

COUNTING MATRIX POINTS ON CURVES AND SURFACES WITH PARTITIONS

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(joint with Y. Huang and K. Ono)

PARTITIONS

1 Number theory

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- ① Number theory
 - Asymptotics of the partition function (circle method)
 - Ramanujan's partition congruences

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 - Quantum states
 - Sorting (analogue of binary search tree)

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QUESTION

Do partitions show up in arithmetic geometry?

q -SERIES FORMULAS

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What is the number of commuting square matrices A, B in \mathbb{F}_q ?

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REMARK

$q \cdot \left(1 - \frac{1}{q}\right) = q - 1$ is the number of nonzero elements of \mathbb{F}_q .

COUNTING COMMUTING 2×2 MATRICES

EXAMPLE (BRUTE FORCE)

For \mathbb{F}_2 , we find that

$$\#\{(A, B) \in \text{Mat}_2(\mathbb{F}_2)^2, AB = BA\} = 88.$$

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$$q^{2^2} \left(1 - \frac{1}{q}\right) \left(1 - \frac{1}{q^2}\right) \cdot \frac{q}{1 - \frac{1}{q}} = 24,$$

$$q^{2^2} \left(1 - \frac{1}{q}\right) \left(1 - \frac{1}{q^2}\right) \cdot \frac{q^2}{(1 - \frac{1}{q})(1 - \frac{1}{q^2})} = 64,$$

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and $24 + 64 = 88$. Is this an accident?

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$$\textcolor{red}{945} = 3^{2^2} \left(1 - \frac{1}{3}\right) \left(1 - \frac{1}{3^2}\right) \left(\frac{3}{1 - \frac{1}{3}} + \frac{3^2}{(1 - \frac{1}{3})(1 - \frac{1}{3^2})}\right).$$

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COUNTING COMMUTING 2×2 MATRICES

OBSERVATION

For 2×2 matrices and $q = 2, 3, 5$, we have observed

$$\frac{\#\{(A, B) \in \text{Mat}_2(\mathbb{F}_q)^2, AB = BA\}}{q^{2^2} \left(1 - \frac{1}{q}\right) \left(1 - \frac{1}{q^2}\right)} = \left(\frac{q}{1 - \frac{1}{q}} + \frac{q^2}{(1 - \frac{1}{q})(1 - \frac{1}{q^2})} \right).$$

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Is this a coincidence?

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Is this a coincidence? Is this a partitions phenomenon?

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QUESTION

*Is this a coincidence? Is this a partitions phenomenon?
What about $n \times n$ matrices for all n ?*

PARTITIONS OF n AND $n \times n$ MATRICES

THEOREM (FEIT, FINE (1960))

If $P(n, q) := \#\{(A, B) \in \text{Mat}_n(\mathbb{F}_q)^2, AB = BA\}$, then

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where

$$(a; q)_n := (1 - a)(1 - aq) \dots (1 - aq^{n-1}),$$

and $n = 1 \cdot b(\lambda, 1) + \dots + n \cdot b(\lambda, n)$ and $l(\lambda) = \sum b(\lambda, i)$.

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ANSWER (OUR WORK)

We answer these questions for "elliptic curves"

$$B^2 = A(A - I_n)(A - aI_n),$$

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We answer these questions for "elliptic curves"

$$B^2 = A(A - I_n)(A - aI_n),$$

and AOP K3 surfaces

$$C^2 = AB(A + I_n)(B + I_n)(A + aB),$$

where I_n is the identity matrix and $a \in \mathbb{F}_q$.

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We associate with A the partition

$$\pi(A) : n = r_1 + \dots + r_k.$$

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with e_1, e_2, e_3 the standard basis. Then, we have

$$Av_3^1 = v_2^1$$

and

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SKETCH OF PROOF.

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- 4 B is nonsingular if and only if Bv_1^i are linearly independent.

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- 5 Determine possible $Bv_{r_i}^i$ for nonsingular B .



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PROOF.

- ① $AB = BA$ is equivalent to $ABA^{-1} = B$.
- ② Fix $B \in \beta$.
- ③ $\#\{A \text{ is nonsingular and } ABA^{-1} = B\}$

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- ② Fix $B \in \beta$.
- ③ $\#\{A \text{ is nonsingular and } ABA^{-1} = B\} = \frac{q^{t^2}(q^{-1}; q^{-1})_t}{\#\beta}$.
- ④ Sum over $B \in \beta$.



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- ② Take $h_i = g_{t+1-i}/g_{t-i}$ and $b_i = \deg h_i$.

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- ② Take $h_i = g_{t+1-i}/g_{t-i}$ and $b_i = \deg h_i$.
- ③ $\sum ib_i = t$ is the only restriction on h_i .



PROOF OF FEIT-FINE THEOREM

PROOF.

- 1 By elementary linear algebra,

$$P(n, q) = \sum_{s+t=n} h(s, t, q) N(s, q) R(t, q),$$

where $h(s, t, q) := \#$ of complementary subspaces of dim s and t .

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- 2 Write $\sum \frac{P(n, q)}{q^{n^2} (q^{-1}, q^{-1})_n} x^n$ in terms of $N(s, q)$ and $R(t, q)$.

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- 2 Write $\sum \frac{P(n, q)}{q^{n^2} (q^{-1}, q^{-1})_n} x^n$ in terms of $N(s, q)$ and $R(t, q)$.
- 3 Use Euler's partition formula

$$\prod_{j \geq 1} (1 - tq^{-j})^{-1} = \sum_{m \geq 0} \frac{t^m}{(q^{-1}; q^{-1})_m},$$

where $(a; q)_n := (1 - a)(1 - aq) \dots (1 - aq^{n-1})$.



\mathbb{F}_q -RATIONAL POINTS (i.e. $n = 1$)

QUESTION

How do we count \mathbb{F}_q -solutions to

$$E_a^{\text{Leg}} : y^2 = x(x-1)(x-a)$$

and

$$X_a : s^2 = xy(x+1)(y+1)(x+ay)?$$

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ANSWER

The number of points is given by finite field hypergeometric functions.

FINITE FIELD HYPERGEOMETRIC FUNCTIONS

DEFINITION (GREENE)

If A_1, A_2, \dots, A_n and B_1, B_2, \dots, B_{n-1} are characters of \mathbb{F}_q^\times , then their **Gaussian hypergeometric function** is

$${}_nF_{n-1} \left(\begin{matrix} A_1, & A_2, & \dots, & A_n \\ & B_1, & \dots, & B_{n-1} \end{matrix} \mid x \right)_{\mathbb{F}_q} := \frac{q}{q-1} \sum_{\chi \in \widehat{\mathbb{F}_q}^\times} \binom{A_1 \chi}{\chi} \binom{A_2 \chi}{B_1 \chi} \cdots \binom{A_n \chi}{B_{n-1} \chi} \cdot \chi(x),$$

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DEFINITION (GREENE)

If A_1, A_2, \dots, A_n and B_1, B_2, \dots, B_{n-1} are characters of \mathbb{F}_q^\times , then their **Gaussian hypergeometric function** is

$${}_nF_{n-1} \left(\begin{matrix} A_1, & A_2, & \dots, & A_n \\ & B_1, & \dots, & B_{n-1} \end{matrix} \mid x \right)_{\mathbb{F}_q} := \frac{q}{q-1} \sum_{\chi \in \widehat{\mathbb{F}_q^\times}} \binom{A_1 \chi}{\chi} \binom{A_2 \chi}{B_1 \chi} \cdots \binom{A_n \chi}{B_{n-1} \chi} \cdot \chi(x),$$

where

$$\binom{A}{B} := \frac{B(-1)}{q} J(A, \overline{B}) = \frac{B(-1)}{q} \sum_{y \in \mathbb{F}_q} A(y) \overline{B}(1-y).$$

is a normalized Jacobi sum.

FINITE FIELD HYPERGEOMETRIC FUNCTIONS

EXAMPLE

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$${}_2F_1 \left(\begin{matrix} \phi & \phi \\ \varepsilon & \end{matrix} \mid a \right)_{\mathbb{F}_q} = \frac{\phi(-1)}{q} \sum_{x \in \mathbb{F}_q} \phi(x(x-1)(x-a)).$$

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$${}_3F_2 \left(\begin{matrix} \phi & \phi & \phi \\ \varepsilon & \varepsilon \end{matrix} \mid -a \right)_{\mathbb{F}_q} = \frac{1}{q^2} \sum_{x,y \in \mathbb{F}_q} \phi(x(x+1)y(y+1)(x+ay)).$$

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THEOREM (GREENE (1984), ONO (1998))

(1) If $a \in \mathbb{F}_q \setminus \{0, 1\}$ and $\text{char}(\mathbb{F}_q) \geq 5$, then

$$\#E_a^{\text{Leg}}(\mathbb{F}_q) = q + 1 + \phi(-1)q \cdot {}_2F_1(a)_{\mathbb{F}_q}.$$

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(2) If $a \in \mathbb{F}_q \setminus \{0, -1\}$ and $\text{char}(\mathbb{F}_q) \geq 5$, then

$$\#X_a(\mathbb{F}_q) = 1 + q^2 + 19q + q^2 \cdot {}_3F_2(-a)_{\mathbb{F}_q}.$$

MATRIX VARIETIES

DEFINITION

Let q be a prime power, $n \geq 1$, and consider the system of equations

$$f_1(t_1, \dots, t_m) = \dots = f_r(t_1, \dots, t_m) = 0.$$

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Its **affine variety** is

$$X(M_n(\mathbb{F}_q)) := \left\{ (A_1, \dots, A_m) \mid \begin{array}{l} A_i \in \text{Mat}_n(\mathbb{F}_q), A_i A_j = A_j A_i \\ f_s(A_1, \dots, A_m) = 0 \text{ for } 1 \leq s \leq r \end{array} \right\}.$$

MATRIX POINTS ON ELLIPTIC CURVES

QUESTION

What is the number of points $N_n^{\text{Leg}}(a; q) := \#E_a^{\text{Leg}}(M_n(\mathbb{F}_q))$?

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$$P(n, k)_q := (-1)^k q^{n(n-k) + \frac{k(k+1)}{2}} \sum_{s=0}^{\lfloor \frac{n-k}{2} \rfloor} q^{2s(s-n+k)} \cdot \frac{(q; q)_n}{(q; q)_s (q; q)_{k+s} (q; q)_{n-k-2s}}.$$

SATO-TATE DISTRIBUTION

THEOREM (HUANG, ONO, S.)

If $n \geq 1$, $q = p^r$ with $p \geq 5$ and $a \in \mathbb{F}_q$, then write

$$a_{L,n}(a; q) := N_n^{\text{Leg}}(a; q) - P(n, 0)_q.$$

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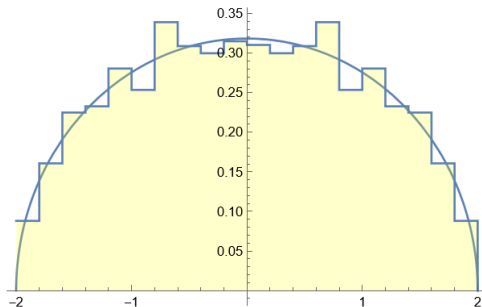
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HISTOGRAM FOR LEGENDRE ECs



2×2 matrices on Legendre elliptic curves

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where

$$Q(n, k, \gamma)_q := q^{\frac{n(n-1)}{2} + k} \sum_{\substack{\lambda_1, \dots, \lambda_4 \\ |\lambda_1| + \dots + |\lambda_4| = n \\ l(\lambda_3) - l(\lambda_4) = k}} q^{l(\lambda_1)} \gamma^{l(\lambda_2)} (-1)^{n - m(\lambda_1, \dots, \lambda_4)}$$

$$(q, q)_{n - m(\lambda_1, \dots, \lambda_4)} \cdot q^{\sum \frac{b(\lambda_i, j)(b(\lambda_i, j) + 1)}{2}} \cdot \frac{(q; q)_n}{\prod (q; q)_{b(\lambda_i, j)} (q; q)_{n - m(\lambda_1, \dots, \lambda_4)}}$$

and $R(n, \gamma)_q$ is an explicit polynomial in q and $m(\lambda_1, \dots, \lambda_4) = \sum_{i=1}^4 l(\lambda_i)$.

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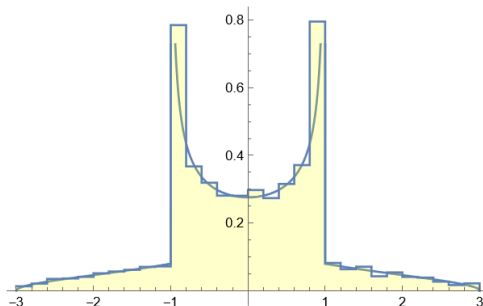
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$$f(t) = \begin{cases} \frac{3-|t|}{\sqrt{3+2|t|-t^2}} & \text{if } 1 < |t| < 3, \\ \frac{3+t}{\sqrt{3-2t-t^2}} + \frac{3-t}{\sqrt{3+2t-t^2}} & \text{if } |t| < 1, \\ 0 & \text{otherwise.} \end{cases}$$

HISTOGRAM FOR AOP K3 SURFACES



2×2 matrices on AOP K3 Surfaces

TRACES OF FROBENIUS

DEFINITION

Let E be an elliptic curve. For prime powers q , define the **trace of Frobenius** $a(q) \in [-2\sqrt{q}, 2\sqrt{q}]$ by

$$a(q) := q + 1 - \#E(\mathbb{F}_q).$$

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π and $\bar{\pi}$ are called the **eigenvalues of Frobenius**.

ZETA FUNCTION OF A VARIETY

DEFINITION

Let V/\mathbb{F}_q be an affine variety. The zeta function of V/\mathbb{F}_q is the power series

$$Z(V/\mathbb{F}_q; T) := \exp \left(\sum_{n=1}^{\infty} (\#V(\mathbb{F}_{q^n})) \frac{T^n}{n} \right).$$

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$$Z(E/\mathbb{F}_q; T) = \frac{1 - a(q)T + qT^2}{(1 - qT)}.$$

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Let $q = p^r$, $n \geq 1$ and X/\mathbb{F}_q an **affine** variety.

COHEN-LENSTRA ZETA SERIES

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Let $q = p^r$, $n \geq 1$ and X/\mathbb{F}_q an **affine** variety. We define a **Cohen-Lenstra zeta series**

$$\hat{Z}_X(t) := \sum_{n \geq 0} \frac{\#X(M_n(\mathbb{F}_q))}{\#\mathrm{GL}_n(\mathbb{F}_q)} \cdot t^n.$$

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- ② *If X is a smooth surface over \mathbb{F}_q , then*

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COHEN-LENSTRA ZETA SERIES

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$$① \quad \hat{Z}_X(x) = \prod_{P \in X} \hat{Z}_{\hat{O}_{X,P}}.$$

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REMARK

For surfaces, the local zeta function counts pairwise commuting nilpotent matrices. Evaluating this zeta series also requires partitions.

EXPANDING ZETA SERIES

CLASSICAL FACT

Let E be an elliptic curve and $\pi, \bar{\pi}$ the eigenvalues of Frobenius at q .

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PROBLEM

We need to find the series expansion of

$$\prod_{j \geq 1} (1 - \pi T q^{-j})$$

and

$$\prod_{j \geq 1} \frac{1}{1 - q^{1-j} T}.$$

EULER'S q -SERIES IDENTITIES

LEMMA (EULER)

The following series expansions hold.

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$$\prod_{j \geq 1} (1 - cq^{-j}) = \sum_{m \geq 0} \frac{c^m}{(q; q)_m}.$$

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PROOF OF POINT COUNTS

- 1 Write the Cohen-Lenstra zeta series

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- ② Expand the product of each factor as a series in T .
- ③ Multiply the three resulting series to get the coefficient of T^n .
- ④ Use $\pi \bar{\pi} = q$ and $\pi + \bar{\pi} = \phi_q(-1) \cdot q \cdot {}_2F_1(a)_q$.

DISTRIBUTIONS OF ${}_2F_1(a)_q$.

THEOREM (ONO-S-SAIKIA)

If $-2 \leq b < c \leq 2$, and r is a fixed positive integer, then

$$\lim_{p \rightarrow \infty} \frac{\#\{a \in \mathbb{F}_{p^r} : \sqrt{p^r} \cdot {}_2F_1(a)_{p^r} \in [b, c]\}}{p^r} = \frac{1}{2\pi} \int_b^c \sqrt{4 - t^2} dt.$$

In other words, the limiting distribution is **semicircular**.

DEDUCING DISTRIBUTIONS

- ① We have that

$$q^{\frac{1}{2}-n^2} a_{L,n}(a; q) = -\phi_q(-1) q^{\frac{1}{2}} {}_2F_1(a)_q + O_{r,n}(q^{-\frac{1}{2}}).$$

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- 2 If m is a nonnegative integer, the moments are then

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- ③ Use result for the case $n = 1$.

ZETA SERIES FOR AOP K3 SURFACES

THEOREM (AHLGREN, ONO, PENNISTON, '02)

If $\text{ord}_p(a(a+1)) = 0$ and $\gamma = \phi_p(a+1)$, then local zeta-function for the affine part X of X_a is

$$Z_X(T) = \frac{1}{(1 - p^2T)(1 - \gamma pT)(1 - \gamma \pi^2T)(1 - \gamma \bar{\pi}^2T)},$$

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$$E_{\text{Cl}}(a) : y^2 = (x-1)(x^2 + a).$$

ZETA SERIES FOR AOP K3 SURFACES

THEOREM (AHLGREN, ONO, PENNISTON, '02)

If $\text{ord}_p(a(a+1)) = 0$ and $\gamma = \phi_p(a+1)$, then local zeta-function for the affine part X of X_a is

$$Z_X(T) = \frac{1}{(1-p^2T)(1-\gamma pT)(1-\gamma\pi^2T)(1-\gamma\bar{\pi}^2T)},$$

where π and $\bar{\pi}$ are the Frobenius eigenvalues of the Clausen elliptic curve

$$E_{\text{Cl}}(a) : y^2 = (x-1)(x^2+a).$$

REMARK

The complicated part of $Z_X(T)$ is a symmetric square zeta-function.

SKETCH OF PROOF

- 1 Write the Cohen-Lenstra zeta series

$$\hat{Z}_X(T) = \prod_{i,j \geq 1} \frac{1}{(1 - q^{2-j}T^i)(1 - \gamma q^{1-j}T^i)(1 - \gamma\pi^2 q^{-j}T^i)(1 - \gamma\bar{\pi}^2 q^{-j}T^i)}.$$

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- 4 Use $\pi\bar{\pi} = q$ and

$$\pi^{2k} + \bar{\pi}^{2k} = q^{2k} \phi_q(a+1)^k {}_3F_2 \left(\frac{a}{a+1} \right)_{q^k} - q^k.$$

DISTRIBUTION FOR AOP K3 SURFACES

THEOREM (ONO-S-SAIKIA)

If $-3 \leq b < c \leq 3$, and r is a fixed positive integer, then

$$\lim_{p \rightarrow \infty} \frac{\#\{a \in \mathbb{F}_{p^r} : p^r \cdot {}_3F_2(a)_{p^r} \in [b, c]\}}{p^r} = \frac{1}{4\pi} \int_b^c f(t) dt,$$

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where

$$f(t) = \begin{cases} \frac{3-|t|}{\sqrt{3+2|t|-t^2}} & \text{if } 1 < |t| < 3, \\ \frac{3+t}{\sqrt{3-2t-t^2}} + \frac{3-t}{\sqrt{3+2t-t^2}} & \text{if } |t| < 1, \\ 0 & \text{otherwise.} \end{cases}$$

POINTS OF ELLIPTIC CURVES

THEOREM (HUANG, ONO, S.)

$$\#E_a^{\text{Leg}}(M_n(\mathbb{F}_q)) = \sum_{k=0}^n \phi_{q^k}(-1) \cdot P(n, k)_q \cdot {}_2F_1(a)_{q^k},$$

where $P(n, k)_q$ are explicit polynomials in q arising from partitions of n .

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and $-2 \leq b < c \leq 2$, then

$$\lim_{p \rightarrow \infty} \frac{\#\{a \in \mathbb{F}_q : q^{\frac{1}{2}-n^2} a_{L,n}(a; q) \in [b, c]\}}{q} = \frac{1}{2\pi} \int_b^c \sqrt{4-t^2} dt.$$

AOP K3 SURFACES

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$$\#X_a(M_n(\mathbb{F}_q)) = R(n, \phi_q(a+1))_q + \sum_{k=0}^n \phi_{q^k}(-1) \cdot Q(n, k, \phi_{q^k}(a+1))_q \cdot {}_3F_2\left(\frac{a}{a+1}\right)_{q^k},$$

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where $R(n, \gamma)_q$ and $Q(n, k, \gamma)_q$ are polynomials in q involving partitions of n .

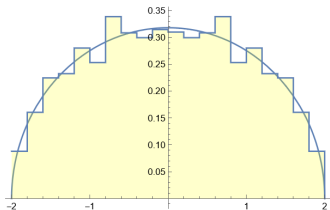
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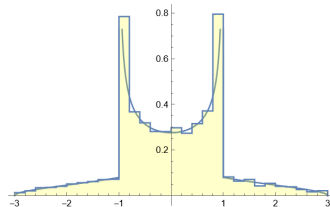
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HISTOGRAMS



2×2 matrix points on Legendre ECs



2×2 matrix points on AOP $K3$ s