

Classical problems and zeros of polynomials

Bernhard Heim Markus Neuhauser

bheim@uni-koeln.de markus.neuhauser@kiu.edu.ge



and



Seminar in
Partition Theory, q -Series and Related Topics
January 29, 2026

Outline

Basic properties of sequences

Applications

Polynomization

Pochhammer and Laguerre polynomials

Basic properties of sequences

Basic properties of sequences

Let $\alpha : \mathbb{N}_0 \rightarrow \mathbb{R}$ be a sequence of positive numbers.

Definition

$$R_\alpha(n) = \sqrt[n]{\alpha(n)}, \quad Q_\alpha(n) = \frac{\alpha(n)}{\alpha(n-1)}.$$

Complex analysis:

$$\sum_{n=0}^{\infty} \alpha(n) z^n$$

has radius of convergence

$$\rho = \frac{1}{\limsup_{n \rightarrow \infty} \sqrt[n]{\alpha(n)}} = \frac{1}{\limsup_{n \rightarrow \infty} R_\alpha(n)}$$

and in case it exists also

$$\rho = \lim_{n \rightarrow \infty} \frac{\alpha(n-1)}{\alpha(n)} = \lim_{n \rightarrow \infty} \frac{1}{Q_\alpha(n)}.$$

Basic properties of sequences

Note the following equivalences for $n \geq 1$

$$R_{\alpha}(n-1) > R_{\alpha}(n) \Leftrightarrow (\alpha(n-1))^n > (\alpha(n))^{n-1} \quad (1)$$

$$\Leftrightarrow \alpha(n-1) > \left(\frac{\alpha(n)}{\alpha(n-1)} \right)^{n-1} \Leftrightarrow R_{\alpha}(n-1) > Q_{\alpha}(n) \quad (2)$$

$$\Leftrightarrow \alpha(n) > \left(\frac{\alpha(n)}{\alpha(n-1)} \right)^n \Leftrightarrow R_{\alpha}(n) > Q_{\alpha}(n). \quad (3)$$

Now additional assumptions:

$$Q_{\alpha}(n) > Q_{\alpha}(n+1), \quad n \geq n_0, \quad (4)$$

$$R_{\alpha}(n_0-1) > R_{\alpha}(n_0). \quad (5)$$

Note that (4) is equivalent to log-concavity for $n \geq n_0$ and (5) is only a single condition.

Proposition

The conditions (4) and (5) ensure that the sequence $\left\{ \sqrt[n]{\alpha(n)} \right\}_{n \geq n_0-1}$ is strictly decreasing.

Proof

Mathematical induction: $n = n_0$ is assumption (5).

Now assume $n \geq n_0$. By induction hypothesis

$$R_{\alpha}(n-1) > R_{\alpha}(n).$$

The equivalence (3) shows that in this case

$$R_{\alpha}(n) > Q_{\alpha}(n).$$

Applying log-concavity (4):

$$R_{\alpha}(n) > Q_{\alpha}(n+1).$$

By (2) this is equivalent to:

$$R_{\alpha}(n) > R_{\alpha}(n+1)$$

By mathematical induction this shows strict decreasing of $\{R_{\alpha}(n)\}_{n \geq n_0-1}$.

Applications

k -colored partitions

Nicolas' 1978 result that the number of partitions function $p(n)$ is log-concave for $n \geq 26$ implies:

Theorem (Wang–Zhu 2014)

The sequence $\left\{ \sqrt[n]{p(n)} \right\}$ is strictly decreasing for $n \geq 6$.

n	1	2	3	4	5	6	7
$\sqrt[n]{p(n)} \approx$	1.00	1.41	1.44	1.50	1.48	1.49	1.47

Bringmann, Kane, Rolin, and Tripp's 2021 result that the number of k -colored partitions $p_k(n)$ are log-concave for almost all n implies:

Theorem (H. & N. 2026)

Let $k \geq 2$. Then $\sqrt[n]{p_k(n)} > \sqrt[n+1]{p_k(n+1)}$ for all k, n except $p_2(1) < \sqrt{p_2(2)}$ and $p_3(1) = \sqrt{p_3(2)}$.

Plane partitions and over-partitions

Ono, Pujahari, and Rolen's 2022 result that the number of plain partitions $pp(n)$ are log-concave for $n \geq n_0$ implies:

Theorem (H. & N. 2026)

The sequence $\left\{ \sqrt[n]{pp(n)} \right\}_{n \geq 1}$ is strictly decreasing for $n \geq 6$.

n	1	2	3	4	5	6	7
$\sqrt[n]{pp(n)} \approx$	1	1.732	1.817	1.899	1.888	1.906	1.890

Engel's 2017 result that the number of overpartitions function $\bar{p}(n)$ is log-concave for $n \geq 1$ implies:

Theorem (H. & N. 2026)

We have that $\bar{p}(1) = \sqrt{\bar{p}(2)} = \sqrt[3]{\bar{p}(3)}$ and for $n \geq 3$

$$\sqrt[n]{\bar{p}(n)} > \sqrt[n+1]{\bar{p}(n+1)}.$$

Polynomization

Polynomization

We consider arithmetic functions $g(n)$ with positive values and $g(1) = 1$ and sequences $\alpha(n) = P_n^g(1)$ as values of polynomials at $x = 1$ in the following way: $P_0(x) = 1$,

$$P_n^g(x) = \frac{x}{n} \sum_{k=1}^n g(k) P_{n-k}^g(x).$$

Example

$g(n) = \sigma(n) = \sum_{d|n} n$ sum-of-divisors function for partitions.
Then the k -colored partition function $p_k(n) = P_n^\sigma(k)$.

Since the leading coefficient (and its parity) determine the behavior of a polynomial for $x \rightarrow \pm\infty$ we consider

$$\Delta_n^g(x) = (P_n^g(x))^{n+1} - (P_{n+1}^g(x))^n$$

and its zeros determine whether

$$\sqrt[n]{P_n^g(x)} > \sqrt[n+1]{P_{n+1}^g(x)}.$$

Polynomization

Lemma (H. & N. 2026)

Let the sequence $\{P_n^g(x)\}_{n \geq 0}$ be given.

Let x_n^g be the largest real zero of $\Delta_n^g(x)$.

Then $\Delta_n^g(x) > 0$ for all $x > x_n^g$.

Proof.

Leading coefficient of $\Delta_n^g(x)$:

$$\left(\frac{1}{n!}\right)^{n+1} - \left(\frac{1}{(n+1)!}\right)^n = \left(\frac{1}{n!}\right)^n \left(\frac{1}{n!} - \frac{1}{(n+1)^n}\right) > 0.$$

■

The polynomials $\Delta_n^g(x)$

$$\Delta_1^g(x) = \frac{x}{2}(x - g(2)),$$

$$\Delta_2^g(x) = \frac{x^2}{72} \left(7x^4 + 15g(2)x^3 + \left(9(g(2))^2 - 8g(3) \right) x^2 + \left(9(g(2))^3 - 24g(3)g(2) \right) x - 8(g(2))^2 \right).$$

Lemma (H. & N. 2026)

We have that $\Delta_2^g(n) \geq 0$ for all $x \geq g(2)$ if and only if $g(3) \leq (g(2))^2$.

Proof

Let $\frac{x^2}{72}E(x) = \Delta_2^g(x)$. Then

$$\begin{aligned}E(x + g(2)) = & 7x^4 + 43g(2)x^3 + \left(96(g(2))^2 - 8g(3)\right)x^2 \\& + \left(100(g(2))^3 - 40g(3)g(2)\right)x \\& + 8\left((g(2))^2 - g(3)\right)\left(5(g(2))^2 + g(3)\right).\end{aligned}\tag{6}$$

Suppose $g(3) \leq (g(2))^2$. Then

$$\begin{aligned}96(g(2))^2 - 8g(3) &\geq 88(g(2))^2 \\100(g(2))^3 - 40g(3)g(2) &\geq 60(g(2))^3.\end{aligned}$$

We have

$$E(x) \geq 7x^4 + 43g(2)x^3 + 88(g(2))^2x^2 + 60(g(2))^3x \geq 0$$

for $x \geq 0$. If $g(3) > (g(2))^2$ then $E(0) < 0$ by (6).

Approximate largest real zeros of $\Delta_n^\sigma(x)$

n	x_n^σ
1	3.000000000
2	1.257838489
3	1.566540996
4	0.491819667
5	1.240332594
6	0.025070743
7	0.983481535
8	0.369878066
9	0.791972724
10	0.004377003
11	0.781443228
12	0.000040172
13	0.656499115
14	0.346567890
15	0.579481233

Pochhammer and Laguerre polynomials

Pochhammer polynomials

Let $g(n) = 1$. Then $P_n^g(x) = \prod_{k=0}^{n-1} \frac{x+k}{1+k}$.

Proposition

Let $x_n^g = 1$ for all n . Then the largest zero of

$$\Delta_n^g(x) = (P_n^g(x))^{n+1} - (P_{n+1}^g(x))^n$$

is $x_n^g = 1$ for all $n \geq 1$.

Laguerre polynomials

κ -associated Laguerre polynomials for $\kappa = 1$:

$$L_n^{(\kappa)}(x) = \sum_{k=0}^n \binom{n+\kappa}{n-k} \frac{(-x)^k}{k!}.$$

$$P_n^{\text{id}}(x) = \frac{x}{n} L_{n-1}^{(1)}(-x) \quad \text{for} \quad g(n) = \text{id}(n) = n.$$

Theorem (H., N., & Tröger 2022)

Turán inequality for $x \geq 0$:

$$\left(P_n^{\text{id}}(x)\right)^2 \geq P_{n-1}^{\text{id}}(x) P_{n+1}^{\text{id}}(x).$$

Laguerre polynomials

Theorem (H. & N. 2026)

Let $g = \text{id}$. The largest real zero of $\Delta_n^{\text{id}}(x)$ satisfies $x_n^{\text{id}} \leq 2$ for all $n \in \mathbb{N}$. Further for all $n \geq 6$ we have $x_n^{\text{id}} \leq 1$.

Remark

For all $x \geq 1$ and $n \geq 6$

$$\frac{x^{n+1}}{n^{n+1}} \left(L_{n-1}^{(1)}(-x) \right)^{n+1} > \frac{x^n}{(n+1)^n} \left(L_n^{(1)}(-x) \right)^n.$$

Approximate largest real zeros of $\Delta_n^{\text{id}}(x)$

n	x_n^{id}
1	2.000000000
2	1.559199674
3	1.324963955
4	1.171508455
5	1.060347181
6	0.974804089
7	0.906236956
8	0.849633502
9	0.801850104
10	0.760797566
11	0.725023902
12	0.693483324
13	0.665400308
14	0.640185599
15	0.617382164