## Dissection of the General Quintuple Product, with Applications

Seminar in Partition Theory, q-Series and Related Topics

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### Notation and Preliminary Results



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of the General Quintuple Product

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For 
$$|q| < 1$$
,  $(a; q)_{\infty} = (1 - a)(1 - aq)(1 - aq^{2})(1 - aq^{3}) \dots$ ,  
 $(a_{1}, a_{2}, \dots a_{n}; q)_{\infty} = (a_{1}; q)_{\infty}(a_{2}; q)_{\infty} \dots (a_{n}; q)_{\infty}$ ,  
 $f_{1} := (q; q)_{\infty} := (1 - q)(1 - q^{2})(1 - q^{3}) \dots$ ,  $f_{j} := (q^{j}; q^{j})_{\infty}$   
 $(q^{j}; q^{M})_{\infty} = (1 - q^{j})(1 - q^{j+M})(1 - q^{j+2M})(1 - q^{j+3M}) \dots$ ,  
 $\langle a; q \rangle_{\infty} := (a, q/a, q; q)_{\infty}$   
 $Q(z, q) := (z, q/z, q; q)_{\infty}(qz^{2}, q/z^{2}; q^{2})_{\infty} = \frac{\langle z^{2}; q \rangle_{\infty}}{(-z, -q/z; q)_{\infty}}$ 

## q-products II

From the previous slide:

$$Q(z,q) := (z,q/z,q;q)_{\infty}(qz^2,q/z^2;q^2)_{\infty}$$

For later use, note that

$$Q(-1,q) := (-1,-q,q;q)_{\infty}(q,q;q^2)_{\infty} = 2(q;q)_{\infty}$$

$$Q(q,q^4) = (q,q^3,q^4;q^4)_\infty (q^2,q^6;q^8)_\infty = (q;q)_\infty$$

We use the notation (Hickerson?)

$$J_{a,m}:=(q^a,q^{m-a},q^m;q^m)_{\infty},$$

$$\bar{J}_{a,m} := (-q^a, -q^{m-a}, q^m; q^m)_{\infty}.$$



Two equivalent forms of the Jacobi triple product identity:

$$\sum_{n=-\infty}^{\infty} (-z)^n q^{n^2} = \langle zq; q^2 \rangle_{\infty}, \qquad \sum_{n=-\infty}^{\infty} (-z)^n q^{n(n-1)/2} = \langle z; q \rangle_{\infty}.$$

The Quintuple Product Identity (one formulation):

$$\langle z;q\rangle_{\infty}(qz^2,q/z^2;q^2)_{\infty}=\langle -qz^3;q^3\rangle_{\infty}-z\langle -q^2z^3;q^3\rangle_{\infty}.$$

From Cooper's survey paper [3], it seems that the quintuple product identity was discovered independently by Fricke and Ramanujan around 1916.

## Preliminary Results II

The *m*-dissection of a function  $G(q) = \sum_{n=0}^{\infty} g_n q^n$ :

$$G(q) = G_0(q^m) + qG_1(q^m) + \dots + q^{m-1}G_{m-1}(q^m),$$
  
where  $G_i(q^m) = \sum_{n=0}^{\infty} g_{nm+i}q^{nm}.$  (3)

*m*-dissections of the Jacobi triple product:

$$\langle z;q \rangle_{\infty} = \sum_{r=0}^{m-1} (-z)^{r} q^{(r^{2}-r)/2} \left\langle (-1)^{m+1} z^{m} q^{(m^{2}-m)/2+mr}; q^{m^{2}} \right\rangle_{\infty},$$
  
$$\langle zq;q^{2} \rangle_{\infty} = \sum_{r=0}^{m-1} (-z)^{r} q^{r^{2}} \left\langle (-1)^{m+1} z^{m} q^{m^{2}+2mr}; q^{2m^{2}} \right\rangle_{\infty}.$$

A *partition* of a non-negative integer n is a way of writing n as a sum of positive integers. For example, there are 5 partitions of 4:

 $4, \ 3+1, \ 2+2, \ 2+1+1, \ 1+1+1+1.$ 

We are also interested in various restricted partition functions.



### Motivation and Previous Results



## A Combinatorial Interpretation of a Trisection I

In a previous paper [7] we made the following observation. Starting with the 3-dissection of  $f_1$ ,

$$f_1 = J_{12,27} - q J_{6,27} - q^2 J_{3,27}, \tag{4}$$

and then dividing both sides by  $f_3$  one gets

$$\sum_{n=0}^{\infty} D_{S}(n)q^{n} = (q, q^{2}; q^{3})_{\infty} = \frac{f_{1}}{f_{3}} = \frac{J_{12,27}}{f_{3}} - q\frac{J_{6,27}}{f_{3}} - q^{2}\frac{J_{3,27}}{f_{3}} = \frac{1}{(q^{3}, q^{6}, q^{9}, q^{18}, q^{21}, q^{24}; q^{27})_{\infty}} - \frac{q}{(q^{3}, q^{9}, q^{12}, q^{15}, q^{18}, q^{24}; q^{27})_{\infty}} - \frac{q^{2}}{(q^{6}, q^{9}, q^{12}, q^{15}, q^{18}, q^{21}; q^{27})_{\infty}}.$$
 (5)

Here S is the set of positive integers with no multiples of 3,  $D_S(n)$  is the number of partitions of n into an even number of distinct parts from S minus the number of partitions of n into an odd number of distinct parts from S.

## A Combinatorial Interpretation of a Trisection II

Next, trisecting the sum  $\sum_{n=0}^{\infty} D_S(n)q^n$  (terms with  $n \equiv 0, 1, 2 \pmod{3}$ ), equating each with the corresponding product on the right, making the replacement  $q \rightarrow q^{1/3}$  one gets some partition identities. For  $a \in \{1, 2, 4\}$  let  $p_{a,9}(n)$  denote the number of partitions of n into parts  $\not\equiv \pm a, 0 \pmod{9}$ . Then

$$D_{S}(3n) = p_{4,9}(n),$$

$$D_{S}(3n+1) = -p_{2,9}(n),$$

$$D_{S}(3n+2) = -p_{1,9}(n).$$
(6)

Since  $f_1 = Q(q, q^4)$ , possibly identities analogous to those at (6) could be produced via the *m*-dissection of quintuple products of the form  $Q(q^j, q^M)$ , which led us to consider the general *m*-dissection of the general quintuple product Q(z, q).



#### Theorem

Let  $m \ge 5$ , gcd(m, 6) = 1 and let  $t \in \{1, 2, ..., (m-1)/2\}$ , gcd(t, m) = 1. Let  $Q(t, m) = Q(q^t, q^m)$ . If  $m \equiv 1 \pmod{6}$  (similarly for  $m \equiv 1 \pmod{6}$ ), then

$$\begin{aligned} \mathcal{Q}(t,m) &= \sum_{r=0}^{\frac{m-1}{3}} (-1)^r q^{\frac{1}{2}r(m(3r-1)+6t)} \mathcal{Q}\left(\frac{1}{6}m\left(m^2+m(6r-1)+6t\right),m^3\right) \\ &+ \sum_{r=0}^{\frac{m-4}{3}} (-1)^r q^{\frac{1}{6}(m-3r-2)\left(m^2-m(3r+1)-6t\right)} \mathcal{Q}\left(\frac{1}{2}m\left(m^2-m(2r+1)-2t\right),m^3\right) \\ &+ \sum_{r=0}^{\frac{m-7}{6}} (-1)^r q^{\frac{1}{2}(3r+1)(mr+2t)} \mathcal{Q}\left(\frac{1}{6}m(m(m-6r-1)-6t),m^3\right) \\ &+ \sum_{r=0}^{\frac{m-7}{6}} (-1)^{\frac{1}{6}(m+6r+11)} q^{\frac{1}{24}(m-6r-1)\left(m^2-6mr+m-12t\right)} \mathcal{Q}\left(m(mr+t),m^3\right). \end{aligned}$$

$$(7)$$

# The Ramanujan Function R(q) and its Reciprocal as Quintuple Products I

Ramanujan's function R(q) and it reciprocal have expressions involving quintuple products:

$$\begin{split} R(q) &= \frac{(q^2, q^3; q^5)_{\infty}}{(q, q^4; q^5)_{\infty}} = \frac{Q(-q, q^5)}{(q^5; q^5)_{\infty}},\\ \frac{1}{R(q)} &= \frac{(q, q^4; q^5)_{\infty}}{(q^2, q^3; q^5)_{\infty}} = \frac{Q(-q^2, q^5)}{(q^5; q^5)_{\infty}} \end{split}$$

The 5-dissections of these, which were proven by Hirschhorn [5], follow from Theorem 1.



(8)

# The Ramanujan Function R(q) and its Reciprocal as Quintuple Products II

### Corollary

$$\begin{split} R(q) &= \frac{(q^{125};q^{125})_{\infty}}{(q^5;q^5)_{\infty}} \bigg[ \frac{(q^{40},q^{85};q^{125})_{\infty}}{(q^{20},q^{105};q^{125})_{\infty}} + q \frac{(q^{60},q^{65};q^{125})_{\infty}}{(q^{30},q^{95};q^{125})_{\infty}} \\ &- q^7 \frac{(q^{35},q^{90};q^{125})_{\infty}}{(q^{45},q^{80};q^{125})_{\infty}} - q^3 \frac{(q^{10},q^{115};q^{125})_{\infty}}{(q^5,q^{120};q^{125})_{\infty}} - q^{14} \frac{(q^{15},q^{110};q^{125})_{\infty}}{(q^{55},q^{70};q^{125})_{\infty}} \bigg], \\ R(q)^{-1} &= \frac{(q^{125};q^{125})_{\infty}}{(q^5;q^5)_{\infty}} \bigg[ \frac{(q^{30},q^{95};q^{125})_{\infty}}{(q^{15},q^{110};q^{125})_{\infty}} - q^3 \frac{(q^{20},q^{105};q^{125})_{\infty}}{(q^{10},q^{115};q^{125})_{\infty}} \bigg], \\ + q^2 \frac{(q^{55},q^{70};q^{125})_{\infty}}{(q^{35},q^{90};q^{125})_{\infty}} - q^{18} \frac{(q^5,q^{120};q^{125})_{\infty}}{(q^{60},q^{65};q^{125})_{\infty}} - q^4 \frac{(q^{45},q^{80};q^{125})_{\infty}}{(q^{40},q^{85};q^{125})_{\infty}} \bigg]. \end{split}$$

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# Main Result - m dissection of the Quintuple Product Q(z,q)

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of the General Quintuple Product

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#### Theorem

Let |q| < 1 and  $z \neq 0$  and m a positive integer such that  $3 \nmid m$ . (i) If  $m \equiv 1 \pmod{3}$ , then

$$Q(z,q) = \sum_{r=0}^{m-1} q^{\frac{1}{2}r(3r-1)} z^{3r} Q\left(z^m q^{\frac{1}{6}m(m+6r-1)}, q^{m^2}\right).$$
(9)

(ii) If  $m \equiv 2 \pmod{3}$ , then

$$Q(z,q) = \sum_{r=0}^{m-1} q^{\frac{1}{2}r(3r-1)} z^{3r} Q\left(z^{-m} q^{\frac{1}{6}m(m-6r+1)}, q^{m^2}\right).$$
(10)

## Sketch of Proof I

## Sketch of proof.

Start with the quintuple product identity:

$$Q(z,q) = \langle -qz^3; q^3 \rangle_{\infty} - z \langle -q^2 z^3; q^3 \rangle_{\infty},$$

then form the m-dissections of each of the triple products to get

$$Q(z,q) = \sum_{r=0}^{m-1} q^{r(3r-1)/2} z^{3r} \left\langle -q^{m(3m+6r-1)/2} z^{3m}; q^{3m^2} \right\rangle_{\infty}$$
$$- z \sum_{r=0}^{m-1} q^{r(3r+1)/2} z^{3r} \left\langle -q^{m(3m+6r+1)/2} z^{3m}; q^{3m^2} \right\rangle_{\infty}$$
$$=: \sum_{r=0}^{m-1} a_r - z \sum_{r=0}^{m-1} b_r.$$

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## Sketch of proof continued.

Each term in the first sum can be matched with a term in the second sum and combined, again using the Quintuple Product Identity, into quintuple products, leading to the claimed summations. For  $m \equiv 1 \pmod{3}$ ,

$$\begin{aligned} a_{r} - zb_{r+(m-1)/3} &= q^{r(3r-1)/2} z^{3r} \\ &\times \left[ \left\langle -\left(q^{m(m+6r-1)/6} z^{m}\right)^{3} q^{m^{2}}; q^{3m^{2}} \right\rangle_{\infty} \right. \\ &- q^{m(m+6r-1)/6} z^{m} \left\langle -\left(q^{m(m+6r-1)/6} z^{m}\right)^{3} q^{2m^{2}}; q^{3m^{2}} \right\rangle_{\infty} \right] \\ &= q^{r(3r-1)/2} z^{3r} Q(q^{m(m+6r-1)/6} z^{m}, q^{m^{2}}). \end{aligned}$$

Remark: The matching of terms in the first with terms in the second sum was discovered experimentally.

- The *m*-dissections are only for *m* of the form 6t 1 or 6t + 1, *t* a positive integer.
- For *m* of the form 6t + 2 or 6t + 4 we get m/2-dissections.
- For  $m \equiv 0 \pmod{3}$ , the *m*-dissection of Q(z, q) does not appear to have a straightforward description, at least not for all such *m*.

Upon making the replacements  $q \to q^M$  and  $z \to q^j$ , where M > 3 is an integer and j is a positive integer satisfying  $1 \le j < M/2$ , and using the product form of Q(z, q), we get the following special case.



#### Corollary

Let |q| < 1 and let M > 3 be an integer and let j is a positive integer satisfying  $1 \le j < M/2$ . Let m be a positive integer such that  $3 \nmid m$ . (i) If  $m \equiv 1 \pmod{3}$ , then

$$\left(q^{j}, q^{M-j}, q^{M}; q^{M}\right)_{\infty} \left(q^{M-2j}, q^{M+2j}; q^{2M}\right)_{\infty} = \sum_{r=0}^{m-1} q^{M(3r-1)r/2+3jr} \\ \times \left(q^{mM(m+6r-1)/6+jm}, q^{m^2M-mM(m+6r-1)/6-jm}, q^{m^2M}; q^{m^2M}\right)_{\infty} \\ \times \left(q^{m^2M+2jm+M(m+6r-1)m/3}, q^{m^2M-2jm-mM(m+6r-1)/3}; q^{2m^2M}\right)_{\infty}.$$
(11)

(ii) If  $m \equiv 2 \pmod{3}$ , then there is a similar formula.

Application 1. Extension of a result of Evans and Ramanathan on the m dissection of  $(q, q)_{\infty}$ 

## Application 1. Extension of a result of Evans and Ramanathan on the m dissection of $(q,q)_\infty$



Evans and Ramanathan independently stated the *m* dissection of  $(q, q)_{\infty}$ in the case gcd(m, 6) = 1 (with different formulae in the case  $m \equiv 1$ (mod 6) and  $m \equiv 5 \pmod{6}$ ). Since

$$(q;q)_{\infty}=rac{1}{2}Q(-1,q)$$

this *m*-dissection can be extended to  $m \equiv 2 \pmod{6}$  and  $m \equiv 4 \pmod{6}$ , since our *m*-dissection of Q(z,q) is for gcd(m,3) = 1.



#### Theorem

Let |q| < 1 and Q(z, q) be as at (1). If m is a positive integer of the form 6t + 2, then

$$(q;q)_{\infty} = \sum_{u=0}^{\frac{m-2}{6}} (-1)^{u} q^{u(3u-1)/2} Q\left(q^{m(m-6u+1)/6}; q^{m^{2}}\right) + \sum_{u=1}^{\frac{m-2}{3}} (-1)^{u} q^{u(3u+1)/2} Q\left(q^{m(m+6u+1)/6}; q^{m^{2}}\right), \quad (12)$$

with a similar formula if m is a positive integer of the form 6t + 4.

#### Example

If we set m = 20 in the previous theorem we get the 10-dissection of  $(q; q)_{\infty}$  (note however that some quintuple products occur in pairs):

$$egin{aligned} &(q;q)_{\infty} = Q(q^{70},q^{400}) - qQ(q^{50},q^{400}) - q^2Q(q^{90},q^{400}) \ &+ q^5Q(q^{30},q^{400}) + q^7Q(q^{110},q^{400}) - q^{12}Q(q^{10},q^{400}) - q^{15}Q(q^{130},q^{400}) \ &+ q^{26}Q(q^{150},q^{400}) - q^{40}Q(q^{170},q^{400}) + q^{57}Q(q^{190},q^{400}) \end{aligned}$$

Remark: It is + that this 10-dissection can be derived from the 5-dissection via the following special cases of the main theorem:

$$egin{aligned} Q(z,q) &= Q\left(rac{q}{z^2},q^4
ight) + qz^3 Q\left(rac{1}{qz^2},q^4
ight), \ &= Q(q^2z^4,q^{16}) + +qz^3 Q(q^6z^4,q^{16}) \ &+ q^5z^6 Q(q^{10}z^4,q^{16}) + q^{12}z^9 Q(q^{14}z^4,q^{16}). \end{aligned}$$

# Application 2. Proof of Hirschhorn's conjecture on the $2^n$ dissection of $(q, q)_\infty$

## Application 2. Proof of Hirschhorn's conjecture on the $2^n$ dissection of $(q,q)_\infty$



On page 332 of *The power of q*, Hirschhorn stated the following conjecture, now a theorem, proved by us and also in the following paper (by a different method):

Sarmah, B. K.; Gayan, S. A proof of Hirschhorn's conjecture on  $2^n$ -dissection of Euler's product. Bull. Aust. Math. Soc. (First published online 10/8/2024).



## Theorem (Hirschhorn's conjecture, continued next slide)

Let  $n \ge 1$  be an integer and let  $m = 2^n$ . Then the m-dissection of  $(q; q)_{\infty}$  is give by

$$(q;q)_{\infty} = \sum_{k=1}^{m} (-1)^{k+\epsilon} q^{c_k} (q^{2(2k-1)m}, q^{8m^2-2(2k-1)m}; q^{8m^2})_{\infty} \times (q^{2m^2-(2k-1)m}, q^{2m^2+(2k-1)m}, q^{4m^2}; q^{4m^2})_{\infty}, \quad (13)$$



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## Theorem (Hirschhorn's conjecture continued)

where  $\epsilon = 0$  (respectively, 1) if n is odd (respectively, even), and for  $k = 1, 2, 3, ..., 2^n$ ,

$$c_{k} = \begin{cases} P\left(\frac{2m-1}{3} - (k-1)\right), & \text{if } n \text{ is odd,} \\ \\ P\left(-\frac{2m-2}{3} + (k-1)\right), & \text{if } n \text{ is even,} \end{cases}$$
(14)

where P(t) = t(3t - 1)/2.



#### sketch of proof.

Since 
$$Q(q, q^4) = (q, q^3, q^4; q^4)_{\infty} (q^2, q^6; q^8)_{\infty} = (q; q)_{\infty}$$
, let  $j = 1$  and  $M = 4$  in Corollary 4 to get for  $m = 2^n \equiv -1 \pmod{3}$ , or  $n \text{ odd}$   $(m = 2^n \equiv 1 \pmod{3})$ , or  $n \text{ even}$ , is similar):

$$(q;q)_{\infty} = \sum_{r=0}^{m-1} q^{r(6r+1)} \left( q^{m(2m-12r-1)/3}, q^{m(10m+12r+1)/3}, q^{4m^2}; q^{4m^2} \right)_{\infty} \times \left( q^{2m(8m-12r-1)/3}, q^{2m(4m+12r+1)/3}; q^{8m^2} \right)_{\infty}.$$
 (15)

The difference between this *m*-dissection of  $(q; q)_{\infty}$  and Hirschhorn's is that this one has some negative exponents. When the negative exponents are removed, Hirschhorn's result follows.

## sketch of proof continued.

Divide the summation interval  $0 \le r \le m-1$  into three sub-intervals in which none, exactly one or exactly two of these exponents are negative:

$$0 \le r < \frac{2m-1}{12}, \qquad \frac{2m-1}{12} < r < \frac{8m-1}{12}, \qquad \frac{8m-1}{12} < r \le m-1.$$

If k,  $1 \le k \le m$  denotes the summation variable in Hirschhorn's *m*-dissections, then the three *r*-subintervals correspond respectively to the following *k*-subintervals:

even k in the interval [2(m+1)/3, m],

odd k in the interval [1, m-1],

even k in the interval [2, 2(m-2)/3].

Collectively, these cover all k in the interval  $1 \le k \le m$ .

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### Example

The 4-dissection of  $(q; q)_{\infty}$  was stated by Hirschhorn in "The power of q" [6, page 332], when developing the conjecture. Here are the 4- and 8-dissections:

$$(q;q)_{\infty} = Q(q^{12},q^{64}) - qQ(q^4,q^{64}) - q^2Q(q^{20},q^{64}) + q^7Q(q^{28},q^{64}),$$

$$egin{aligned} &= Q(q^{40},q^{256}) - qQ(q^{56},q^{256}) - q^2Q(q^{24},q^{256}) \ &+ q^5Q(q^{72},q^{256}) + q^7Q(q^8,q^{256}) - q^{12}Q(q^{88},q^{256}) \ &+ q^{22}Q(q^{104},q^{256}) - q^{35}Q(q^{120},q^{256}) \end{aligned}$$

### Application 3. Partition Identities



## Partition Identities I

Recall that  $b_m(n)$  is the number of *m*-regular partitions of *n* (partitions with no parts  $\equiv 0 \pmod{m}$ ).

#### Theorem

Let  $m \ge 5$  be an integer relatively prime to 6 and square-free, and let S be the set of positive integers containing no multiples of m.

Define  $D_S(n)$  to be number of partitions of n into an <u>even</u> number of distinct parts from S minus the number of partitions of n into an <u>odd</u> number of distinct parts from S.

Define 
$$r = (m^2 - 1)/24$$
.  
If  $m \equiv 1 \pmod{6}$  set  $s = (m - 1)/6$  and if  $m \equiv -1 \pmod{6}$  set  $s = (m + 1)/6$ .

Then

$$D_{\mathcal{S}}(mn+r) = (-1)^{s} b_{m}(n), \qquad \text{for all } n \geq 0. \tag{16}$$

## Partition Identities II

## Partial Proof.

From the *m*-dissection of  $(q; q)_{\infty}$  proved by Evans [4] and Ramanathan [10] (slightly reformulated): If *m* is a positive integer of the form 6t + 1 (*m* of the form 6t - 1 is similar), then

$$(q;q)_{\infty} = (-1)^{(m-1)/6} q^{(m^2-1)/24} \left(q^{m^2};q^{m^2}\right)_{\infty} + \sum_{u=0}^{\frac{m-1}{3}} (-1)^u q^{u(3u-1)/2} Q \left(-q^{m(m+6u-1)/6};q^{m^2}\right) + \sum_{u=1}^{\frac{m-7}{6}} (-1)^u q^{u(3u+1)/2} Q \left(-q^{m(m-6u-1)/6};q^{m^2}\right).$$
(17)

Upon dividing both sides by  $(q^m;q^m)_\infty$  the left side becomes (continued next slide)

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## Partition Identities III

#### Partial Proof Continued.

$$\frac{(q;q)_{\infty}}{(q^m;q^m)_{\infty}} = (q,q^2,\ldots,q^{m-1};q^m)_{\infty} = \sum_{n=0}^{\infty} D_{\mathcal{S}}(n)q^n.$$

Only the first term on the right has powers of q with exponent  $\equiv r = (m^2 - 1)/24 \pmod{m}$ , and thus

$$(-1)^{s}q^{r}rac{\left(q^{m^{2}};q^{m^{2}}
ight)_{\infty}}{(q^{m};q^{m})_{\infty}}=\sum_{n=0}^{\infty}D_{S}(mn+r)q^{mn+r}$$

Upon cancelling  $q^r$  both sides followed by  $q 
ightarrow q^{1/m}$ ,one gets

$$\sum_{n=0}^{\infty} D_{S}(mn+r)q^{n} = (-1)^{s} \frac{(q^{m};q^{m})_{\infty}}{(q;q)_{\infty}} = (-1)^{s} \sum_{n=0}^{\infty} b_{m}(n)q^{n}.$$

### Example

Take 
$$m = 7$$
, so  $s = (7 - 1)/6 = 1$  and  $r = (7^2 - 1)/24 = 2$ .

Let S be the set of positive integers which are not multiples of 7.

If we take n = 13, then mn + r = 7(13) + 2 = 93.

There are 44530 partitions of 93 into an <u>even</u> number of distinct parts from S, and there are 44620 partitions of 93 into an <u>odd</u> number of distinct parts from S.

Hence

$$D_S(93) = 44530 - 44620 = -90 = (-1)^1 90,$$

in agreement with (16), since  $b_7(13) = 90$ .

## Recall: A Special Case

#### Recall:

## Corollary

Let |q| < 1 and let M > 3 be an integer and let j is a positive integer satisfying  $1 \le j < M/2$ . Let m be a positive integer such that  $3 \nmid m$ . (i) If  $m \equiv 1 \pmod{3}$ , then

$$\left(q^{j}, q^{M-j}, q^{M}; q^{M}\right)_{\infty} \left(q^{M-2j}, q^{M+2j}; q^{2M}\right)_{\infty} = \sum_{r=0}^{m-1} q^{M(3r-1)r/2+3jr} \\ \times \left(q^{mM(m+6r-1)/6+jm}, q^{m^2M-mM(m+6r-1)/6-jm}, q^{m^2M}; q^{m^2M}\right)_{\infty} \\ \times \left(q^{m^2M+2jm+M(m+6r-1)m/3}, q^{m^2M-2jm-mM(m+6r-1)/3}; q^{2m^2M}\right)_{\infty}.$$
(18)

(ii) If  $m \equiv 2 \pmod{3}$ , then there is a similar formula.

## Partition Identities V

For a positive integer  $M \ge 5$  and a positive integer a < M/2 define, for any positive integer n, define  $P_{a,M}(n) :=$  the number of partitions of ninto parts  $\not\equiv \pm a, 0 \pmod{M}$ ,  $\not\equiv M \pm 2a \pmod{2M}$ .

#### Example

Let S be the set of positive integers  $\equiv \pm 1, \pm 3, \pm 4 \pmod{10}$ . Then

$$D_{S}(5n) = P_{4,25}(n),$$
(19)  

$$D_{S}(5n+1) = -P_{6,25}(n),$$
  

$$D_{S}(5n+2) = P_{9,25}(n-1),$$
  

$$D_{S}(5n+3) = -P_{1,25}(n),$$
  

$$D_{S}(5n+4) = -P_{11,25}(n-2),$$

Proof: Set M = m = 5 and j = 1 in Corollary 12 and divide both sides by  $(q^5; q^5)_{\infty}$ .

## Example (Example continued)

Let S be the set of positive integers  $\equiv \pm 1, \pm 3, \pm 4 \pmod{10}$ . Take n = 15 so 5n = 75. Then

$$D_S(5n) = P_{4,25}(n)$$
$$\implies D_S(75) = P_{4,25}(15)$$

There are 895 partitions of 75 into an even number of distinct parts from S and 775 partitions of 75 into an odd number of distinct parts from S. Hence  $D_S(75) = 895 - 775 = 120$ .

 $P_{4,25}(15)$  is the number of partitions of 15 into parts  $\neq 4, 21, 0 \pmod{25}$ ,  $\neq 17, 33 \pmod{50}$ , so  $P_{4,25}(15)$  equals the number of partitions of 15 with no part equal to 4, which is indeed 120.

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### Example

Let S denote the set of positive integers with no multiples of 5. Recall that  $b_5(n)$  is the number of 5-regular partitions of n, and for  $a \in \{1, 2\}$ , let  $p_{a;5}(n)$  denote the number of partitions of n into parts  $\equiv \pm a \pmod{5}$ , where parts come in two colours. Then

• 
$$D_S(5n) = p_{1;5}(n);$$

• 
$$D_S(5n+1) = -b_5(n);$$

• 
$$D_S(5n+2) = -p_{2;5}(n);$$

• 
$$D_S(5n+3) = D_S(5n+4) = 0.$$

*Proof:* Start with the 5-dissection of  $(q; q)_{\infty}$ :

$$egin{aligned} (q;q)_{\infty} &= -q\left(q^{25};q^{25}
ight)_{\infty} + \left(-q^5,-q^{20},q^{25};q^{25}
ight)_{\infty}\left(q^{15},q^{35};q^{50}
ight)_{\infty} \ &- q^2\left(-q^{10},-q^{15},q^{25};q^{25}
ight)_{\infty}\left(q^5,q^{45};q^{50}
ight)_{\infty} \end{aligned}$$

and then divide both sides by  $(q^5; q^5)_{\infty}$ .

## Example ( continued, some explicit examples)

(a) 75 = 5(15) has 6140 partitions into an <u>even</u> number of distinct parts from *S* and 5944 partitions into an <u>odd</u> number of distinct parts from *S*, so that  $D_S(75) = 6140 - 5944 = 196$ , in agreement with  $p_{1;5}(15) = 196$ .

(b) 76 = 5(15) + 1 has 6506 partitions into an <u>even</u> number of distinct parts from *S* and 6633 partitions into an <u>odd</u> number of distinct parts from *S*, so that  $D_S(76) = 6506 - 6633 = -127 = -b_5(15)$ .

(c) 78 = 5(15) + 3 has 7755 partitions into an <u>even</u> number of distinct parts from *S* and also 7755 partitions into an <u>odd</u> number of distinct parts from *S*, so that  $D_S(78) = 7755 - 7755 = 0$ 

## Application 4. Periodicity of Sign Changes in the Series Expansion of Various Eta Quotients

## Application 4. Periodicity of Sign Changes in the Series Expansion of Various Eta Quotients

## Periodicity of Sign Changes (Sample Result) I

#### Theorem

Let p > 3 be a prime. For  $k \ge 1$ , write

$$\frac{(q^{2^{k-1}};q^{2^{k-1}})_{\infty}}{(q^p;q^p)_{\infty}} = \sum_{n=0}^{\infty} a_n q^n.$$

Then if  $p \equiv 1 \pmod{3}$ , for each integer r in the indicated intervals there exists a computable integer  $\mathcal{L}(r, k)$  such that if  $n \geq \mathcal{L}(r, k)$ , one has that

$$a_n \ge 0$$
 if  $n \equiv 3 \cdot 2^k r^2 + 2^{k-1} r \pmod{p}$  with  $0 \le r < \frac{4(2p+1)-6}{24}$  or  
 $\frac{4(5p+1)-6}{24} < r \le p-1$ ,

 $\begin{aligned} a_n &= 0 \text{ if } n \not\equiv 3 \cdot 2^k r^2 + 2^{k-1} r \pmod{p}, \\ a_n &\leq 0 \text{ if } n \equiv 3 \cdot 2^k r^2 + 2^{k-1} r \pmod{p} \text{ with } \frac{4(2p+1)-6}{24} < r < \frac{4(5p+1)-6}{24}. \\ A \text{ similar statement holds if } p \equiv -1 \pmod{3}. \end{aligned}$ 

#### Recall

## Corollary

Let |q| < 1 and let M > 3 be an integer and let j is a positive integer satisfying  $1 \le j < M/2$ . Let m be a positive integer such that  $3 \nmid m$ . (i) If  $m \equiv 1 \pmod{3}$ , then

$$\left(q^{j}, q^{M-j}, q^{M}; q^{M}\right)_{\infty} \left(q^{M-2j}, q^{M+2j}; q^{2M}\right)_{\infty} = \sum_{r=0}^{m-1} q^{M(3r-1)r/2+3jr} \\ \times \left(q^{mM(m+6r-1)/6+jm}, q^{m^2M-mM(m+6r-1)/6-jm}, q^{m^2M}; q^{m^2M}\right)_{\infty} \\ \times \left(q^{m^2M+2jm+M(m+6r-1)m/3}, q^{m^2M-2jm-mM(m+6r-1)/3}; q^{2m^2M}\right)_{\infty}.$$
(20)

(ii) If  $m \equiv 2 \pmod{3}$ , then there is a similar formula.

## Basic Idea of Proof II

There is a version of this (technically a little messy) with the negative exponents removed, in which we set  $j = 2^{k-1}$ ,  $M = 2^{k+1}$  and  $m = p \equiv 1 \pmod{3}$ , p a prime, to get

where the parameters  $t_1(r)$ ,  $t_2(r)$ , s(r) and  $\mathcal{L}(r)$  are related to the technicalities of removing negative exponents. Note for what comes next that  $t_1(r)$  and  $t_2(r)$  are positive multiples of p.

## Basic Idea of Proof III

## After dividing through by $(q^p; q^p)_\infty$ , one gets

$$\begin{aligned} &\frac{(q^{2^{k-1}};q^{2^{k-1}})_{\infty}}{(q^{p};q^{p})_{\infty}} = \sum_{r=0}^{p-1} (-1)^{s(r)} q^{\mathcal{L}(r)} \\ &\times \frac{(q^{t_{1}(r)},q^{2^{k+1}p^{2}-t_{1}(r)},q^{2^{k+1}p^{2}};q^{2^{k+1}p^{2}})_{\infty} (q^{t_{2}(r)},q^{2^{k+2}p^{2}-t_{2}(r)};q^{2^{k+2}p^{2}})_{\infty}}{(q^{p};q^{p})_{\infty}} \end{aligned}$$

After expanding

$$(q^p;q^p)_{\infty} = \prod_{1 \le \ell \le 2^{k+2}p} \frac{1}{(q^{p\ell};q^{2^{k+2}p^2})_{\infty}}$$

all the products in the numerator of each term in the sum on the right side cancel, so that the series expansions of the infinite products all have non-negative coefficients, so that all coefficients in the *r*-th term of the sum have the same sign as  $(-1)^{s(r)}$ .

#### Remark

Note that setting k = 1 in

$$rac{(q^{2^{k-1}};q^{2^{k-1}})_\infty}{(q^p;q^p)_\infty}$$

recovers the result of Andrews [1, Theorem 2.1] and Borwein [2] on the nonnegativity of  $c_n c_{n+p}$ , where

$$\sum_{n=0}^{\infty} c_n q^n = \frac{(q;q)_{\infty}}{(q^p;q^p)_{\infty}}.$$

It may be illuminating to find combinatorial proofs of some of the partition identities.

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