

Elementary Proofs of Two Congruences for Partitions with Odd Parts Repeated at Most Twice

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Twice

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Opening Comments

- ▶ Thanks to William Keith for the opportunity to share today's talk in this online seminar.

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- ▶ Thanks to each of you for attending!

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- ▶ In particular, I will focus our attention on two congruences in that paper which Merca proved in “automated” fashion.

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- ▶ In particular, I will focus our attention on two congruences in that paper which Merca proved in “automated” fashion.
- ▶ I will then provide elementary / classical proofs of these two congruences (fulfilling a request of Merca).
- ▶ The hope is that these proofs shed some light on the structure of the congruences in question.

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A *partition* of a positive integer n is a finite non-increasing sequence of positive integers $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_k$ such that $\lambda_1 + \lambda_2 + \cdots + \lambda_k = n$.

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For example, the number of partitions of the integer $n = 4$ is 5, and the partitions counted in that instance are as follows:

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For example, the number of partitions of the integer $n = 4$ is 5, and the partitions counted in that instance are as follows:

$$4, \quad 3 + 1, \quad 2 + 2, \quad 2 + 1 + 1, \quad 1 + 1 + 1 + 1$$

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An *overpartition* of a positive integer n is a partition of n wherein the first occurrence of a part may be overlined.

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For example, the number of overpartitions of $n = 4$ is 14 given the following list of overpartitions:

$$4, \quad \overline{4}, \quad 3 + 1, \quad \overline{3} + 1, \quad 3 + \overline{1}, \quad \overline{3} + \overline{1},$$

$$2 + 2, \quad \overline{2} + 2, \quad 2 + 1 + 1, \quad \overline{2} + 1 + 1, \quad 2 + \overline{1} + 1, \quad \overline{2} + \overline{1} + 1,$$

$$1 + 1 + 1 + 1, \quad \overline{1} + 1 + 1 + 1$$

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In a recent paper entitled “Overpartitions in terms of 2-adic valuation” (which is to appear in *Aequationes Math.*), Merca considered the auxiliary function $a(n)$ which counts the number of partitions of weight n wherein no part is congruent to 3 modulo 6.

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From this definition, it is clear that the generating function for $a(n)$ is given by

$$\sum_{n \geq 0} a(n)q^n = \prod_{i \geq 1} \frac{(1 - q^{6i-3})}{(1 - q^i)}.$$

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We can then rewrite this generating function as the following:

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We have

$$\sum_{n \geq 0} a(n)q^n = \prod_{i \geq 1} \frac{(1 - q^{6i-3})(1 - q^{6i})}{(1 - q^i)(1 - q^{6i})} = \frac{f_3}{f_1 f_6}$$

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The function $a(n)$ also counts the number of partitions of n where odd parts are repeated at most twice (and there are no restrictions on the even parts).

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The function $a(n)$ also counts the number of partitions of n where odd parts are repeated at most twice (and there are no restrictions on the even parts).

This is easily seen via generating function manipulations.

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$$\sum_{n \geq 0} a(n)q^n$$

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We have

$$\sum_{n \geq 0} a(n)q^n = \frac{(1 + q + q^2)(1 + q^3 + q^6)(1 + q^5 + q^{10}) \dots}{(1 - q^2)(1 - q^4)(1 - q^6) \dots}$$

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$$\begin{aligned}\sum_{n \geq 0} a(n)q^n &= \frac{(1+q+q^2)(1+q^3+q^6)(1+q^5+q^{10}) \dots}{(1-q^2)(1-q^4)(1-q^6) \dots} \\ &= \frac{1}{f_2} \cdot \frac{(1-q^3)}{(1-q)} \cdot \frac{(1-q^9)}{(1-q^3)} \cdot \frac{(1-q^{15})}{(1-q^5)} \dots\end{aligned}$$

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In his paper, Merca proved the following two generating function identities:

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In his paper, Merca proved the following two generating function identities:

Theorem: We have

$$\begin{aligned} \sum_{n \geq 0} a(4n + 2)q^n &= 2 \frac{f_3 f_4^3 f_6^2 f_{24}}{f_1^2 f_2^3 f_8 f_{12}^2} + 4q \frac{f_6^5 f_{24}^2}{f_1^3 f_2^2 f_{12}^3} \\ &\quad - 2q^2 \frac{f_3 f_4^3 f_{24}^4}{f_1^2 f_2^2 f_6 f_8^2 f_{12}^2} + 4q^3 \frac{f_6^2 f_{24}^5}{f_1^3 f_2^5 f_8 f_{12}^3} \\ &\quad - 8q^5 \frac{f_{24}^8}{f_1^3 f_6 f_8^2 f_{12}^3}. \end{aligned}$$

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Theorem: We have

$$\begin{aligned} \sum_{n \geq 0} a(4n + 3)q^n &= 2 \frac{f_4^8 f_6^6 f_{24}^3}{f_1 f_2^7 f_8^3 f_{12}^7} + 8q \frac{f_4^5 f_6^9 f_{24}^4}{f_1^2 f_2^6 f_3 f_8^2 f_{12}^8} \\ &\quad - 2q^2 \frac{f_4^8 f_6^3 f_{24}^6}{f_1 f_2^6 f_8^4 f_{12}^7} - 16q^3 \frac{f_4^5 f_6^6 f_{24}^7}{f_1^2 f_2^5 f_3 f_8^3 f_{12}^8} \\ &\quad + 8q^5 \frac{f_4^5 f_6^3 f_{24}^{10}}{f_1^2 f_2^4 f_3 f_8^4 f_{12}^8}. \end{aligned}$$

Background

Merca relied completely on the work of Radu and Smoot to provide “automated” proofs of the above theorems.

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Merca relied completely on the work of Radu and Smoot to provide “automated” proofs of the above theorems.

While these proofs are indeed correct, they do not provide any insights into the results themselves. (In particular, the technique provides no guidance as to whether simpler generating function identities exist.)

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Merca relied completely on the work of Radu and Smoot to provide “automated” proofs of the above theorems.

While these proofs are indeed correct, they do not provide any insights into the results themselves. (In particular, the technique provides no guidance as to whether simpler generating function identities exist.)

In this case, the “power” of these techniques is, in essence, unnecessary.

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As corollaries of the above theorems, Merca then noted the following:

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As corollaries of the above theorems, Merca then noted the following:

Corollary: For all $n \geq 0$,

$$a(4n + 2) \equiv 0 \pmod{2}, \quad \text{and}$$

$$a(4n + 3) \equiv 0 \pmod{2}.$$

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At the end of Section 4 of his paper, Merca indicates that a classical proof of these congruences would be very interesting.

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At the end of Section 4 of his paper, Merca indicates that a classical proof of these congruences would be very interesting.

The goal of this talk is to fulfill Merca's request by providing two truly elementary (classical) proofs of this corollary.

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Our ultimate goal will be to find “simpler” generating function results for $a(4n + 2)$ and $a(4n + 3)$.

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Our ultimate goal will be to find “simpler” generating function results for $a(4n + 2)$ and $a(4n + 3)$.

To do so, we want to 2–dissect the generating function for $a(n)$ twice.

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Our ultimate goal will be to find “simpler” generating function results for $a(4n + 2)$ and $a(4n + 3)$.

To do so, we want to 2–dissect the generating function for $a(n)$ twice.

In order to complete that task, we only require two elementary dissection lemmas.

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Lemma: We have

$$\frac{f_3}{f_1} = \frac{f_4 f_6 f_{16} f_{24}^2}{f_2^2 f_8 f_{12} f_{48}} + q \frac{f_6 f_8^2 f_{48}}{f_2^2 f_{16} f_{24}}.$$

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An excellent, step-by-step, elementary proof of this result can be found in Chapter 30 of Hirschhorn's *Power of q* .

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An excellent, step-by-step, elementary proof of this result can be found in Chapter 30 of Hirschhorn's *Power of q* .

As an aside, Mike and I needed the above 2-dissection to complete some of our congruence work on **partitions** into four squares.

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Lemma: We have

$$\frac{1}{f_1^2} = \frac{f_8^5}{f_2^5 f_{16}^2} + 2q \frac{f_4^2 f_{16}^2}{f_2^5 f_8}.$$

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It begins with the identity $\varphi(q) = \varphi(q^4) + 2q\psi(q^8)$

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An elementary proof of this result goes back to Ramanujan.

It begins with the identity $\varphi(q) = \varphi(q^4) + 2q\psi(q^8)$ where

$$\varphi(q) = \sum_{n=-\infty}^{\infty} q^{n^2} = \frac{f_2^5}{f_1^2 f_4^2} \quad \text{and} \quad \psi(q) = \sum_{n \geq 0} q^{n(n+1)/2} = \frac{f_2^2}{f_1}$$

are two of Ramanujan's theta functions.

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A full exposition of an elementary proof of this lemma can be found in Chapter 1 of Hirschhorn's *Power of q* .

These two lemmas are all that we need to prove our results.

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Theorem: We have

$$\sum_{n \geq 0} a(4n + 3)q^n = 2 \frac{f_2^4 f_8^2 f_{12}}{f_1^5 f_4 f_6}.$$

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Two quick comments are in order:

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Two quick comments are in order:

- ▶ Note the significant difference between the two generating function identities above and the ones provided by Merca (via the automated proof technique).

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- ▶ Note the significant difference between the two generating function identities above and the ones provided by Merca (via the automated proof technique).
- ▶ It is clear that the above provide immediate proofs of Merca's mod 2 congruence results.

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- ▶ Note the significant difference between the two generating function identities above and the ones provided by Merca (via the automated proof technique).
- ▶ It is clear that the above provide immediate proofs of Merca's mod 2 congruence results.

Now to the proofs.

Our Proofs

We begin by finding the 2–dissection of the generating function for $a(n)$.

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$$\sum_{n \geq 0} a(n)q^n$$

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$$\begin{aligned}\sum_{n \geq 0} a(n)q^n &= \frac{f_3}{f_1 f_6} \\ &= \frac{1}{f_6} \left(\frac{f_3}{f_1} \right)\end{aligned}$$

Our Proofs

We begin by finding the 2–dissection of the generating function for $a(n)$.

$$\begin{aligned}\sum_{n \geq 0} a(n)q^n &= \frac{f_3}{f_1 f_6} \\ &= \frac{1}{f_6} \left(\frac{f_3}{f_1} \right) \\ &= \frac{1}{f_6} \left(\frac{f_4 f_6 f_{16} f_{24}^2}{f_2^2 f_8 f_{12} f_{48}} + q \frac{f_6 f_8^2 f_{48}}{f_2^2 f_{16} f_{24}} \right)\end{aligned}$$

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Our Proofs

Thus, we can immediately read off the following:

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Thus, we can immediately read off the following:

$$\sum_{n \geq 0} a(2n)q^n = \frac{f_2 f_8 f_{12}^2}{f_1^2 f_4 f_6 f_{24}},$$

$$\sum_{n \geq 0} a(2n + 1)q^n = \frac{f_4^2 f_{24}}{f_1^2 f_8 f_{12}}.$$

Our Proofs

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$$\sum_{n \geq 0} a(2n + 1)q^n = \frac{f_4^2 f_{24}}{f_1^2 f_8 f_{12}}.$$

We now perform two additional 2–dissections.

Our Proofs

First,

$$\sum_{n \geq 0} a(2n)q^n$$

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$$\sum_{n \geq 0} a(2n)q^n = \frac{f_2 f_8 f_{12}^2}{f_4 f_6 f_{24}} \left(\frac{1}{f_1^2} \right)$$

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We then see that

$$\sum_{n \geq 0} a(4n + 2)q^n = 2 \frac{f_2 f_6^2 f_8^2}{f_1^4 f_3 f_{12}}$$

and this is our first generating function result.

Our Proofs

Next, we 2–dissect the generating function for $a(2n + 1)$.

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Hence,

$$\sum_{n \geq 0} a(4n + 3)q^n = 2 \frac{f_2^4 f_8^2 f_{12}}{f_1^5 f_4^2 f_6}$$

and this proves our second generating function theorem.

Our Proofs

Thanks to the above, we've clearly provided classical proofs of these parity results (as requested by Merca).

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Thanks to the above, we've clearly provided classical proofs of these parity results (as requested by Merca).

Before we close, we quickly provide alternative proofs of these two congruences!

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One of the first things I noted when I read Merca's paper was that the two arithmetic progressions in question, $4n + 2$ and $4n + 3$, have the property that they contain no squares.

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One of the first things I noted when I read Merca's paper was that the two arithmetic progressions in question, $4n + 2$ and $4n + 3$, have the property that they contain no squares.

This led me to find a proof of these congruences that reflected this fact.

Our Proofs

Consider

$$G(q) := \sum_{n \geq 0} g(n)q^n = \frac{f_2^2 f_3 f_{12}}{f_1 f_4 f_6}.$$

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$$G(q) := \sum_{n \geq 0} g(n)q^n = \frac{f_2^2 f_3 f_{12}}{f_1 f_4 f_6}.$$

This appears in Mersmann's list of the 14 primitive eta-products which are holomorphic modular forms of weight $1/2$.

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After some elementary calculations, we find that

$$G(q) = \sum_{k=-\infty}^{\infty} q^{3k^2+2k} = 1 + q + q^5 + q^8 + q^{16} + q^{21} + q^{33} + \dots$$

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(The exponents above are generalized octagonal numbers.)

Our Proofs

Next, note that

$$\frac{1}{f_{12}}G(q) = \frac{1}{f_{12}} \sum_{k=-\infty}^{\infty} q^{3k^2+2k}$$

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Since f_{12} is a function of q^4 , and since we are interested in $a(4n+2)$ and $a(4n+3)$, we can effectively ignore this instance of $\frac{1}{f_{12}}$ if we can show that, for all $n \geq 0$, $g(4n+2) = g(4n+3) = 0$.

Our Proofs

To do this, note that $M = 3k^2 + 2k$ if and only if $3M + 1 = (3k + 1)^2$ which is clearly square.

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So in both of the cases in which we are interested, we see that $g(4n + 2) = g(4n + 3) = 0$ for all n .

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So in both of the cases in which we are interested, we see that $g(4n + 2) = g(4n + 3) = 0$ for all n . This yields an alternative proof of our congruences modulo 2.

Our Proofs

And with that I will close.

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And with that I will close.

Thanks again for attending today.

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