Theorem 2.7 [Zp] also implies that  $Z_5(\tau)^2$  is a modular function on  $\Gamma_0(2)$ . We find

$$Z_5(\tau)^2 = 2^{18} H_2(\tau)^{-1} + 2^{24} H_2(\tau)^{-2},$$

where

$$H_2(\tau) = \frac{\eta(\tau)^{24}}{\eta(2\tau)^{24}}$$

is our Hauptmodul for  $\Gamma_0(2)$ .

## G. (2024)

### Corollary

Suppose  $p > 3$  is prime and  $k_p = \frac{2}{3}(p^2 - 1)$ . Then

$$\begin{aligned} p a_{\omega}(p^2 n + k_p) + \left(\frac{3}{p}\right) \left(\frac{3n+2}{p}\right) a_{\omega}(n) + a_{\omega}\left(\frac{n-k_p}{p^2}\right) \\ \equiv \left(\frac{p}{3}\right) (p+1) a_{\omega}(n) \pmod{2^9} \end{aligned}$$

BRUINIER and ONO (2010)





**MR2739208 - Identities and congruences for Ramanujan's  $\omega(q)$**

Bruinier, Jan H.; Ono, Ken

Ramanujan J. **23** (2010), no. 1-3, 151–157.

(Reviewer: Marks, Christopher Edwin)

*In particular, if  $p \geq 5$  is prime, then*

$$a_{\omega}\left(\frac{2p^2 - 2}{3}\right) \equiv \begin{cases} \left(\frac{p}{3}\right) \pmod{512} & \text{if } p \equiv 1, 3 \pmod{8}, \\ \left(\frac{p}{3}\right)(1 + 2p^{255}) \pmod{512} & \text{if } p \equiv 5, 7 \pmod{8}. \end{cases}$$

**EXAMPLE**

$$a_{\omega}(31^3 n + 19860) \equiv 0 \pmod{2^5}$$

provided  $n \not\equiv 15 \pmod{31}$ .

$$\begin{aligned} & a_{\omega}(19860) \\ &= 1824237368919873794351998596780121431213 \cdots 557120 \\ &= 2^6 \cdot 5 \cdot 11 \cdot 59 \cdot 157 \cdot 293 \cdot c_{99} \end{aligned}$$

**EXOTIC CONGRUENCES MOD 11 AND 23**



**Corollary 1.4** *We have*

$$a_{\omega}\left(\frac{2(5^{2M}-1)}{3}\right) \equiv (-5)^{-m-\varepsilon} \pmod{23}$$

for each positive integer  $M = 2m + \varepsilon$ ,  $\varepsilon \in \{0, 1\}$ .

#### ALTERNATIVE FORM OF HECKE OPERATOR

```
> bomega:=n->if type(n,integer) and n>=0 then if modp(n,6)=4 then
 aomega((n-4)/6) else 0 fi else 0 fi;
```

$$bomega := n \mapsto \text{if } type(n, integer) \text{ and } 0 \leq n \text{ then if } modp(n, 6) = 4 \text{ then } aomega\left(\frac{n}{6} - \frac{2}{3}\right) \quad (14)$$

else 0 end if else 0 end if

```
> leg:=(a,b)->NumberTheory[LegendreSymbol](a,b):
```

```
> wbar:=n->if n>=0 and type(n,integer) then 5*bomega(25*n) +leg(n,
 5)*bomega(n) + bomega(n/25)+6*bomega(n) else 0 fi;
```

$$wbar := n \mapsto \text{if } 0 \leq n \text{ and } type(n, integer) \text{ then } 5 \cdot bomega(25 \cdot n) + leg(n, 5) \cdot bomega(n) \quad (15)$$

+  $bomega\left(\frac{n}{25}\right) + 6 \cdot bomega(n)$  else 0 end if

```
> series(add(wbar(6*n+4)*q^(2*n+1), n=0..500)-16*EK2*(K4-1/K4), q,
 500);
```

$$O(q^{500}) \quad (16)$$

```
> gbar:=n-> if n>=0 and type(n,integer) then 5*wbar(5^2*n) +leg(n,
 5)*wbar(n) + wbar(n/25) else 0 fi;
```

$$gbar := n \mapsto \text{if } 0 \leq n \text{ and } type(n, integer) \text{ then } 5 \cdot wbar(25 \cdot n) + leg(n, 5) \cdot wbar(n) \quad (17)$$

+  $wbar\left(\frac{n}{25}\right)$  else 0 end if

```
> fsolve((5^4*x-4)/6-500000,x);
```

$$4800.006400 \quad (18)$$

```
> gbarGEN:=add(gbar(n)*q^n, n=0..4800):
```

```
> gbarGENA:=sift(gbarGEN, q, 6, 4, 4800):
```

```
> qdegree(gbarGENA);
```

$$799 \quad (19)$$

```
> basmake:=(m,T)->map(f->series(f,q,T), [seq(EK2*(K4^j-1/K4^j), j=1..
 m)]);
```

Warning, (in basmake) `j` is implicitly declared local

(20)

$$\text{basmake} := (m, T) \mapsto \text{map} \left( f \mapsto \text{series}(f, q, T), \left[ \text{seq} \left( EK2 \cdot \left( K4^j - \frac{1}{K4^j} \right), j=1..m \right) \right] \right) \quad (20)$$

```
> symbasmake:=m->[seq(_EK2*(_K4^j-1/_K4^j),j=1..m)];
```

Warning, (in symbasmake) `j` is implicitly declared local

$$\text{symbasmake} := m \mapsto \left[ \text{seq} \left( \text{EK2} \cdot \left( \text{K4}^j - \frac{1}{\text{K4}^j} \right), j=1..m \right) \right] \quad (21)$$

```
> bas1:=basmake(27,500):
```

```
> bas2:=map(f->sift(f,q,2,1,500),bas1):
```

```
> RELgbar:=findlincombo(gbarGENA,bas2,symbasmake(27),q,0):
```

```
> modp(RELgbar,23);
```

$$5 \text{EK2} \left( \text{K4} + \frac{22}{\text{K4}} \right) + \text{EK2} \left( \text{K4}^2 + \frac{22}{\text{K4}^2} \right) + 10 \text{EK2} \left( \text{K4}^3 + \frac{22}{\text{K4}^3} \right) \quad (22)$$

$$+ 16 \text{EK2} \left( \text{K4}^4 + \frac{22}{\text{K4}^4} \right) + 16 \text{EK2} \left( \text{K4}^5 + \frac{22}{\text{K4}^5} \right) + 16 \text{EK2} \left( \text{K4}^6 + \frac{22}{\text{K4}^6} \right)$$

$$+ 16 \text{EK2} \left( \text{K4}^7 + \frac{22}{\text{K4}^7} \right) + 9 \text{EK2} \left( \text{K4}^8 + \frac{22}{\text{K4}^8} \right) + 14 \text{EK2} \left( \text{K4}^9 + \frac{22}{\text{K4}^9} \right)$$

$$+ 10 \text{EK2} \left( \text{K4}^{10} + \frac{22}{\text{K4}^{10}} \right) + 15 \text{EK2} \left( \text{K4}^{11} + \frac{22}{\text{K4}^{11}} \right) + 9 \text{EK2} \left( \text{K4}^{12}$$

$$+ \frac{22}{\text{K4}^{12}} \right) + 20 \text{EK2} \left( \text{K4}^{13} + \frac{22}{\text{K4}^{13}} \right) + 5 \text{EK2} \left( \text{K4}^{14} + \frac{22}{\text{K4}^{14}} \right)$$

$$+ 17 \text{EK2} \left( \text{K4}^{15} + \frac{22}{\text{K4}^{15}} \right) + 7 \text{EK2} \left( \text{K4}^{16} + \frac{22}{\text{K4}^{16}} \right) + 4 \text{EK2} \left( \text{K4}^{17}$$

$$+ \frac{22}{\text{K4}^{17}} \right) + 21 \text{EK2} \left( \text{K4}^{18} + \frac{22}{\text{K4}^{18}} \right) + 19 \text{EK2} \left( \text{K4}^{19} + \frac{22}{\text{K4}^{19}} \right)$$

$$+ 6 \text{EK2} \left( \text{K4}^{20} + \frac{22}{\text{K4}^{20}} \right) + 4 \text{EK2} \left( \text{K4}^{21} + \frac{22}{\text{K4}^{21}} \right) + 9 \text{EK2} \left( \text{K4}^{22} + \frac{22}{\text{K4}^{22}} \right)$$

$$+ 20 \text{EK2} \left( \text{K4}^{24} + \frac{22}{\text{K4}^{24}} \right) + 4 \text{EK2} \left( \text{K4}^{25} + \frac{22}{\text{K4}^{25}} \right) + 9 \text{EK2} \left( \text{K4}^{26}$$

$$+ \frac{22}{\text{K4}^{26}} \right)$$

```
> checkgbarcong:=proc(p,ep1)
```

```
> local S,T,LT,m,n:
```

```
> S:={}:
```

```
> T:=500000:
```

```
> LT:=floor((T-4)/6/5^4)-1: #print("LT = ",LT);
```

```
> for n from 0 to LT do
```

```
> m:=6*n+4:
```

```
> if leg(m,p)=ep1 then S:=S union {modp(gbar(m),p)}: fi:
```

```
> od:
```

```
> RETURN(S): end:
```

```
> checkgbarcong(5,-1);
```

{0}

(23)

```
> checkgbarcong(11,-1);
```

{0}

(24)

> checkgbarcong(23,1);

{0}

(25)

## CONJECTURES

- $\text{gbar}(n) \equiv 0 \pmod{23}$  if  $\text{leg}(n,23)=1$
- $\text{gbar}(n) \equiv 0 \pmod{11}$  if  $\text{leg}(n,11)=-1$

PROVING  $a_w(2/3(5^{2n}-1)) \equiv 3^n(2-(-1)^n) \pmod{23}$

$$\text{Let } b(n) = a_w\left(\frac{n-4}{6}\right), \quad b(6n+4) = a_w(n)$$

$$\bar{w}(n) = 5b(5^2n) + \binom{n}{5}b(n) + 6\binom{n}{25} + 6b(n)$$

$$\bar{g}(n) = 5\bar{w}(5^2n) + \binom{n}{5}\bar{w}(n) + \bar{w}\left(\frac{n}{25}\right)$$

$$\bar{g}(n) \equiv 0 \pmod{23} \text{ if } \binom{n}{23} = +1$$

$$\text{Let } v(n) = b(5^{2n} \cdot 4)$$

Then

$$\bar{g}(4) = 5^2 v(2) + 35 v(1) + 12 v(0) \equiv 0 \pmod{23}$$

$$\bar{g}(5^2 \cdot 4) = 5^2 v(3) + 30 v(2) + 10 v(1) + 7 v(0) \equiv 0 \pmod{23}$$

$$\bar{g}(5^4 \cdot 4) = 5^2 v(4) + 30 v(3) + 10 v(2) + 6 v(1) + v(0) \equiv 0 \pmod{23}$$

$$\bar{g}(5^6 \cdot 4) = 5^2 v(5) + 30 v(4) + 10 v(3) + 6 v(2) + v(1) \equiv 0 \pmod{23}$$

$$\bar{g}(5^{2n-4} \cdot 4) = 5^2 v(n) + 30 v(n-1) + 10 v(n-2) + 6 v(n-3) + v(n-4) \equiv 0 \pmod{23},$$

for  $n \geq 4$

SOLUTION  $v(n) \equiv 3^n(2-(-1)^n) \pmod{23}$

> fsolve(2/3\*(5^(2\*x)-1)=500000,x);

4.202656628

(26)

> [seq(aomega(2/3\*(5^(2\*n)-1))-3^n\*(2-(-1)^n),n=1..4)];

[92, 147019574355940,

(27)

6105528781789826255338616657367489071828343984275529702652915791815662460457\  
 20,  
 4713504317755769699658386490848529902877317903609618663661969973372815286672\  
 2865738619072627326914457022419421615118007256010585973847924915814619231828\  
 9815530589074254347821822773223303943277462010996573525810144795782340537005\  
 0991197816548299225987091881940041827267379817078651918570357671359629992867\  
 9963588710300979543276318001547509333358824720658613828230463949020720074861\  
 0599262211650315368]

> modp (% , 23) ;

[0, 0, 0, 0]

(28)

Suppose  $5 \nmid n$  &  $\left(\frac{n}{23}\right) = +1$

$$25 b(5^4 n) + 5 \left(6 + \left(\frac{n}{5}\right)\right) b(5^2 n)$$

$$+ 6 \left(1 + \left(\frac{n}{5}\right)\right) b(n) \equiv 0 \pmod{23}$$

$$b(5^4 n) + b(5^2 n) \equiv 0 \pmod{23}$$

$$\text{if } \left(\frac{n}{23}\right) = +1 \text{ \& } \left(\frac{n}{5}\right) = -1.$$

$$a_w(5^4 n + 416) + a_w(5^n + 16) \equiv 0$$

(mod 23)

$$\text{if } \left(\frac{6n+4}{23}\right) = 1, \quad \left(\frac{6n+4}{5}\right) = -1$$

```
[> x1:=5^4*8+416; x2:=25*8+16;
 x1 := 5416
 x2 := 216 (29)
```

```
[> aomega(x1)+aomega(x2);
 32056865452316087271742407720418083142811502735849318400 (30)
```

```
[> ifactor(%);
(2)11 (5)2 (11) (23) (43) (367) (1063) (3991899479717) (1665202974538050473102183) (31)
(22193)
```